



UNIVERSIDADE FEDERAL DO AMAZONAS - UFAM
INSTITUTO DE CIÊNCIAS BIOLÓGICAS



**PROGRAMA DE PÓS-GRADUAÇÃO EM IMUNOLOGIA BÁSICA E
APLICADA**

**Infecção pelo vírus Zika em uma população da Amazônia Ocidental
Brasileira: estudo da resposta imune, características clínicas e de
diagnóstico**

LIGIA FERNANDES ABDALLA

**MANAUS - AMAZONAS
2018**

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diagnóstico**

Tese apresentada ao Programa de Pós-Graduação em Imunologia Básica e Aplicada da Universidade Federal do Amazonas, como requisito para obtenção do título de Doutor em Imunologia Básica e Aplicada, na área de concentração “Biologia de agentes patogênicos”.

Orientador: Dr. Felipe Gomes Naveca

Coorientador: Dr. Antônio Luiz Ribeiro Boechat Lopes

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FOLHA DE APROVAÇÃO

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Brasileira: estudo da resposta imune, características clínicas e de
diagnóstico**

LIGIA FERNANDES ABDALLA

Tese defendida e aprovada pela Banca Examinadora constituída por:

Prof. Dr. Felipe Gomes Naveca (Presidente)

Instituto Leônidas e Maria Deane - Fundação Oswaldo Cruz

Prof. Dra. Aya sadahiro (Membro Interno)

Universidade Federal do Amazonas

Prof. Dr. Luís andré morais mariúba (Membro Interno)

Instituto Leônidas e Maria Deane - Fundação Oswaldo Cruz

Prof. Dr. Vanderson de souza sampaio (Membro Externo)

Fundação de Vigilância Sanitária do Amazonas

Prof. Dr. Gemilson Soares Pontes (Membro Externo)

Instituto Nacional de Pesquisas da Amazônia

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DEDICO ESTE TRABALHO

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"Há pessoas que transformam o sol numa simples mancha amarela, mas há aquelas que fazem de uma simples mancha amarela o próprio sol."

Pablo Picasso

RESUMO

O *Zika virus* (ZIKV) é um arbovírus emergente da família Flaviviridae e do gênero *Flavivirus*, que até 2007 estava restrito a alguns casos de doença leve na África e na Ásia. No Brasil, suspeita-se que a entrada do vírus tenha se dado durante a Copa das Confederações de 2013 e no primeiro semestre de 2015, já havia casos confirmados em estados de todas as regiões do país. A epidemia brasileira revelou que a infecção geralmente leve e autolimitada poderia estar relacionada à distúrbios neurológicos. Os objetivos deste trabalho era esclarecer inúmeros questionamentos existentes em relação à infecção pelo ZIKV, visando contribuir com uma melhor compreensão dos mecanismos envolvidos na imunopatogênese e curso da doença. Como resultados, descreveu-se o primeiro relato de fibrilação atrial em pacientes com Zika, podendo ser considerado uma manifestação atípica durante a infecção pelo vírus; observou-se elevação de citocinas pró-inflamatórias durante a infecção ZIKV; identificou-se a quimiocina CXCL10 como um biomarcador potencial de infecção; caracterizou-se a saliva como fluido de escolha para o detecção do vírus Zika na fase aguda da doença e, montou-se um modelo de regressão logística para a classificação de casos de zika em relação à dengue, com base na avaliação clínica.

Palavras-chave: *Zika virus*, RT-qPCR, CXCL10, Saliva, Fibrilação Atrial, Clínica.

ABSTRACT

Zika virus (ZIKV) is an emergent arbovirus of the family Flaviviridae and the genus Flavivirus, which until 2007 was restricted to some cases of mild disease in Africa and Asia. In Brazil, it's suspected that the entry of the virus occurred during the 2013 Confederations Cup and in the first half of 2015, there were already confirmed cases in states in all regions of the country. The Brazilian epidemic revealed that the usually mild and self-limiting infection could be related to neurological disorders. The objectives of this study were to clarify numerous questions regarding ZIKV infection, aiming to contribute to a better understanding of the mechanisms involved in the immunopathogenesis and course of the disease. As a result, the first report of atrial fibrillation in patients with Zika was described and could be considered an atypical manifestation during the virus infection; elevation of proinflammatory cytokines during ZIKV infection was observed; CXCL10 chemokine was identified as a potential biomarker for infection; saliva was characterized as the fluid of choice for the detection of Zika virus in the acute phase of the disease and a logistic regression model for the classification of cases of zika in relation to dengue was set up based on the clinical evaluation.

LISTA DE TABELAS

Tabela 1: Classificação dos territórios de transmissão do vírus Zika.....	04
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LISTA DE FIGURAS

Figura 1: <i>Aedes aegypti</i>	01
Figura 2: Classificação territorial de transmissão do vírus Zika	05
Figura 3: Casos prováveis de febre pelo vírus Zika no Brasil nos anos de 2016 à 2018 por semana epidemiológica	06
Figura 4: Estrutura do vírus Zika	07
Figura 5: Estrutura do genoma do vírus Zika	09
Figura 6: Ciclo replicativo do vírus Zika	09

LISTA DE ABREVIATURAS E SIGLAS

CDC	Centro para Controle de Doenças e Prevenção dos Estados Unidos da América
C	Capsídeo
CTLs	Células T citotóxicas
DENV	<i>Dengue virus</i>
E	Envelope
ECDC	Centro Europeu para Controle de Doenças
EDTA	Ácido Etilenodiamino Tetra-cético
ER	Retículo Endoplasmático
FIOCRUZ	Fundação Oswaldo Cruz
HAM	Hospital Adventista de Manaus
IGG	Imunoglobulina G
IGM	Imunoglobulina M
ILMD	Instituto Leônidas e Maria Deane
INF	Interferon
ISGs	Genes estimuladores de Interferon (<i>Interferon-stimulated genes</i>)
NK	Células <i>natural killer</i>
NS	Proteína Não-Estrutural
NAbs	Anticorpos Neutralizantes
OMS	Organização Mundial de Saúde
prM	Proteína pré-membrana
RNA	Ácido ribonucleico
RT-qPCR	Reação em Cadeia da Polimerase em Tempo Real conjugada a Transcrição Reversa
SE	Semana Epidemiológica
TCLE	Termo de Consentimento Livre e Esclarecido
ZIKV	<i>Zika virus</i>

SUMÁRIO

INTRODUÇÃO	01
1. REFERENCIAL TEÓRICO	04
1.1 Epidemiologia da Zika	04
1.2 Agente etiológico e ciclo replicativo	06
1.3 Ciclo de transmissão e <i>fitness</i>	10
1.4 Diagnóstico laboratorial da Zika	10
1.5 Manifestações clínicas da Zika	11
1.6 Resposta Imunológica ao vírus Zika	12
2. JUSTIFICATIVA	17
3. OBJETIVOS	18
Objetivo geral	18
Objetivos específicos	18
4. MATERIAL E MÉTODOS	19
4.1 Tipo de estudo	19
4.2 Área de estudo	19
4.3 População de estudo	19
4.4 Critérios de inclusão e não inclusão	20
4.5 Aspectos éticos	21
4.6 Fluxograma	21
4.7 Ficha clínica e anamnese	21
4.8 Coleta das amostras	22
4.9 Processamento das amostras.	23
4.9.1 RT-PCR em tempo real	23
4.9.2 Análise dos níveis de citocinas, quimiocinas e fatores de crescimento	24
4.10 Análise estatística	24
5. RESULTADOS	27
REFERÊNCIAS	29
APÊNDICES E ANEXOS	

INTRODUÇÃO

O nome vírus Zika (em inglês, *Zika virus*; abreviatura: ZIKV) tem sua origem pela descoberta do vírus na floresta de Zika, perto de Entebbe, capital da República de Uganda. O vírus foi isolado pela primeira vez de um macaco sentinela em 1947, e após cinco anos, em 1952, foi descrito infectando humanos (SMITHBURN, 1952; SIMPSON, 1964). É considerada uma arbovirose emergente transmitida por mosquitos da família *Culicidae*, do gênero *Aedes*. Este gênero tem como um de seus principais representantes o *Aedes aegypti*, a espécie responsável pela propagação da dengue no Brasil (Figura 1). Existem ainda outras espécies de mosquitos no mundo capazes de transmissão do vírus como: *Aedes africanus*, *Aedes albopictus*, *Aedes polynesiensis* e *Aedes hensilli* (PETERSEN et al., 2016).



Figura 1: *Aedes aegypti*

Fonte: <http://revistapesquisa.fapesp.br/2016/03/24/virus-zika-provavelmente-chegou-ao-brasil-em-2013/>

Até o ano de 2007, o ZIKV estava restrito a poucos casos na África e Ásia, sendo depois associado a um surto de síndrome febril na Ilha Yap, Micronésia (Duffy et al. 2009). No dia 23 de fevereiro de 2016 foi publicado o primeiro artigo tratando sobre

a dispersão e origem filogenética do ZIKV no Brasil com sete isolados desse vírus (FARIA et al., 2016). Utilizando de ferramentas moleculares e de bioinformática, foi demonstrado que o ZIKV foi introduzido no Brasil entre maio e dezembro de 2013, possivelmente nos meses de julho e agosto, quando da realização da Copa das Confederações, um ano antes da Copa do Mundo de 2014. Nesse tempo o vírus, que apresenta 80% das infecções assintomáticas ou oligossintomáticas, passou despercebido sendo esse fato muito importante para a dispersão pelo Nordeste (FARIA et al., 2016; PINTO JUNIOR et al., 2015). No primeiro semestre de 2015, já havia casos confirmados em várias regiões do país (ZANLUCA et al., 2015; (CAMPOS; BANDEIRA; SARDI, 2015).

Em agosto de 2015, a epidemia brasileira revelou que a doença geralmente leve e autolimitada, poderia estar relacionada à distúrbios neurológicos graves, incluindo microcefalia e síndrome de Guillain-Barré (Leal, 2016; Krauer et al, 2017). O amplo espectro de manifestações clínicas fetais decorrentes da infecção pelo ZIKV levou a nova classificação denominada Síndrome Congênita do Zika. Em novembro de 2015, o Ministério da Saúde declarou a situação uma emergência nacional de saúde pública e, alguns meses depois, a Organização Mundial de Saúde (OMS) elevou esse alerta como uma emergência de saúde pública de preocupação internacional (AZEVEDO et al., 2016; DOS SANTOS et al., 2016; RATANACHAROENSIRI et al., 2017). Dessa forma, as arboviroses emergiram em locais antes indenes representando um potencial desafio para a Saúde Pública (LIMA-CAMARA, 2016)

Entre estes problemas está o fato de ainda não existir um exame sorológico confiável para o diagnóstico da Zika, o que implica na total dependência do diagnóstico através de exames moleculares, como a Reação em Cadeia da Polimerase em Tempo Real conjugada a Transcrição Reversa (RT-qPCR). A RT-

qPCR são realizadas normalmente em grandes centros de vigilância epidemiológica ou em hospitais de ensino sendo, portanto, um diagnóstico que demanda custo e tempo (CHARREL et al., 2016; CORMAN et al., 2016).

Não apenas o fato de existirem poucas opções de exames para o diagnóstico de Zika torna-se um obstáculo para o profissional de saúde, mas também o fato da manifestação clínica se assemelhar com pelo menos outras 10 doenças, entre elas estão: dengue, leptospirose, malária, rickettsiose, estreptococose do grupo A, rubéola, sarampo, e infecções por parvovírus, enterovírus, adenovírus, e alfavírus (por exemplo, chikungunya e outros) (CORMAN et al., 2016).

Mesmo com todos os avanços produzidos pela ciência mundial, ainda há muito o que ser estudado. O conhecimento imunofisiopatológico da infecção por ZIKV ainda é um campo muito obscuro e que necessita de maiores avanços. Sabe-se que a resposta imune desempenha um papel importante na evolução clínica das infecções virais sendo essencial na limitação da propagação dos vírus. Esses estudos são cruciais para a identificação de marcadores da infecção que podem ser utilizados no desenvolvimento de abordagens imunoterapêuticas e de prevenção por vacinas (KAM et al., 2017; ANDRADE; HARRIS, 2017; NAVECA et al., 2017).

1. REFERENCIAL TEÓRICO

1.1 Epidemiologia do ZIKV

Em março de 2017, a OMS publicou uma classificação de risco geográfico baseada nos vetores do ZIKV, desenvolvida em colaboração com o Centro Europeu para Controle de Doenças (ECDC) e o Centro para Controle de Doenças e Prevenção dos Estados Unidos (CDC). A estrutura de classificação da OMS inclui quatro categorias para classificar os territórios (Tabela 1, Figura 2):

Tabela 1

Classificação dos territórios de transmissão do vírus Zika

Classificação	Definição
Categoria 1	- Área com introdução ou - Reintrodução com transmissão em curso
Categoria 2	- Área com evidência da circulação do vírus antes de 2015 ou - Área anteriormente classificada na categoria 1 mas que já tem aproximadamente 2 anos da (re)introdução do vírus sem interrupção
Categoria 3	- Área com transmissão interrompida e com potencial para futuras transmissões
Categoria 4	- Área com vetor competente estabelecido, mas nenhuma transmissão documentada

Fonte: <http://apps.who.int/iris/bitstream/handle/10665/254619/WHO-ZIKV-SUR-17.1-por.pdf;jsessionid=5DEB4A84313C6A7078DF953219BB231B?sequence=5>. Acesso: 01/06/2018.

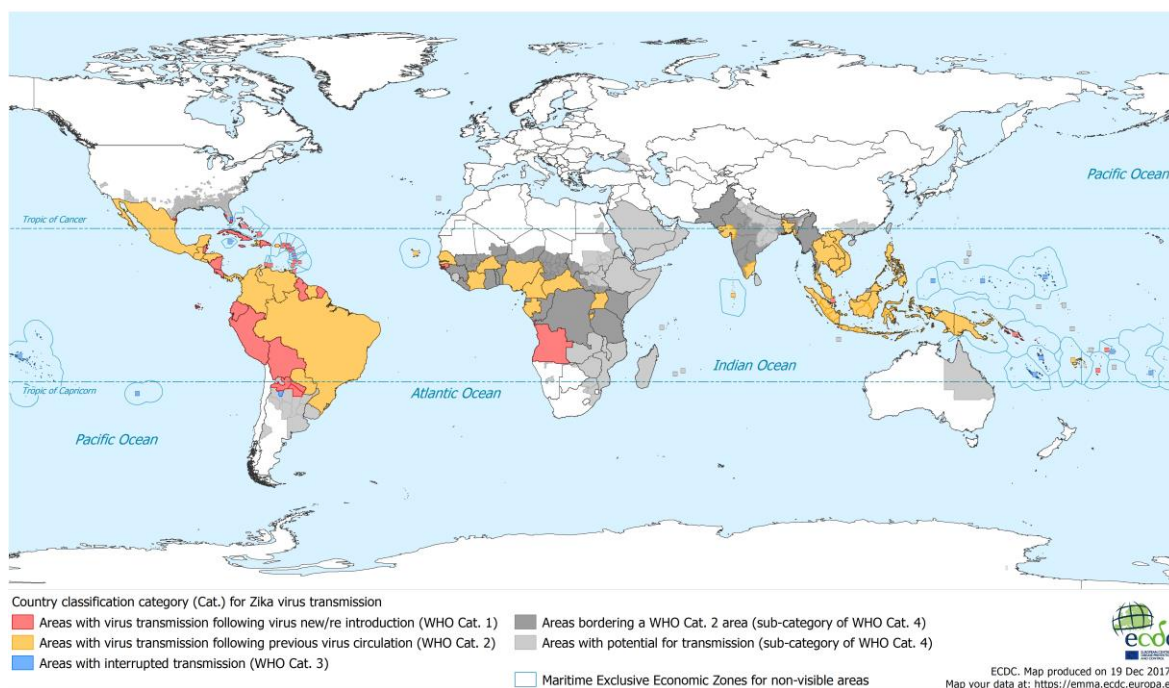


Figura 2: Classificação territorial de transmissão do vírus Zika.

Fonte: <https://ecdc.europa.eu/en/publications-data/current-zika-transmission-worldwide>

No Brasil, em 2016, foram registrados 216.207 casos prováveis infecção pelo vírus Zika no país e em 2017 foram identificados 17.594 casos suspeitos. Em 2018, até a SE 11, foram registrados 1.486 casos prováveis de doença pelo vírus Zika no país, com taxa de incidência de 0,7 casos/100 mil hab.; destes, 372 (25,0%) foram confirmados (Figura 3). Atualmente, a análise da taxa de incidência de casos prováveis de Zika (número de casos/100 mil hab.), segundo regiões geográficas, demonstra que as regiões Centro-Oeste e Norte apresentam as maiores taxas de incidência: 3,0 casos/100 mil hab. e 1,4 casos/100 mil hab., respectivamente. Entre as UFs, destacam-se Mato Grosso (5,9 casos/100 mil hab.), Tocantins (4,8 casos/100 mil hab.), e Goiás (3,9 casos/100 mil hab.) (MS, 2018).

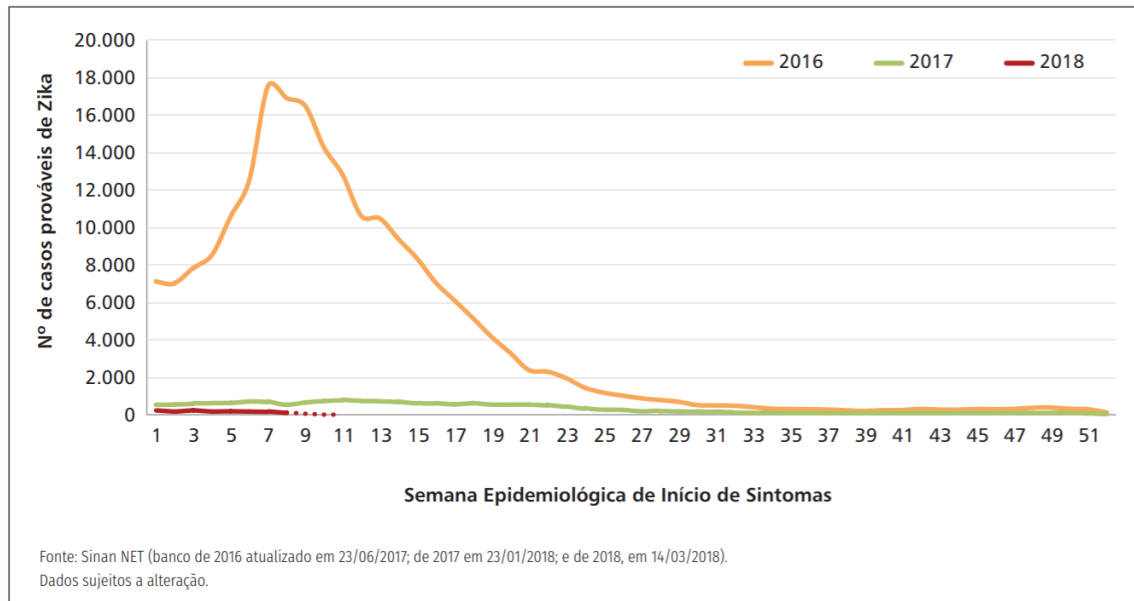


Figura 3: Casos prováveis de febre pelo vírus Zika no Brasil nos anos de 2016 à 2018 por semana epidemiológica.

Fonte: <http://portalarquivos2.saude.gov.br/images/pdf/2018/abril/06/2018-012.pdf>

1.2 Agente etiológico e ciclo replicativo

O ZIKV é um arbovírus emergente pertencente à família *Flaviviridae*, gênero *Flavivirus*. A família *Flaviviridae* corresponde a uma família de vírus que é composta pelos seguintes gêneros:

- Gênero *Flavivirus* com 67 espécies de vírus humanos e animais identificadas. Apresenta como principais representantes os vírus da febre amarela, o vírus do Nilo ocidental, da dengue (DENV), vírus da encefalite japonesa e o ZIKV.
- Gênero *Hepacivirus* com um representante, o vírus da hepatite C;
- Gênero *Pestivirus* com vírus que infectam animais mamíferos como o vírus da diarréia viral bovina e o da peste suína.

Como outros flavivírus, as partículas virais são envelopadas e esféricas, com cerca de 40 a 60 nanômetros de diâmetro, apresentando um genoma de ácido

ribonucleico (RNA) de cadeia simples (ssRNA) com 9,6 a 12,3 quilobases, linear e de polaridade positiva (Figura 4).

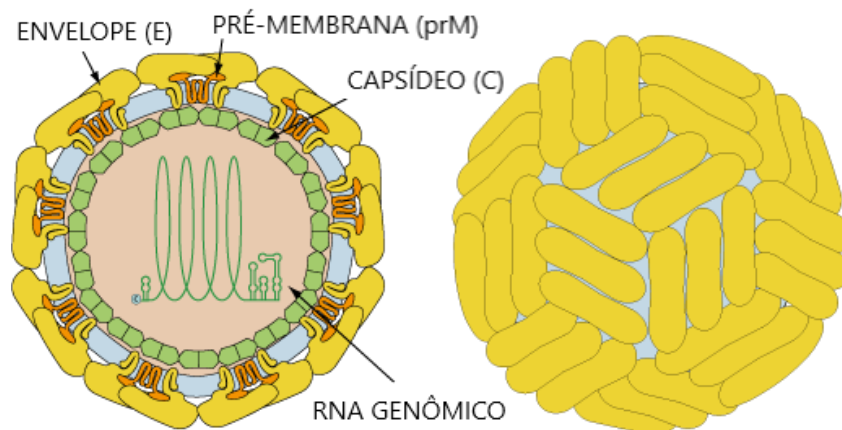


Figura 4: Estrutura do vírus Zika.

Fonte: adaptado de <https://viralzone.expasy.org/6756>. Acesso em: 04.06.2018

Os flavivírus se ligam às células por meio de interações entre as proteínas do envelope (E) do vírion e um grupo diversificado de receptores na célula-alvo, num processo denominado de adsorção. Os receptores celulares / fatores de ligação absolutamente necessários para a infecção ainda não foram identificados; moléculas implicadas na entrada de flavivírus nas células incluem várias lectinas (DC-SIGN, DC-SIGNR e receptor de manose), sulfato de heparina e moléculas da família TIM / TAM (LINDENBACH; RICE, 2003).

Uma vez ligados, os flavivírus são englobados pela membrana plasmática e internalizados nas células através de endocitose mediada por clatrina, ficando no interior de vesículas nas células. Posteriormente, o PH ácido induz a fusão entre o envelope viral e a membrana do endossomo, liberando o RNA viral para o citoplasma. O RNA genômico sofre desnudamento, ou seja, é liberado no citoplasma sendo usado diretamente como RNA mensageiro na tradução, produzindo uma poliproteína, que contém proteínas estruturais e não estruturais. A poliproteína

codificada pelo genoma viral é posteriormente clivada por enzimas virais em três proteínas estruturais: capsídeo (C), pré-membrana (prM) e envelope (E) além de sete proteínas não estruturais (NS1, NS2A, NS2B, NS3, NS4A, NS4B e NS5) (Figura 5) (LINDENBACH; RICE, 2003).

Após o processamento proteolítico, as proteínas não-estruturais catalisam a replicação, através da síntese de RNA anti-genômico, que serve como molde para a produção de mais RNA genômico. Este pode ser usado para a tradução de mais proteínas virais ou juntamente com as proteínas estruturais, sofrer maturação dando origem a novo vírions.

Na etapa final, a montagem do vírion ocorre em membranas derivadas do retículo endoplasmático (ER), num processo denominado de maturação viral. Inicialmente ocorre a reunião do cápside e do ácido nucléico que, posteriormente é circundado pelo envelope durante a liberação. As proteínas virais específicas do envelope são sintetizadas durante a fase tardia da síntese protéica e são inseridas na membrana celular do hospedeiro. O nucleocápside associa-se com a superfície interior da membrana alterada, já contendo as proteínas virais. Durante a saída do nucleocápside da célula, a partícula viral é envelopada por esta membrana alterada sendo os lipídeos do envelope viral inteiramente derivados da célula hospedeira. Assim, uma partícula viral madura é liberada no espaço extracelular (Figura 6) (ZHAO et al., 2016; FERNANDEZ-GARCIA et al., 2009).

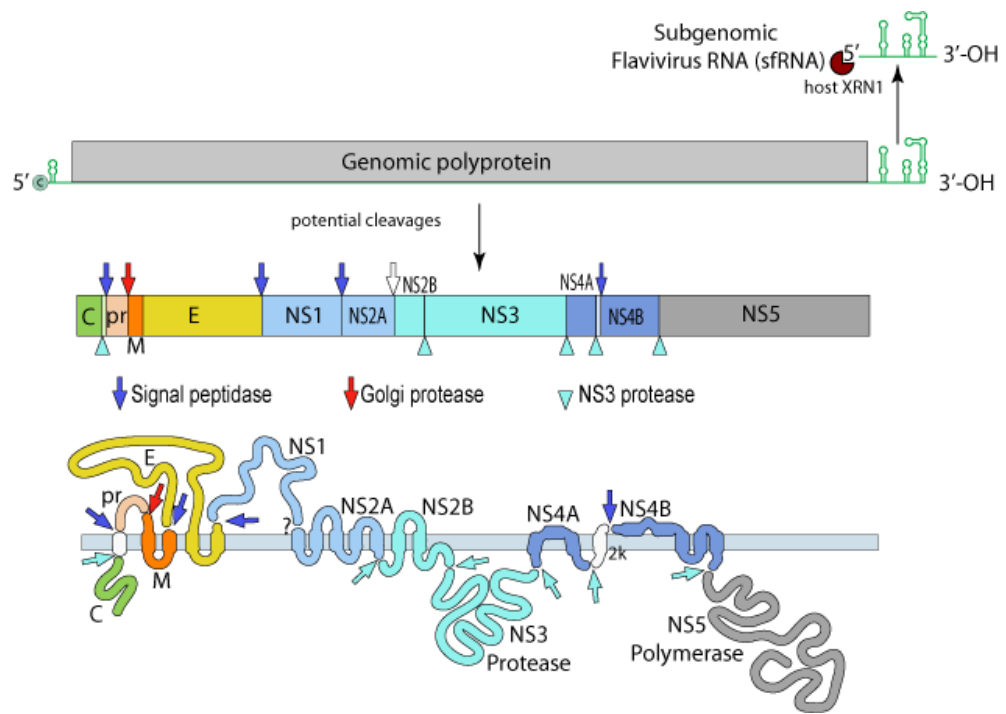


Figura 5: Estrutura do genoma do vírus Zika.

Fonte: <https://viralzone.expasy.org/6756>. Acesso em: 04.06.2018

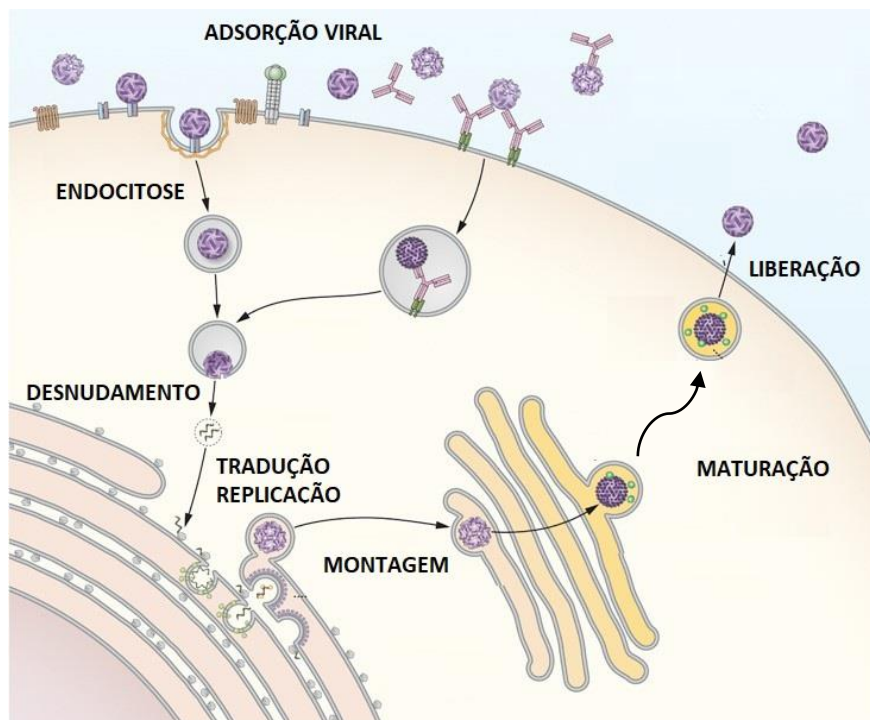


Figura 6: Ciclo replicativo do vírus Zika.

Fonte: Adaptado de https://microbewiki.kenyon.edu/index.php/Yellow_fever_virus
Acesso em: 06.06.2018

1.3 Ciclo de transmissão e *fitness*

O ZIKV apesar de ser principalmente transmitido por mosquitos *Aedes*, também pode ser transmitido sexualmente, verticalmente e por outras vias (GRISCHOTT et al., 2016; PETERSEN et al., 2016; LEAL, 2016). Em humanos, há relatos da presença do vírus em diferentes fluidos corporais como sangue, sêmen, urina e saliva. Essa detecção pode variar ao longo do tempo nos diferentes fluídos podendo afetar tanto a dinâmica de transmissão como o diagnóstico da infecção (PETERSEN et al., 2016; LUSTIG et al., 2016; FROESCHL et al., 2017; PRISANT et al., 2016; NICASTRI et al., 2016; MUSSO et al., 2015; ROZÉ et al., 2016; SUN et al., 2016; KODATI et al., 2017; FOY et al., 2011).

Por ser um vírus RNA, o ZIKV possui taxas elevadas de erro durante a replicação que podem levar ao acúmulo de mutações que contribuam para o aumento de seu *fitness*. Estudos realizados com outros arbovírus apontam a ocorrência de mutações no genoma viral como pontos importantes para: (i) a adaptação do vírus a novos vetores (ARIAS-GOETA et al., 2014); (ii) mudanças conformacionais em proteínas virais, impedindo ou diminuindo a eficiência de ligação dos anticorpos (DOWD; DEMASO; PIERSON, 2015); (iii) tornar a replicação e infecção mais eficientes (SILVEIRA et al., 2016).

1.4. Diagnóstico laboratorial da Zika

O diagnóstico laboratorial da Zika não é direto e apesar de testes sorológicos já terem sido desenvolvidos para detectar anticorpos anti-ZIKV, a reatividade cruzada com outros flavivírus complica a interpretação dos resultados em áreas onde outros arbovírus são endêmicos.

A detecção molecular do RNA do ZIKV por meio da RT-qPCR é o método de escolha para o diagnóstico do ZIKV. Mesmo com a alta sensibilidade e especificidade da RT-qPCR, o processo de detecção de RNA do ZIKV não é isento de dificuldades. Primeiro, a detecção depende da amostra ter uma concentração acima do limite de detecção do ensaio (geralmente muito pequeno) do RNA viral. Segundo, fatores como a integridade do RNA, variabilidade das sequências alvo, inibição da PCR e contaminação, podem resultar em resultados de RT-PCR incorretos (PETERSEN et al., 2016; CHARREL et al., 2016; CORMAN et al., 2016; WAGGONER; PINSKY, 2016).

Mais formalmente, o processo de detecção do ZIKV depende de uma hierarquia de três níveis de probabilidade: 1) a probabilidade de o paciente estar infectado; 2) a probabilidade de que a amostra coletada do paciente infectado contenha RNA do ZIKV; 3) a probabilidade da RT-qPCR em detectar o RNA do ZIKV em uma amostra que contém o vírus. Embora essa estrutura hierárquica esteja frequentemente implícita em estudos de testes diagnósticos, falta ainda um processo de detecção do ZIKV nos três níveis.

1.5 Manifestações clínicas da Zika

A apresentação clínica da infecção por ZIKV em seres humanos é muito parecida com a de outras arboviroses/viroses e estão frequentemente associadas a febre leve, cefaléia, exantema maculopapular, artralgia, linfadenopatia e hiperemia conjuntival (DUFFY et al., 2009). Tais sintomas aparecem alguns dias (3-12 dias) após a picada do vetor infectado, sendo que infecções assintomáticas são comuns (PINTO JUNIOR et al., 2015). Em relação aos achados laboratoriais, bioquímicos e hematológicos, os dados na literatura ainda são ainda muito escassos e, por vezes, contraditórios (ELIAS COLOMBO et al., 2017; AZEREDO et al., 2018).

No entanto, manifestações clínicas atípicas já foram relatadas, incluindo complicações neurológicas (PINHEIRO et al., 2016), síndrome congênita (DE CARVALHO et al., 2017), problemas oculares (KODATI et al., 2017) e complicações cardiovasculares (ALETTI et al., 2016; ABDALLA et al., 2018). O grande número de casos atípicos, incluindo óbitos, podem indicar um perfil diferenciado das infecções pelo ZIKV no Brasil. Um estudo apontou que o ZIKV está mais eficiente para infectar humanos. Durante o caminho percorrido do continente africano até a América, o ZIKV acumulou mutações que o tornaram capaz de produzir uma replicação mais eficiente (FAYE et al., 2014).

1.6 Resposta Imunológica ao vírus Zika

A resposta imune do hospedeiro também desempenha um papel importante no curso clínico das infecções virais. Respostas imunes inatas e adaptativas contra os vírus têm como objetivo bloquear a infecção e eliminar as células infectadas. A

infecção é prevenida por interferons do tipo I como parte da imunidade inata e os anticorpos neutralizantes contribuem para a imunidade adaptativa. Uma vez que a infecção é estabelecida, as células infectadas são eliminadas pelas células *natural killer* (NK) na resposta inata e pelas células T citotóxicas (CD8⁺) na resposta adaptativa (MEDZHITOV, 2007).

1.6.1 Imunidade Inata

Os componentes da imunidade natural, ou inata, são os primeiros a realizar o controle das infecções virais por meio do bloqueio da disseminação da partículas virais às células do hospedeiro, devido aos interferons do tipo I, assim como à morte das células infectadas, mediada pela ação de células NK ((MEDZHITOV, 2007).

A resposta mediada pelo interferon (IFN) desempenha um papel crítico no controle de flavivírus, como mostrado pelo aumento da susceptibilidade de infecção em camundongos com falta de componentes da via do IFN (LAZEAR et al., 2016; SHRESTA et al., 2004) e os numerosos mecanismos empregados pelos flavivírus para mediar este controle (MORRISON et al., 2013; QUICKE; SUTHAR, 2013).

Os flavivirus, por serem um vírus de RNA fita simples, vão ativar duas vias bioquímicas que desencadeiam a produção do interferon. A primeira via inclui o reconhecimento do RNA viral por receptores de reconhecimento de padrões (PRRs) nas famílias de receptores de RNA do tipo Toll (TLR7 e TLR8) endossomais e a segunda via compreende a ativação de receptores citoplasmáticos do tipo RIG-I (RLR) (LAZEAR; DIAMOND, 2014). Estas vias convergem para a ativação de proteínas quinases o que por sua vez ativam os fatores de transcrição de IRF e NF-

κ B. Posteriormente, IRF e NF- κ B estimulam a expressão de genes que atuam na transcrição do IFN I e que codificam as moléculas necessárias para a resposta inflamatória, respectivamente (SUTHAR; AGUIRRE; FERNANDEZ-SESMA, 2013).

Uma vez produzidas, as moléculas de IFN-I ligam-se ao receptor IFN- $\alpha\beta$ R ativando uma tirosina quinase citoplasmática que fosforila a proteína STAT2. Essa molécula transduz sinais e ativa a transcrição de vários genes codificadores de citocinas, inclusive de IFN do tipo I. Entre esses genes, há o que codifica a 2' -5' oligoadenilato sintetase, que por sua vez ativa RNase L, uma enzima que degrada os RNAs virais. Esse mecanismo leva à redução da síntese protéica e inibe a replicação viral (SUTHAR; AGUIRRE; FERNANDEZ-SESMA, 2013).

Vários estudos *in vitro* utilizando células humanas primárias e linhagens celulares derivadas de humanos foram realizadas para avaliar a resposta do IFN à infecção por ZIKV. Dependente do tipo de célula usada, a infecção pelo ZIKV resultou na produção do tipo I (α , β), tipo II (γ) e IFN tipo III (λ), bem como a ativação de vários genes estimulados por IFN (ISGs) (CHAUDHARY et al., 2017; HAMEL et al., 2015; BAYER et al., 2016).

Estudos também vêm demonstrando mecanismos empregados pelo ZIKV em evadir de resposta do tipo IFN. A proteína NS5 do ZIKV foi detectada degradando STAT2, levando à diminuição na sinalização do IFN tipo I e conseqüentemente o aumento da replicação viral. Outro estudo também sugere um outro mecanismo de inibição do IFN, onde a infecção pelo ZIKV atuaria como antagonista na fosforilação de STAT1 e STAT2 (BOWEN et al., 2017). Até o presente momento, não é claro o mecanismo utilizado pelo ZIKV para inibir a via do IFN e, ainda assim, se isso ocorre naturalmente durante uma infecção (CULSHAW et al., 2018). Novos estudos ainda precisam ser realizados para elucidar esse fato.

1.6.2 Imunidade Adaptativa

Outro componente importante da resposta antiviral é a resposta imune adaptativa que é mediada pelos anticorpos, que bloqueiam a ligação do vírus às células hospedeiras, e pelas células T CD8⁺, que eliminam a infecção matando as células infectadas. Os anticorpos antivirais ligam-se ao envelope viral ou aos antígenos do capsídeo e funcionam principalmente como anticorpos neutralizantes (NAbs) para impedir a fixação e entrada do vírus na célula hospedeira. Assim, conseguem impedir tanto a infecção inicial quanto a disseminação célula a célula. Além da neutralização, os anticorpos podem opsonizar as partículas virais e promover a sua depuração por fagócitos. A ativação do sistema complemento também pode participar da imunidade viral mediada por anticorpos, principalmente através da promoção da fagocitose e lise direta do envelope ((MEDZHITOV, 2007).

Relativamente pouco se sabe sobre a resposta imune adaptativa à infecção pelo ZIKV ou seus efeitos na patogênese viral (PETERSON et al., 2017). Os principais alvos dos anticorpos específicos contra os flavivírus são as proteínas estruturais E e prM, juntamente com a proteína não-estrutural NS1 (HEINZ; STIASNY, 2012; MULLER; YOUNG, 2013). Vários anticorpos monoclonais humanos capazes de neutralizar o ZIKV *in vitro* e *in vivo* já foram isolados, porém, estes são estruturalmente semelhantes com os de outros flavivírus, especialmente o DENV (ROBBIANI et al., 2017). Além disso, dois anticorpos, que reconhecem múltiplos domínios da proteína E, foram encontrados causando proteção em camundongos infectados (WANG et al., 2016).

As células T CD8⁺ medeiam a eliminação dos vírus que residem dentro das células. Estas reconhecem os peptídeos virais sintetizados endogenamente e são

apresentados por moléculas de classe I do Complexo Maior de Histocompatibilidade (MHC) da célula hospedeira (ABBAS, 2015). Um estudo em camundongos deficientes do receptor IFN tipo I (IFNR) mostrou que células T CD8⁺ específicas para ZIKV tem como alvo predominantemente as proteínas prM, E e NS5, causando uma função protetora durante o curso da infecção (NGONO et al., 2017). Outro estudo, em modelos de camundongos transgênicos, verificou que células T CD8⁺ específicas para ZIKV reconhecem epítomos de todas as 10 proteínas virais e também observou o papel protetor dessas células durante a infecção. Neste último trabalho, quando os animais eram previamente imunizados ocorria uma redução da carga viral e, após depleção de células T CD8⁺, a carga viral voltava a aumentar (WEN et al., 2017b).

Até o momento, apenas três estudos descrevendo mediadores em pacientes infectados com Zika foram relatados (TAPPE et al., 2016; KAM et al., 2017; NAVECA et al., 2017). Em Tappe et al. (2016), um perfil confiável de biomarcadores imunológicos durante infecção não pôde ser estabelecida devido à pequena amostragem. Kam et al. (2017) descrevem vários mediadores pró-inflamatórios mais elevados em pacientes infectados pelo ZIKV, mais especificamente CXCL10, IL-10 e HGF observados entre pacientes com complicações neurológicas e, além disso, também encontraram níveis mais elevados de CXCL10, IL-22, MCP-1 e TNF- α em mulheres grávidas infectadas pelo ZIKV associados à malformações no desenvolvimento fetal. Naveca et al. (2018) também trouxeram novas perspectivas sobre a imunopatologia do ZIKV, identificando o CXCL10 como um potencial biomarcador de infecção aguda pelo ZIKV e, talvez, podendo este ser utilizado como um preditor de gravidade.

A literatura relata que as células T CD8 + desempenham um papel protetor contra a infecção pelo ZIKV. Além disso, descreve que alguns indivíduos montam respostas de células T CD8+ mais fracas do que outras; estas respostas de células T mais fracas, em combinação com uma baixa resposta de anticorpos, podem levar a manifestações graves da doença, enquanto células T eficientes ou resposta de anticorpo pode ser suficiente para proteção (WEN et al., 2017; NGONO et al., 2017).

A imunidade protetora adaptativa ao ZIKV tem sido atribuída principalmente à Células T CD8+ e anticorpos neutralizantes, enquanto a participação das células T CD4+ na resistência permaneceu amplamente descaracterizada. Estudos recentes têm relacionado uma resposta de anticorpos neutralizantes e sinalização do IFN γ dependentes de células T CD4+ que ocorre durante a primeira semana de infecção, caracterizando a participação desses componentes na resistência ao ZIKV durante a infecção primária (LUCAS et al., 2018).

2. JUSTIFICATIVA

Diante do exposto e considerando os inúmeros questionamentos existentes em relação à infecção pelo ZIKV, torna-se imprescindível o desenvolvimento de estudos que contribuam para o rápido e correto diagnóstico, conhecimento das características clínicas, da resposta imune e das manifestações atípicas relacionadas à infecção pelo mesmo, visando contribuir com uma melhor compreensão dos mecanismos envolvidos na imunopatogênese e curso da doença.

3. OBJETIVOS

Objetivo geral

Avaliar clínico e laboratorialmente a fase aguda de infecção pelo ZIKV identificando as possíveis associações que possam contribuir para o diagnóstico da zika.

Objetivos específicos

1. Detectar os níveis séricos de citocinas, quimiocinas e fatores de crescimento dos pacientes infectados;
2. Verificar por meio de parâmetros moleculares (RT-qPCR) a presença do vírus na saliva, urina e plasma dos pacientes e avaliar o melhor fluido para diagnóstico da Zika;
3. Analisar os achados clínicos e laboratoriais a fim de identificar pontos potencialmente úteis para o diagnóstico da doença;
4. Descrever possíveis manifestações atípicas durante a fase aguda da infecção pelo vírus.

4. MATERIAL E MÉTODOS

4.1 Tipo de estudo

Trata-se de um estudo transversal observacional do tipo caso-controle para avaliar clinicamente e laboratorialmente a fase aguda de infecção pelo ZIKV e identificar possíveis marcadores para o diagnóstico.

4.2 Área de estudo

Os pacientes foram atendidos e as coletas das amostras realizadas no Hospital Adventista de Manaus (HAM) localizado na cidade de Manaus, Amazonas. O HAM foi escolhido por ser instituição sentinela referência da rede privada no tratamento e acompanhamento dos casos de adultos com suspeita de Zika. As análises laboratoriais foram feitas no Instituto Leônidas e Maria Diane (ILMD) – Fiocruz, Manaus, Amazonas e no Instituto René Rachou – Fiocruz, Minas Gerais.

4.3 População de estudo

Participaram deste estudo 353 pacientes atendidos no Hospital Adventista em Manaus, Amazonas (HAM), entre 11 de fevereiro e 17 de maio de 2016, com suspeita de infecção pelo ZIKV, além de um grupo controle composto por 100 indivíduos saudáveis residentes em Manaus – AM.

O Hospital Adventista foi a instituição eleita por ter sido sentinela na rede privada no atendimento dos adultos suspeitos de ZIKV durante o surto epidemiológico ocorrido no ano de 2016.

4.4 Critérios de inclusão e exclusão

4.4.1 Critérios de inclusão

Foram incluídos na pesquisa indivíduos de ambos os sexos, entre 18 e 65 anos, de demanda espontânea, com suspeita clínica de infecção por ZIKV que aceitaram assinar o Termo de Consentimento Livre e Esclarecido (TCLE).

Os critérios clínicos de inclusão basearam-se no que o OMS definiu como casos suspeitos de infecção por ZIKV: exantema maculopapular acompanhada por pelo menos um dos seguintes sinais ou sintomas: febre máxima de 38,5°C, conjuntivite sem secreção, artralgia ou edema articular (BRASIL, 2016). Todos os pacientes incluídos estavam na fase aguda da doença, de um a seis dias (mediana de 3 dias), após o início dos sintomas.

O grupo controle foi composto de indivíduos saudáveis, com idade entre 18 e 65 anos residentes em Manaus – AM.

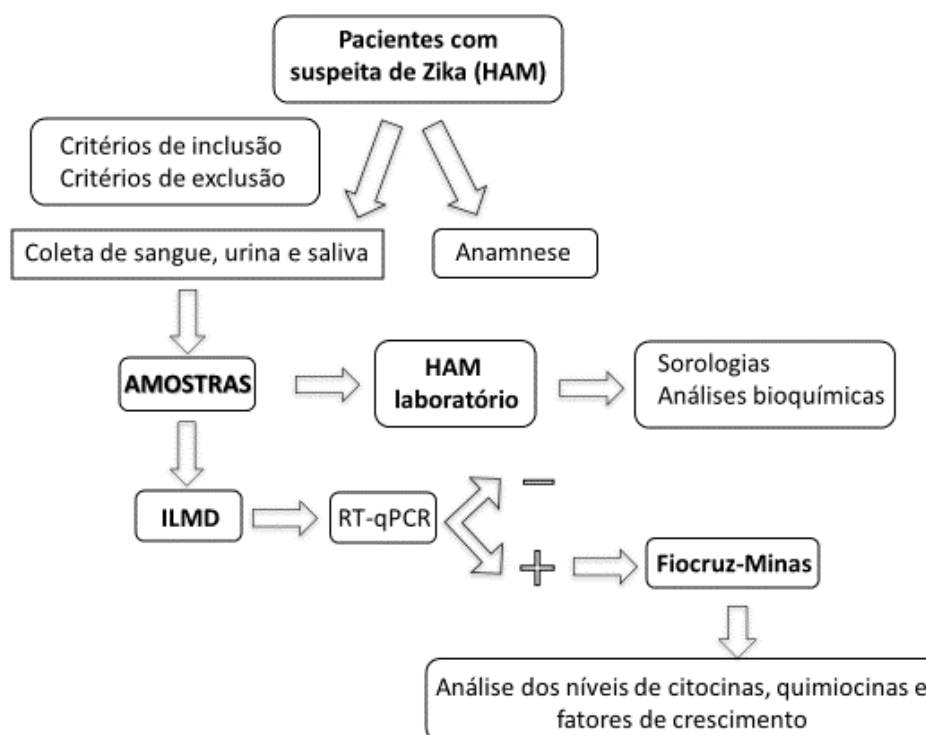
4.4.2 Critérios de não inclusão

Não foram elegíveis para o estudo gestantes, indígenas e pacientes apresentando alguma imunodeficiência conhecida ou com alguma doença infecciosa diagnosticada. Foram também excluídos os indivíduos que apresentaram diagnóstico laboratorial positivo para outras arboviroses ou doenças infecto-contagiosas.

4.5 Aspectos éticos

O protocolo do estudo foi aprovado pelo Comitê de Ética da Universidade do Estado Amazonas (CAAE: 56745116.6.0000.5016) e com a Declaração de Helsinque de 1975, revisada em 1983. Todos os sujeitos forneceram consentimento informado por escrito.

4.6 Fluxograma



4.7 Ficha Clínica e anamnese

De cada paciente foram coletados dados demográficos referentes a nome, endereço, telefone, idade, data de nascimento, etnia, escolaridade, sexo e estado civil. Os sinais e sintomas de relevância clínica como presença de exantema, prurido, febre, artralgia, edema articular, cefaleia, conjuntivite, mialgia, linfadenopatia, diarreia, náusea, vômito, presença de doenças sistêmicas e gestação

foram colhidos através de um questionário de forma objetiva. Os dados foram anotados em uma ficha padronizada (**APÊNDICE A**) para facilitar a análise de dados e digitados em um banco de dados do Excel.

4.8 Coleta das amostras

Três amostras biológicas foram coletadas de cada paciente sendo essas: soro, urina e saliva. As amostras de sangue periférico foram coletadas por punção venosa em tubos com sistema a vácuo contendo o anticoagulante ácido etilenodiamino tetra-cético (EDTA) (*BD Vacutainer® EDTA K2*). A coleta da urina foi realizada em coletores do tipo universal. Para coleta da saliva os voluntários mastigaram um pedaço de aproximadamente 1 cm de um tubo de látex, número 203 (Auriflex, São Roque, SP), previamente esterilizado, para estimular a produção da mesma. A saliva produzida durante os primeiros 30 segundos foi deglutida, sendo subsequente coletada por 5 minutos em coletores universais (ABDALLA et al., 2010).

As amostras de sangue foram enviadas ao laboratório de rotina do HAM onde eram realizadas a centrifugação e separação do soro. Além disso, eram feitos os testes rápido para Dengue (NS1 - CTK Biotech OnSite) e testes sorológicos. Os testes sorológicos (IGM e IGG) utilizaram a tecnologia de imunoenensaio enzimático para dengue (Serion Elisa classic Dengue), rubéola (Abbott AxSYM), sarampo (Bio-Rad Laboratories, Hercules, CA) e Parvovírus B19 (Serion Elisa), como recomendado pelos fabricantes.

4.9 Processamento das amostras

4.9.1 RT-PCR em Tempo Real

As amostras de soro, urina e saliva eram posteriormente enviadas ao Instituto Leônidas e Maria Deane - Fiocruz Amazônia - para realização de Reação em Cadeia da Polimerase da Transcriptase Reversa em Tempo Real (RT-qPCR) para ZIKV (LANCIOTTI et al., 2008); Chikungunya (LANCIOTTI et al., 2007) e DENV (GURUKUMAR et al., 2009). Um protocolo multiplex também avaliou amostras de soro para infecção pelo vírus Mayaro e pelo vírus Oropouche (GOMES NAVECA et al., 2017c).

As extrações do RNA foram realizadas com o kit comercial QIAmp® *RNA kit* (QIAGEN, Chatsworth, CA, USA), sendo utilizado 140 µl de cada espécime, eluído em 60 µl de tampão AVE, de acordo com as recomendações descritas pelo fabricante (**APÊNDICE B**). Em seguida, as amostras de RNA foram congeladas a -80°C para realização dos testes moleculares.

Dois protocolos de Reação em Cadeia da Polimerase da Transcriptase Reversa em Tempo Real (RT-qPCR) foram utilizados. O primeiro foi previamente descrito por Lanciotti (LANCIOTTI et al., 2008), tendo como alvo o gene do envelope do ZIKV (ENV), enquanto o segundo protocolo foi delineado pelo nosso grupo, baseado nas sequências nucleotídicas disponíveis do gene da proteína não estrutural 5 (NS5) genótipo asiático do ZIKV. Ambos os protocolos foram realizados como reações individuais usando 2,5µL de cada RNA, com o kit TaqMan® Fast Virus 1-Step em um sistema de PCR em tempo real StepOnePlus™ (Applied Biosystems, EUA), sob as seguintes condições: 50°C para cinco minutos

(transcrição reversa), 95°C por 20 segundos, seguidos por 45 ciclos de 95°C por três segundos e 60°C por 60 segundos (**APÊNDICE C**). Todas as amostras foram relatadas como positivas se apresentassem um valor de limiar de ciclo (Ct) <38.

4.9.2 Análise dos níveis de citocinas, quimiocinas e fatores de crescimento

Os soros dos pacientes também foram enviados para o Instituto René Rachou (Fiocruz Minas Gerais) para análise dos níveis de citocinas, quimiocinas e fatores de crescimento.

Ensaio com 27 analitos-microesferas de alta performance (Bio-Rad, Hercules, CA, EUA) foi empregado para detecção e quantificação de múltiplos alvos, incluindo: CXCL8 (IL-8); CXCL10 (IP-10); CCL11 (Eotaxina); CCL3 (MIP-1 α); CCL4 (MIP-1 β); CCL2 (MCP-1); CCL-5 (RANTES); IL-1, IL-6, TNF- α , IL-12; IFN- γ , IL-17; IL-1Ra (antagonista do receptor de IL-1); IL-2; IL-4; IL-5; IL-7; IL-9; IL-10; IL-13; IL-15; FGF-básico; PDGF; VEGF; G-CSF e GM-CSF. As amostras foram testadas de acordo com as instruções do fabricante no instrumento Bio-Plex 200 (Bio-Rad).

4.10 Análise estatística

4.10.1 Análise estatística referente aos artigos 1 e 2

As análises estatísticas foram inicialmente realizadas utilizando o GraphPad Prism (GraphPad Software 6.0, San Diego, CA, EUA). A análise comparativa dos registros clínicos foi realizada utilizando-se Teste exato de Fisher. A análise dos níveis de biomarcadores foi realizada utilizando testes U de Mann-Whitney. As

correlações multivariadas foram analisadas com o teste não paramétrico de Spearman. teste (alfa .05) em execução no software JMP, v13.1.0 (Instituto SAS, Cary, NC, EUA). Correlações (Spearman r) foram representados por uma matriz de mapa de cores. A dinâmica da viremia, quimiocinas, citocinas, e fatores de crescimento foram avaliados usando a mediana do valor de cada analito. Análise comparativa dos biomarcadores foi realizada pelo teste de Kruskal-Wallis seguido pelo teste de Dunn. Para todos os testes, as diferenças foram consideradas significativo quando $p < 0,05$ usando testes bicaudais. As estratégias de gerenciamento de dados foram aplicadas para identificar perfis gerais e específicos do tempo. Para a assinatura de biomarcador a análise foi realizada conforme descrito anteriormente (LUIZA-SILVA et al., 2011). Gráficos de radar foram usados para compilar assinaturas de biomarcadores de controles e infectados pelo ZIKV, aplicando o percentil de 75% como limite. Diagramas de Venn foram criados para identificar atributos compartilhados e exclusivos, juntamente com a linha do tempo do início dos sintomas (<http://bioinformatics.psb.ugent.be/webtools/Venn/>). Software Cytoscape v3.2.0 (<http://www.cytoscape.org/>) foi empregado para visualizar e integrar múltiplos atributos em redes nodais circulares. Conectando bordas foram desenhados para ressaltar cada associação como (linha contínua) ou negativa (linha tracejada). O biomarcador padrão de cluster foi definido por heatmaps montados usando Software R (função `heatmap.2`; v3.0.1). Árvores de decisão de algoritmos foram gerados com o software WEKA v3.6.11 (Universidade de Waikato, Nova Zelândia) para identificar atributos de raiz e ramo e segregar pacientes dos controles. Curvas ROC foram construídas para definir o corte e identificar biomarcadores com melhor desempenho discriminando pacientes infectados pelo ZIKV de controles. Índices de desempenho (co-positividade, co-negatividade,

positivo e razões de verossimilhança negativas) foram calculados usando MedCalc software v7.3 (Ostend, Bélgica).

4.10.2 Análise estatística referente aos artigos 3

Para analisar o processo de detecção foi utilizado o modelo de ocupação multinível desenvolvido por Nichols et al, (2009). As probabilidades e covariáveis foram avaliadas utilizando modelos descritos anteriormente (McClintock et al, 2010; MacKenzie & Bailey, 2004). Ajustamos os modelos por meio de máxima verossimilhança e avaliamos o desempenho relativo usando a versão de Akaike (AICc) e métricas relacionadas (Burnham & Anderson, 2002). As análises foram feitas em PRESENCE v. 11.8.

4.10.3 Análise estatística referente aos artigos 4

Foi utilizada a Razões de chances (*odds ratios* – OR) de diagnóstico de zika em relação à dengue. A presença de sinais e sintomas (exantema maculopapular, febre, prurido, artralgia, edema articular, cefaleia, conjuntivite, mialgia, linfadenopatia, diarreia, náuseas e vômitos) foram estimadas por meio de modelo de regressão logística múltipla. Para a entrada e retenção (*stepwise backward*) das variáveis explicativas no modelo considerou-se a significância estatística da associação de ZIKV com a presença de sinais e sintomas nos níveis de 20% e 5%, respectivamente. A significância estatística foi determinada pelo teste de *Wald* e a qualidade do ajuste do modelo final pela análise de medidas de *deviance*, utilizando-se o programa Stata 12.

5. RESULTADOS PARCIAIS

Como resultados parciais, foram publicados dois artigos:

Artigo 1 - Atrial fibrillation in a patient with Zika vírus infection – relato de caso referente ao objetivo específico número 4 - Descrever possíveis manifestações atípicas durante o curso da infecção pelo vírus (**ANEXO 1**);

Artigo 2 - Analysis of the immunological biomarker profile during acute Zika virus infection reveals the overexpression of CXCL10, a chemokine linked to neuronal damage – artigo completo referente ao objetivo específico número 1 - Detectar os níveis séricos de citocinas, quimiocinas e fatores de crescimento dos pacientes infectados e comparar com o grupo controle (**ANEXO 2**);

Além disso, dois artigos estão sendo desenvolvidos:

Artigo 3 - Molecular detection of Zika virus in serum, urine and saliva from acute-phase patients: effects of differential RNA shedding and RT-PCR sensitivity - artigo completo, em fase de correções de texto, referente ao objetivo específico número 2 - Verificar por meio de parâmetros moleculares (RT-qPCR) a presença do vírus na saliva, urina e plasma dos pacientes e avaliar o melhor fluido para diagnóstico da Zika (**ANEXO 3**);

Artigo 4 - Clinical and laboratory profile of patients infected by Zika vírus - artigo completo, em fase de análise de dados, referente ao objetivo específico número 3 - Analisar os achados clínicos e laboratoriais a fim de identificar pontos potencialmente úteis para o diagnóstico da doença.

Referente a esse artigo foi apresentado um trabalho intitulado “Desenvolvimento de modelo de regressão logística múltipla para apoio ao diagnóstico diferencial das infecções sintomáticas pelo vírus Zika e Dengue” no MEDTROP 2018.

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ANEXO 1

CASE REPORT

Open Access



Atrial fibrillation in a patient with Zika virus infection

Ligia Fernandes Abdalla^{1,2†}, João Hugo Abdalla Santos^{3,4†}, Renata Teodora Jales Barreto⁴, Erick Martins e Souza³, Fabrício Fonseca D'Assunção², Márcio Aurélio Borges⁴, Valdinete Alves Nascimento^{5,6}, George Allan Villarouco da Silva^{1,7}, Victor Costa de Souza^{5,6}, Rajendranath Ramasawmy^{1,7,8}, Ana Carolina Campi-Azevedo⁹, Jordana Graziela Coelho-dos-Reis⁹, Lis Ribeiro do Vale Antonelli⁹, Andréa Teixeira-Carvalho⁹, Olindo Assis Martins-Filho⁹ and Felipe Gomes Naveca^{1,5,6,10*}

Abstract

Background: Zika virus is an emerging arbovirus of the family *Flaviviridae* and genus *Flavivirus* that until 2007 was restricted to a few cases of mild illness in Africa and Asia.

Case presentation: We report a case of atrial fibrillation disclosed during an acute Zika virus infection in a 49-year-old man. Different biological samples were analyzed for the molecular diagnosis of Zika by real-time PCR, however only the saliva specimen was positive. The patient's wife tested positive in the serum sample, although she was an asymptomatic carrier. Moreover, a complete overview of patient's biomarkers, including cytokines, chemokines, and growth-factors levels, was analyzed and compared to gender and age matching non-infected controls, as well as other Zika infected patients, considering the 95%CI of the mean values. Elevated levels of CXCL8, CCL11, CCL2, CXCL10, IL-1 β , IL-6, TNF- α , IFN- γ , IL-17, IL-1Ra, IL-4, IL-9, FGF-basic, PDGF, G-CSF, and GM-CSF were observed in the Atrial fibrillation patient, in contrast to uninfected controls. Furthermore, increased levels of CCL5, IL-1 β , TNF- α , IFN- γ , IL-9, G-CSF, and GM-CSF were observed only in the atrial fibrillation patient, when compared to other Zika patients.

Conclusions: To our knowledge, this is the first description of this type of cardiac disorder in Zika patients which may be considered another atypical manifestation during Zika virus infection.

Keywords: Zika virus, Arboviruses, Atrial fibrillation, Cardiac disorders

Background

Zika disease is an emerging illness caused by an arbovirus of the family *Flaviviridae* and genus *Flavivirus* [1]. In 1947, Zika virus (ZIKV) was isolated from a rhesus monkey in the Zika forest in Uganda, and 5 years later, in 1952, it was described infecting humans [2, 3]. Until 2007, ZIKV was restricted to a few cases of mild illness in Africa and Asia, and then it was associated with an outbreak of acute febrile illness in the Yap Island, Micronesia [4]. In Brazil, the virus was identified in autochthonous cases in the first months of 2015 [5, 6]. In August of the same year,

an increase in the number of neonates with microcephaly was detected in Brazil and the hypothesis that ZIKV infection caused the microcephaly epidemic was formulated [7]. In November 2015, the Ministry of Health declared the situation a national public health emergency and a few months after this, WHO elevates this alert as a Public Health Emergency of International Concern [8–10].

ZIKV infections are often associated with slight fever, headache, maculopapular rash, arthralgia and conjunctivitis [4]. However, atypical clinical manifestations have been previously reported including neurological complications [11], congenital syndrome [12], ocular problems [13] and cardiovascular complications [14]. Here, we report a case of atrial fibrillation during a confirmed case of acute ZIKV infection.

* Correspondence: felipe.naveca@fiocruz.br

[†]Equal contributors

¹Programa de Pós-Graduação em Imunologia Básica e Aplicada, Universidade Federal do Amazonas, Manaus, Amazonas, Brazil

⁵Laboratório de Ecologia de Doenças Transmissíveis na Amazônia, Instituto Leônidas e Maria Diane – Fiocruz Amazônia, Manaus, Amazonas, Brazil

Full list of author information is available at the end of the article



Case presentation

JLLP, a 49-year-old man, industrial worker, resident in Manaus, Amazonas, Brazil, was admitted to the emergency at Hospital Adventista de Manaus (HAM). The patient showed skin rash, pruritus, arthralgia, headache, myalgia, bilateral conjunctivitis, fever (38.5 °C) and hypertensive crisis with blood pressure (BP) of 240/120 mmHg, but heart rate and cardiac auscultation were normal. The patient had no travel history and described the appearance of symptoms 3 days before seeking medical attention. Besides, the patient reported the absence of hypertensive episodes or any other cardiac disorder in the past.

Immediately, the treatment for the hypertensive crisis was initiated with sodium nitroprusside (250 ml glycated serum 5% + Nipride – 1 ampoule = 2 ml) administered 5 ml/h by continuous infusion. In the following two, three and 4 hours it was administered 7 ml, 10 ml and 15 ml of sodium nitroprusside, respectively, but the blood pressure was still elevated. No abnormalities in electrocardiogram (ECG) and chest radiography (CR) were observed.

The patient was still refractory to blood pressure control (BP 238/120 mmHg) 4 hours after starting treatment, and showed elevated blood glucose levels (250 mg/dL), therefore, he was transferred to the Intensive Care Unit (ICU). Suddenly, the patient suffered a cardiac arrhythmia (atrial fibrillation - AF) which was chemically reversed with an attack dose of two ampoules (6 ml) of intravenous amiodarone hydrochloride (50 mg/ml). For the maintenance dose, six ampoules of amiodarone (8 ml/h) were administered in 5% glycated serum (250 ml) by continuous infusion for 12 h.

Due to the symptoms presented at the time of attendance, and the ongoing Zika outbreak in course, the patient and his wife, an asymptomatic contact, were inserted into the protocol for ZIKV surveillance. Both had samples of blood, urine, and saliva collected for arboviral testing by the reverse transcription real-time polymerase chain reaction (RT-qPCR).

On the sixth day of hospitalization, the patient underwent magnetic resonance imaging (MRI); echocardiographic doppler (DE) and coronary angiography (CA). Only the MRI was altered with bilateral supratentorial microangiopathic gliosis. A second ECG was performed on the eighth day of hospitalization, which presented no alterations and the patient was discharged. Serological tests for other infectious diseases were negative and the RT-qPCR results showed positivity for ZIKV in the saliva sample. Although still asymptomatic, his wife also tested positive for ZIKV in the serum sample.

Materials and methods

The patient's blood sample was collected for Dengue virus NS1 testing (CTK Biotech OnSite rapid test) and

IgM/IgG serologic testing using enzyme immunoassay technology for dengue (Serion Elisa classic Dengue). The sample was also tested for rubella (Abbott AxSYM); measles (Bio-Rad Laboratories, Hercules, CA) and Parvovirus B19 (Serion Elisa), as recommended by the manufacturers'.

The serum, urine and saliva samples of the patient and his wife were sent to Instituto Leônidas e Maria Deane - Fiocruz Amazônia - to test for ZIKV [15]; Chikungunya virus (CHIKV) [16]; DENV [17]. A multiplex protocol also evaluated serum samples for Mayaro virus (MAYV) and Oropouche virus (OROV) infection [18].

The patient's serum was also sent to Instituto René Rachou (Fiocruz Minas Gerais) for the analysis of cytokines, chemokines, and growth-factors levels. High-performance microbeads 27-plex assay (Bio-Rad, Hercules, CA, USA) was employed for detection and quantification of multiple targets, including: CXCL8 (IL-8); CXCL10 (IP-10); CCL11 (Eotaxin); CCL3 (MIP-1 α); CCL4 (MIP-1 β); CCL2 (MCP-1); CCL-5 (RANTES); IL-1 β , IL-6, TNF- α , IL-12; IFN- γ , IL-17; IL-1Ra (IL-1 receptor antagonist); IL-2; IL-4; IL-5; IL-7; IL-9; IL-10; IL-13; IL-15; FGF-basic; PDGF; VEGF; G-CSF and GM-CSF. The sample was tested according to the manufacturer's instructions on a Bio-Plex 200 instrument (Bio-Rad). The patient's results were compared to two reference groups: I) a control group consisting of 54 healthy male subjects, age ranging from 18 to 40 years (median = 30 years), all living in Manaus – AM and II) a group consisting of 24 ZIKV-infected male patients, with classical zika illness presentation, age ranging from 20 to 59 years (median = 37 years), all living in Manaus – AM. The mean values for each biomarker were compared with the 95%CI values of each reference groups.

Supplementary exams included: hematological and biochemical tests; electrocardiogram (ECG); chest radiography (CR); abdominal ultrasonography (AU); coronary angiography (CA); doppler echocardiographic (DE) and magnetic resonance imaging (MRI).

Results

Serology and molecular tests

The DENV NS1 testing was negative, as well as the serological tests for rubella, parvovirus B19, and measles IgM, whereas positive serological results were observed for rubella and measles IgG.

The RT-qPCR showed positivity to ZIKV in the patient's saliva (mean Ct value: 32.3) and in the serum of his wife (mean Ct value: 31.1). No positivity was found for DENV, CHIKV, MAYV or OROV.

Cytokine, chemokine and growth factors levels

The serum levels of chemokines, cytokines and growth factor were evaluated and the data presented in Fig. 1. The results demonstrated that there was an increase in

the levels of chemokines (CXCL8, CCL11, CCL2 and CXCL10); pro-inflammatory cytokines (IL-1 β , IL-6, TNF- α , IFN- γ and IL-17); regulatory cytokines (IL-1Ra, IL-4 and IL-9) and growth factors (FGF-basic, PDGF, G-CSF and GM-CSF) in the ZIKV-infected patient with atrial fibrillation, considering the 95%CI of the mean values observed for a control group of gender-matching healthy individuals. No difference was observed for the CCL4 and CCL5 (chemokines) and VEGF (growth factor). Conversely, lower levels of CCL3, IL-12, IL-5, IL-10, IL-13 were observed in the ZIKV-infected patient with atrial fibrillation as compared to the healthy controls (Fig. 1a).

The overall biomarker profile observed in the ZIKV-infected patient with atrial fibrillation was also compared with a group of gender-matching ZIKV-infected

patient. Data analysis revealed that the case reported here displayed increased the levels of CCL5, IL-1 β , TNF- α , IFN- γ , IL-9, G-CSF and GM-CSF and decreased levels of CCL4, IL-12, IL-13 and VEGF as compared with the 95%CI of the mean values found in the group patients infected with the ZIKV. No differences were observed for the CXCL8, CCL11, CCL3, CCL2, CXCL10, IL-6, IL-17, IL-1Ra, IL-4, IL-5, IL-10, FGF-basic and PDGF (Fig. 1b).

Supplementary exams

The blood samples for hematological and biochemical tests were collected during the acute phase of the disease, 4 days after the onset of symptoms, and showed: hematocrit: 46%; hemoglobin: 15 g/dl, leukocytes: $16,900 \times 10^3 \text{ mm}^3$, platelets: $143,000 \times 10^3 \text{ mm}^3$; lymphocytes: 26%; eosinophils: 2%; neutrophils: 88%; monocytes:

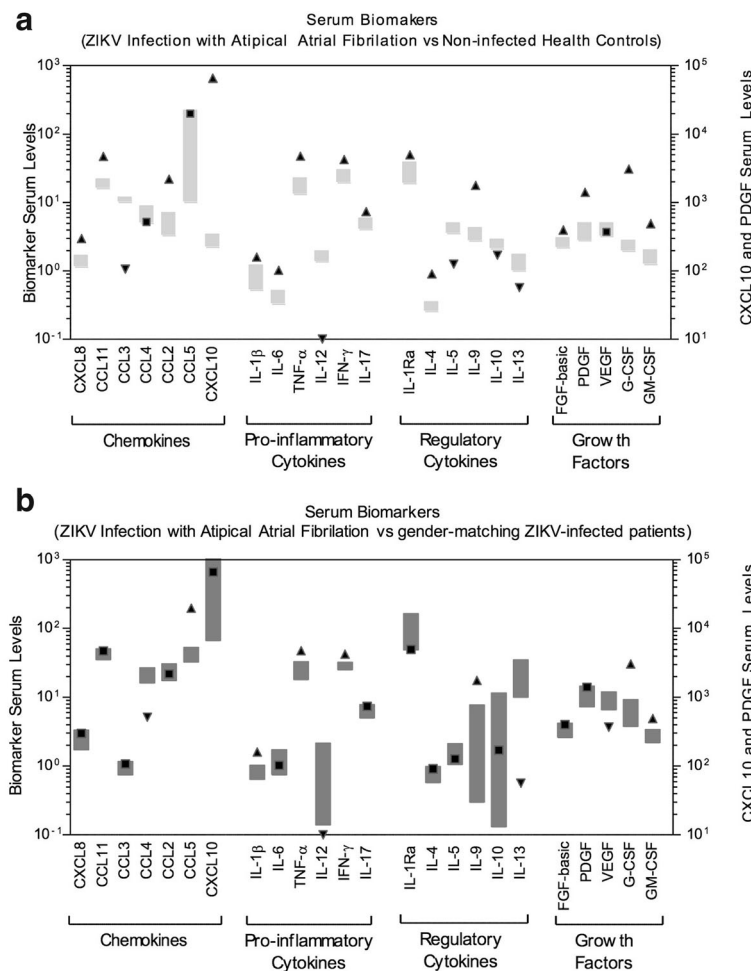


Fig. 1 Overview of serum chemokines, cytokines and growth factors of the atrial fibrillation patient compared to control groups. Serum biomarkers: CXCL8 (IL-8); CXCL10 (IP-10); CCL11 (Eotaxin); CCL3 (MIP-1 α); CCL4 (MIP-1 β); CCL2 (MCP-1); CCL-5 (RANTES); IL1- β , IL-6, TNF- α , IL-12; IFN- γ , IL-17; IL-1Ra (IL-1 receptor antagonist); IL-2; IL-4; IL-5; IL-7; IL-9; IL-10; IL-13; IL-15; FGF-basic; PDGF; VEGF; G-CSF and GM-CSF were measured by high performance microbeads 27-plex assay, as described in materials and methods, with the 95%CI represented by gray boxes. Black arrows represent an increase (up) or decrease (down) in the patient's biomarkers level in comparison to control groups; a black square represents biomarkers levels at the 95%CI. **a** Biomarkers' levels: case vs. gender matching non-infected health controls; **b** Biomarkers' levels: case vs. gender matching ZIKV-infected patients

4%; ALT: 26 U/L; AST: 24 U/L; GGT: 32 U/L; creatinine: 0,78 mg/dL; urea: 25 mg/dL; alkaline phosphatase: 56 mg/dL; total bilirubin: 0,5 mg/dL; direct bilirubin: 0,2 mg/dL; indirect bilirubin: 0,3 mg/dL; creatine phosphokinase: 62 U/L; prothrombin time (PT):14,5 s; prothrombin activity: 84,5%; albumin: 3,2 g/dL and C protein: 21 mg/L.

The patient underwent two ECG, one performed during the hospitalization period and other 8 days after, without abnormalities. Among the image exams, only the MRI results showed an alteration, a bilateral supratentorial microangiopathic gliosis, which may be related to acute myocardial infarction.

Discussion

In the present study, we described a case of AF in a patient with ZIKV infection, 4 days after the onset of symptoms. Until the present time, there is no similar reports relating cardiac arrhythmias and ZIKV virus infection. However, a case of myocarditis associated with to ZIKV infection during the acute phase of illness [14]. Considering that the patient of this study had no prior history of cardiovascular disease and no other heart abnormality was observed in the image exams, we may hypothesize the association of FA with the acute ZIKV infection.

Some studies have reported the relation of a cardiac disorder and arbovirus infection. In a survey carried out in a cardiovascular reference center during a dengue epidemic in Colombia (2010), 24 patients with dengue were related to cardiovascular symptoms, and 42.8% of these individuals had heart rhythm disturbances [19]. Another case report described West Nile virus causing myocarditis with an arrhythmia that led to patient death [20]. In 1972, a study described cases of Chikungunya related to cardiac manifestations such as myocarditis and cardiomyopathy [21].

Studies in rodents reinforce this theory, once changes in the ventricular repolarization were observed in ECG after ZIKV infection. Authors also found abnormalities in the synaptic conduction of motor neurons in the heart and cardiac muscles, and such changes could trigger arrhythmic processes [22]. Furthermore, myocarditis during ZIKV infections was also demonstrated in a mouse model [23], where multiple necrosis loci and myocarditis, possibly associated with pulmonary edema, were observed.

Different studies have reported the detection of viral RNA in various body fluids such as blood [24], saliva [25] and urine [26]. Indeed, Musso (2015) found that the ability to detect ZIKV RNA in saliva was higher compared to blood and recommend using different biological fluids to increase the sensitivity of the molecular detection of ZIKV. Based on these studies, and on our results where the ZIKV detection was higher in saliva samples (unpublished data), we chose to collect serum, urine and

saliva samples. Interesting, only the patient's saliva sample was positive in the RT-qPCR.

As some studies have pointed to asymptomatic cases of ZIKV infection through sexual contact [27] or blood transfusion [28], we decided to collect samples from the patient's wife, an asymptomatic contact, and positivity was confirmed in the plasma sample. This result is fascinating since the literature is still very scarce regarding the asymptomatic cases of ZIKV infection.

Concerning the results found in hematological and biochemical tests, the patient presented mild thrombocytopenia ($143,000 \times 10^3 \text{ mm}^3$), leukocytosis ($16,900 \times 10^3 \text{ mm}^3$) and neutrophilia (88%). Comparing these findings with other flaviviruses, the frequent alterations observed in dengue infection are thrombocytopenia, hemoconcentration (with increased hematocrit) and leukopenia; leukocytosis is also described, but in elderly patients [29]. In Yellow fever, at the beginning of the disease, the blood count shows leukocytosis with neutrophilia and then evolves to leukopenia, lymphocytosis, and thrombocytopenia at later stages [30]. About 50% of the patients infected with West Nile virus present leukocytosis and other 15% shows leukopenia [31].

We observed alterations in the immune response with elevated levels of some cytokines, chemokines and growth factors in contrast to healthy controls. Other studies also found an increase of several pro-inflammatory cytokines including IL-18, TNF- α , IFN- γ , IL-8, IL-6, GRO- α and IL-17 [32, 33]. When compared to other individuals who are also in the acute phase of ZIKV infection, the patient also had elevated levels in different biomarkers. Other studies have observed a direct link between inflammation and atrial remodeling and, consequently, AF maintenance [34]. In general, the results revealed that the ZIKV-infected patient with atrial fibrillation presented a typical serum biomarker storm already reported for ZIKV-infected patients [33], with a more prominent pro-inflammatory status mediated by CCL5, IL-1 β , TNF- α , and IFN- γ . This result links the inflammatory cytokines to the atrial fibrillation onset and prognostic implications, as previously reported [35]. Therefore, it is possible that the elevation of pro-inflammatory cytokines during ZIKV infection may increase the risk of AF.

Conclusion

Our findings support the cardiac involvement as one of the atypical manifestations during ZIKV infections. The present results also strengthen the importance of collecting other body fluids in addition to serum, to improve the diagnostic of Zika. Besides, we describe several biomarkers levels altered in comparison to healthy controls and other Zika patients.

Abbreviations

AF: Atrial fibrillation; BP: Blood pressure; CA: Coronary angiography; CHIKV: Chikungunya virus; CR: Chest radiography; DE: Echocardiographic doppler; DENV: Dengue virus; ECG: Electrocardiogram; HAM: Hospital Adventista de Manaus; ICU: Intensive Care Unit; MAYV: Mayaro virus; MRI: Magnetic resonance imaging; OROV: Oropouche virus; RT-qPCR: Reverse transcription real-time polymerase chain reaction; WHO: World Health Organization; ZIKV: Zika virus

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Availability of data and materials

Luminex data is available upon request.

Authors' contributions

All authors were involved in writing the article or reviewing it critically for important intellectual content, and all authors approved the final version to be submitted for publication. Patient follow-up, interpretation of clinical exams and sample collection - JHAS, RTJB, MAB, LFA. Design of the study - JHAS, RTJB, LFA, FGN. Data acquisition - JHAS, MAB, EMS, FFD, VAN, GAVS; VCS; FGN. Laboratory analysis and interpretation of data - VAN; GAVS; VCS; RR; OAMF; ATC; ACCA; JGCR; LRVA; FGN. Preparation of the manuscript and submission - JHAS, LFA; RTJB; EMS; FFD; OAMF; ACCA; JGCR; LRVA; ATC; FGN.

Ethics approval and consent to participate

All the procedures performed were according to the Ethics Committee of the State University of Amazonas (CAAE: 56,745,116.6.0000.5016) and with the Declaration of Helsinki of 1975, revised in 1983.

Consent for publication

Written informed consent was obtained from the patient for publication of this report.

Competing interests

The authors declare that they have no competing interests.

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Author details

¹Programa de Pós-Graduação em Imunologia Básica e Aplicada, Universidade Federal do Amazonas, Manaus, Amazonas, Brazil. ²Universidade do Estado do Amazonas, Manaus, Amazonas, Brazil. ³Universidade Federal do Amazonas, Manaus, Amazonas, Brazil. ⁴Hospital Adventista de Manaus, Manaus, Amazonas, Brazil. ⁵Laboratório de Ecologia de Doenças Transmissíveis na Amazônia, Instituto Leônidas e Maria Diane – Fiocruz Amazônia, Manaus, Amazonas, Brazil. ⁶Programa de Pós-Graduação em Biologia Celular e Molecular, Instituto Oswaldo Cruz, Fiocruz, Rio de Janeiro, Brazil. ⁷Fundação de Medicina Tropical – Dr Heitor Vieira Dourado, Manaus, Amazonas, Brazil. ⁸Universidade Nilton Lins, Manaus, Amazonas, Brazil. ⁹Instituto René Rachou – Fiocruz Minas, Minas Gerais, Brazil. ¹⁰Programa de Pós-Graduação em Biologia da Interação Patógeno-Hospedeiro, Instituto Leônidas e Maria Diane – Fiocruz Amazônia, Manaus, Amazonas, Brazil.

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ANEXO 2

Analysis of the immunological biomarker profile during acute Zika virus infection reveals the overexpression of CXCL10, a chemokine linked to neuronal damage

Felipe Gomes Naveca^{1,2/+}, Gemilson Soares Pontes³, Aileen Yu-hen Chang⁴, George Allan Villarouco da Silva^{2,7}, Valdinete Alves do Nascimento¹, Dana Cristina da Silva Monteiro², Marineide Souza da Silva², Lígia Fernandes Abdalla^{2,5}, João Hugo Abdalla Santos⁶, Tatiana Amaral Pires de Almeida¹, Matilde del Carmen Contreras Mejía¹, Tirza Gabrielle Ramos de Mesquita⁷, Helia Valeria de Souza Encarnação⁷, Matheus de Souza Gomes⁸, Laurence Rodrigues Amaral⁸, Ana Carolina Campi-Azevedo⁹, Jordana Graziela Coelho-dos-Reis⁹, Lis Ribeiro do Vale Antonelli⁹, Andréa Teixeira-Carvalho⁹, Olindo Assis Martins-Filho⁹, Rajendranath Ramasawmy^{7,10}

¹Fundação Oswaldo Cruz-Fiocruz, Instituto Leônidas e Maria Deane, Programa de Pós-Graduação em Biologia da Interação Patógeno-Hospedeiro, Manaus, AM, Brasil

²Universidade Federal do Amazonas, Programa de Pós-Graduação em Imunologia Básica e Aplicada, Manaus, AM, Brasil

³Instituto Nacional de Pesquisas da Amazônia, Manaus, AM, Brasil

⁴George Washington University, Washington DC, United States of America

⁵Universidade do Estado do Amazonas, Manaus, AM, Brasil

⁶Hospital Adventista de Manaus, Manaus, AM, Brasil

⁷Fundação de Medicina Tropical Dr Heitor Vieira Dourado, Manaus, AM, Brasil

⁸Universidade Federal de Uberlândia, Patos de Minas, MG, Brasil

⁹Fundação Oswaldo Cruz-Fiocruz, Centro de Pesquisas René Rachou, Belo Horizonte, MG, Brasil

¹⁰Universidade Nilton Lins, Manaus, AM, Brasil

BACKGROUND Infection with Zika virus (ZIKV) manifests in a broad spectrum of disease ranging from mild illness to severe neurological complications and little is known about Zika immunopathogenesis.

OBJECTIVES To define the immunologic biomarkers that correlate with acute ZIKV infection.

METHODS We characterized the levels of circulating cytokines, chemokines, and growth factors in 54 infected patients of both genders at five different time points after symptom onset using microbeads multiplex immunoassay; comparison to 100 age-matched controls was performed for statistical analysis and data mining.

FINDINGS ZIKV-infected patients present a striking systemic inflammatory response with high levels of pro-inflammatory mediators. Despite the strong inflammatory pattern, IL-1Ra and IL-4 are also induced during the acute infection. Interestingly, the inflammatory cytokines IL-1 β , IL-13, IL-17, TNF- α , and IFN- γ ; chemokines CXCL8, CCL2, CCL5; and the growth factor G-CSF, displayed a bimodal distribution accompanying viremia. While this is the first manuscript to document bimodal distributions of viremia in ZIKV infection, this has been documented in other viral infections, with a primary viremia peak during mild systemic disease and a secondary peak associated with distribution of the virus to organs and tissues.

MAIN CONCLUSIONS Biomarker network analysis demonstrated distinct dynamics in concurrence with the bimodal viremia profiles at different time points during ZIKV infection. Such a robust cytokine and chemokine response has been associated with blood-brain barrier permeability and neuroinvasiveness in other flaviviral infections. High-dimensional data analysis further identified CXCL10, a chemokine involved in foetal neuron apoptosis and Guillain-Barré syndrome, as the most promising biomarker of acute ZIKV infection for potential clinical application.

Key words: Zika virus - CXCL10 - biomarkers - chemokines - cytokines

The Zika virus (ZIKV) is an arthropod-borne *Flavivirus*, transmitted mainly by female *Aedes* mosquitoes

and it that usually causes a mild illness characterized by conjunctivitis, pruritus, muscle and joint pain, rash, and slight fever. Outbreaks of ZIKV infection were first recorded in Micronesia and later in French Polynesia, where atypical manifestations were initially documented, including Guillain-Barré syndrome (Oehler et al. 2014). In Brazil, ZIKV infection during pregnancy has been linked to an unusual increase in the number of microcephaly cases (de Oliveira et al. 2016). Following the Brazilian report of congenital malformations, the number of microcephaly cases in French Polynesia was reanalysed, and a connection with ZIKV was further es-

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+ Corresponding author: felipe.naveca@fiocruz.br

FGN, OAM-F, RR, GSP, AY-hC and AT-C contributed equally to this work.

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established. The broad spectrum of foetal clinical manifestations resulting from ZIKV infection led to a new classification termed congenital Zika syndrome.

The host immune response plays an important role in the clinical course of patients with viral infection. Particularly, cellular immunity and key components of the innate immune response, such as interferons and other cytokines/chemokines, play an essential role in limiting viral spread. To date, only two studies describing immune mediators in Zika-infected patients have been reported (Tappe et al. 2016, Kam et al. 2017). In Tappe et al. (2016), a reliable immunological biomarker profile during acute infection could not be established due to the small sample size. Kam et al. (2017) describes immune markers from a cohort from Campinas, Brazil, showing an inflammatory immune response and several immune mediators specifically higher in ZIKV-infected patients, with a statistically significant difference was observed in the levels of CXCL10, IL-10, and HGF observed between patients with and without neurological complications. Kam et al. (2017) also found higher levels of CXCL10, IL-22, MCP-1, and TNF- α in ZIKV-infected pregnant women carrying babies with foetal growth associated malformations.

In this study, we evaluated the immune response during acute ZIKV infection by analysing the serum levels of cytokines, chemokines, and growth factors from an adult cohort of 54 ZIKV-infected cases and 100 controls from Manaus, Brazil over five time points during symptomatic ZIKV infection. We present the time course of cytokine response in relation to viremia and identify a chemokine that may serve as a biomarker of acute ZIKV infection, thus providing new insights into ZIKV neuropathogenesis.

MATERIALS AND METHODS

Study population and design - We used non-probabilistic convenience sampling and a cross-sectional experimental design, together with robust statistical analysis and data mining, for the evaluation of the immunological biomarker profile during acute ZIKV infection. In the first half of 2016, a total of 54 suspected ZIKV-infected cases (29 non-pregnant females and 25 males, all adults) were recruited at Hospital Adventista de Manaus, Amazonas state, Brazil. All patients presented a maculopapular rash, with or without fever, and at least one of the following symptoms: pruritus, arthralgia, joint swelling, or conjunctival hyperemia within five days after symptom onset. Age-matched non-infected (NI) controls, 46 females and 54 males, were enrolled for comparison and basic characteristics, including data from physical examination and virological findings, were obtained. Comprehensive laboratory records, including routine laboratory tests, were available for 21 patients (15 male and six female).

Ethics statement - The study protocol was approved by the Ethics Committee of the Universidade do Estado do Amazonas (CAAE: 56745116.6.0000.5016), and all subjects provided written informed consent.

Differential molecular diagnosis of Zika and viral load estimative - Serum samples were sent to Fiocruz Amazônia and tested for ZIKV (envelope coding region) (Lanciotti et al. 2008), chikungunya virus (CHIKV) (Lan-

ciotti et al. 2007), dengue virus (DENV) (Gurukumar et al. 2009), Mayaro virus (MAYV) and Oropouche virus (OROV) (Naveca et al. 2017) by real-time quantitative polymerase chain reaction (RT-qPCR). Samples positive for CHIKV, DENV, MAYV, or OROV were excluded from further analysis. Sample inclusion criteria also required the internal control (spiked MS2 bacteriophage) to display a Ct value between 30-32. The viremia was estimated by RT-qPCR using absolute quantification by the standard curve method and reported as viral RNA copies /mL.

Dengue virus serology - Serum samples were tested for previous exposure to DENV using Serion ELISA classic Dengue Virus IgG (Institut Virion/Serion GmbH, Germany).

Microbeads assay for serum biomarkers - A high-performance microbeads 27-plex assay (Bio-Rad, Hercules, CA, USA) was employed for detection and quantification of multiple targets, including: CXCL8 (IL-8), CXCL10 (IP-10), CCL11 (Eotaxin), CCL3 (MIP-1 α), CCL4 (MIP-1 β), CCL2 (MCP-1), CCL5 (RANTES), IL-1 β , IL-6, TNF- α , IL-12, IFN- γ , IL-17, IL-1Ra (IL-1 receptor antagonist), IL-2, IL-4, IL-5, IL-7, IL-9, IL-10, IL-13, IL-15, FGF-basic, PDGF, VEGF, G-CSF, and GM-CSF. Samples were tested on a Bio-Plex 200 instrument (Bio-Rad) according to the manufacturer's instructions. The serum levels of IL-2, IL-7, and IL-15 were below the detection limits in several samples and were excluded from further analysis. The results were expressed as pg/mL.

Statistical analysis and data mining - Statistical analyses were initially performed using GraphPad Prism (GraphPad Software 6.0, San Diego, CA, USA). Comparative analysis of the clinical records was carried out using Fisher's exact test. The analysis of biomarker levels in NI controls vs. ZIKV-infected cases and between genders was performed using Mann-Whitney U tests. Multivariate correlations for biomarker levels and routine laboratory tests were analysed with the nonparametric Spearman's test (alpha .05) running on the JMP Software, v13.1.0 (SAS Institute, Cary, NC, USA). Correlations (Spearman r) were represented by a colour map matrix.

The dynamics of viremia, chemokines, cytokines, and growth factors were evaluated using the median value of each analyte. Comparative analysis of the biomarkers was carried out by Kruskal-Wallis test followed by Dunn's test. For all tests, differences were considered significant when $p < .05$ using two-tailed tests.

Data management strategies were applied to identify general and time-specific profiles. Biomarker signature analysis was carried out as previously described (Luiza-Silva et al. 2011). Radar charts were used to compile the biomarker signatures of NI controls and ZIKV-infected cases, applying the 75th percentile as the threshold. Venn diagrams were created to identify shared and unique attributes, along with the timeline of the symptoms onset (<http://bioinformatics.psb.ugent.be/webtools/Venn/>). Cytoscape software v3.2.0 (<http://www.cytoscape.org/>) was employed for visualizing and integrating multiple attributes into circular nodal networks. Connecting edges were drawn to underscore each association as posi-

tive (solid line) or negative (dashed line). The biomarker cluster pattern was defined by heatmaps assembled using R software (heatmap.2 function; v3.0.1). Decision tree algorithms were generated with WEKA software v3.6.11 (University of Waikato, New Zealand) to identify root and branch attributes and segregate patients from controls. ROC curves were built to define the cut-off and identify biomarkers with better performance in discriminating ZIKV-infected patients from NI controls. Performance indices (co-positivity, co-negativity, positive and negative likelihood ratios) were calculated using MedCalc software v7.3 (Ostend, Belgium).

RESULTS

Demographics, clinical records and virological data - The 54 Brazilian Zika cases, 29 non-pregnant females (median age 38 years, interquartile range (IQR) 27.5 - 46.5) and 25 males (median age 37 years, IQR 30 - 50), were enrolled between the first and fifth day after symptom onset. A group of 100 non-infected control subjects who were residents of Manaus, Amazonas, Brazil were also included (46 females (median age 28 years, IQR 23 - 36) and 54 males (median age 29.5 years, IQR 23 - 36). The median viremia level, expressed as copies/mL, was 2,031 (minimum = 133, maximum = 2.4×10^6 , IQR: 881 - 5,268). The frequency of specific ZIKV symptoms was similar between men and women, with the exception that men had an increased frequency of fever compared to women (100% versus 67%, $p = 0.005$) (Table

I). DENV IgG testing showed that 94.4% (51/54) of the patients were positive, two had an undetermined result, and one male subject was negative.

Correlation of immunological biomarkers with routine laboratory tests during acute ZIKV infection - The data on 45 continuous variables including immunological biomarkers, routine laboratory tests, age, viremia, and symptoms onset were analysed (Fig. 1A). Overall, moderate correlations were observed for several variables, and the strongest correlations were observed between TNF- α and CCL5 (Spearman $r = 0.8245$), and lymphocytes (%) and neutrophils (%) (Spearman $r = 0.8084$). All results were represented in a colour map matrix, where statistically supported associations ($p < .05$) between routine laboratorial tests and immunological biomarkers were highlighted (Fig. 1B).

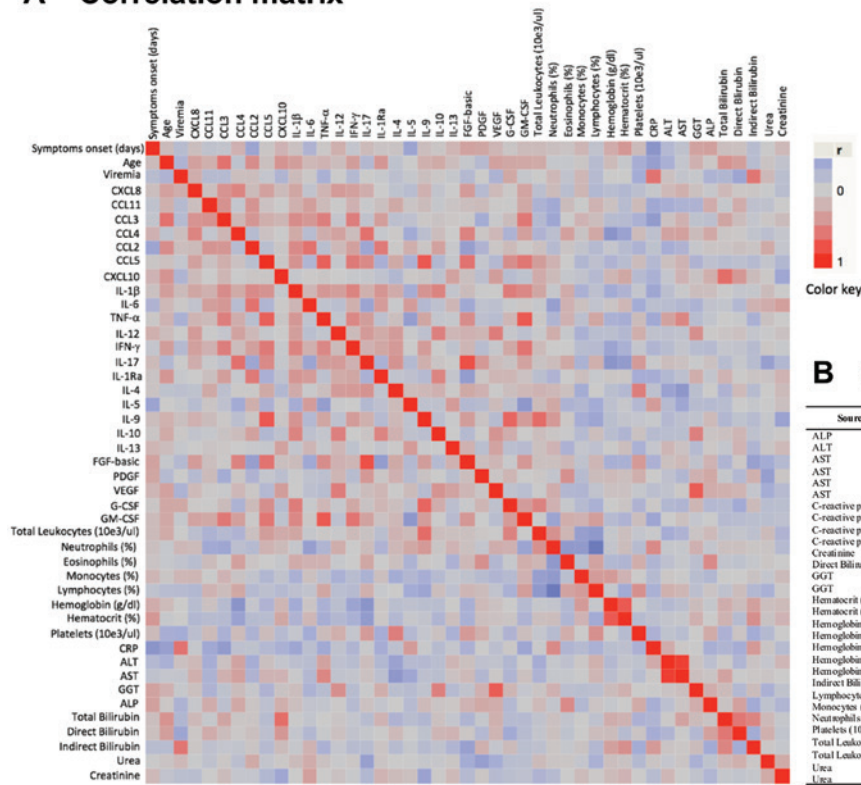
ZIKV-infected patients display high levels of circulating biomarkers - Elevated levels of pro-inflammatory cytokines (IL-1 β , IL-6, TNF- α , IFN- γ and IL-17, except IL-12, which was higher in controls), chemokines (CXCL8, CCL11, CCL3, CCL4, CCL2, CCL5, and CXCL10) and growth factors (FGF-basic, PDGF, VEGF, G-CSF, and GM-CSF) were found in ZIKV-infected cases (Fig. 2, pink panels), whereas higher levels of IL-5 and IL-13 were seen in controls (Fig. 2, blue panels). Interestingly, the levels of IL-4 and IL-1Ra were also higher among patients as compared to controls. No differences were observed for IL-9 and IL-10 (Fig. 2, grey panels). A similar pat-

TABLE I
Demographical aspects, clinical records and virological status of Zika virus (ZIKV)-infected patients

Parameters	All	Females	Males	p
Non-infected controls				
n	100	46	54	NA
Age (years)	29.0 (23-36)	28.0 (23-36)	29.5 (23-36)	0.58
ZIKV-infected patients				
n	54	29	25	n.a.
Age (years)	37.5 (29-48)	38.0 (27.5-46.5)	37.0 (30-50)	0.79
Days of symptoms onset	2.5 (2-4)	2.0 (1-4)	3.0 (2-4)	0.32
Rash	95.0%	94.4%	95.5%	1.00
Fever	85.0%	66.7%	100.0%	0.005
Myalgia	82.5%	83.3%	81.8%	1.00
Conjunctival hyperemia	75.0%	66.7%	81.8%	0.30
Pruritus	70.0%	66.7%	72.7%	0.73
Headache	65.0%	66.7%	63.6%	1.00
Arthralgia	60.0%	72.2%	50.0%	0.20
Joint swelling	25.0%	33.3%	18.2%	0.30
Vomiting or nausea	25.0%	33.3%	18.2%	0.30
Diarrhea	17.5%	27.8%	9.1%	0.21
Lymphadenopathy	12.5%	11.1%	13.6%	1.00
Viremia (ZIKV RNA copies/mL)	2,031 (881-5,268)	2,130 (1,078-5,268)	1,786 (802-8,720)	0.77

Data are reported as median and interquartile range (IQR) for age, days of symptoms onset and viremia. Statistical differences were assessed by Mann-Whitney test. Comparative analysis of clinical records observed in females and males was carried out by Fisher's exact test. Significant differences were considered at $p < 0.05$ for comparisons between females vs. males and are underscored by **bold/underlined** format. Viremia is expressed as copies/mL as described in material and methods. NA: not applicable.

A Correlation matrix



B Correlation indexes

Fig. 1: immunological biomarker correlations with the results of routine laboratory tests, age, viremia, and symptoms. The nonparametric Spearman's test was applied to evaluate multiple correlations between immunological biomarkers and the results of routine laboratory tests. A colour map matrix was plotted showing the strength and direction of these correlations (-1 blue, to +1 red), panel A. Statistically significant correlations ($p < .05$) between immunological biomarkers and routine tests are highlighted in the inserted table, panel B.

tern was observed when results were stratified by gender, although infected males presented significant lower levels of CCL3, CCL4, CCL5, IL-17, FGF-basic, and GM-CSF than females. No significant differences were observed between female and male controls (Table II).

Bimodal viremia is accompanied by increased levels of a defined group of biomarkers - Viremia and biomarker levels were assessed at different time points (day 1 upon symptoms onset was denoted as D1, etc.), with D1 (n = 11), D2 (n = 13), D3 (n = 10), D4 (n = 09), and D5 (n = 05). A bimodal distribution was observed, with two viremia peaks at D2 and D4, with the lowest viremia levels at D5 (Fig. 3, grey panel). Dynamics of CCL5, TNF- α , IFN- γ , IL-17, and G-CSF were closely related to viremia (Fig. 3A). A similar bimodal distribution was observed for IL-1b and IL-13 (Fig. 3B). The highest levels of CXCL8 and CCL2 were observed at D1 and D2 (Fig. 3C). An inverse correlation was observed for IL-12, IL-10, and VEGF (Fig. 3D), where the highest levels were observed at the lowest levels of viremia. The levels of CCL3, CXCL10, IL-6, and FGF-basic displayed a distinct pattern, with the lowest levels observed at D3, coinciding with the first drop in viremia (Fig. 3E). A valley at D4 followed by an increase at D5 was observed for CCL11, CCL4, IL-1Ra, and IL-4

(Fig. 3F), and unique patterns were observed for IL-5, IL-9, PDGF, and GM-CSF (Fig. 3G).

Biomarkers were also evaluated in controls, and the IQR are represented by dashed lines (Fig. 4). Most biomarker levels differed between patients and controls at all time points, except for IL-10 at D1 and D2, and IL-1b at D3. No differences were observed for IL-9.

ZIKV infection elicited a set of general and timeline-specific biomarkers - The biomarker levels were used to build a signature (Fig. 5A-B) as described in the Methods section. A significant difference in the overall profile was observed in ZIKV-infected cases as compared to controls. Furthermore, the radar chart revealed that 19/24 (79%) biomarkers were highly induced by ZIKV infection. Almost all biomarkers analysed were found at levels above the global median in more than 75% of the infected patients (Fig. 5, B panel).

Venn diagram analysis showed that four chemokines (CCL4, CCL2, CCL5, CXCL10), two cytokines (IL-6, IL-4), and two growth factors (PDGF, G-CSF) were significantly induced at all time points (Fig. 5C). Of note, TNF- α appears as the only biomarker at the intersection of the viremia peaks (D2 and D4). In contrast, IL-10 is the only unregulated biomarker at viremia valleys (D3 and D5), while increased levels of IL-12 appear at D5 (Fig. 5D).

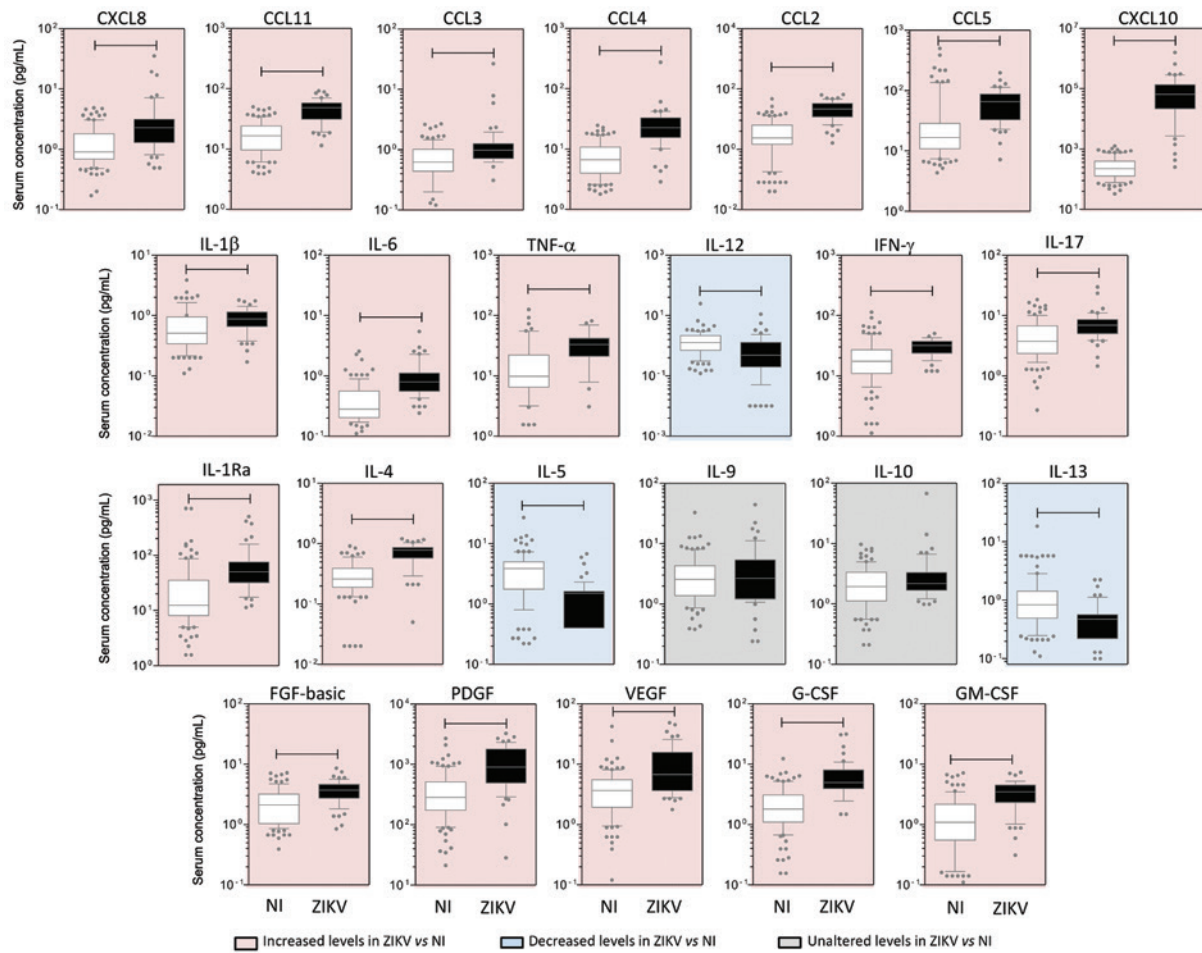


Fig. 2: panoramic overview of serum chemokines, cytokines, and growth factors during early stages of Zika virus (ZIKV) infection in adults. Serum biomarkers (CXCL8, CCL11, CCL3, CCL4, CCL2, CCL5, CXCL10, IL-1 β , IL-6, TNF- α , IL-12, IFN- γ , IL-17, IL-1Ra, IL-4, IL-5, IL-9, IL-10, IL-13, FGF-basic, PDGF, VEGF, G-CSF, and GM-CSF) were measured in ZIKV-infected patients (D1 to D5, ZIKV = ■, n = 54) and non-infected subjects [non-infected (NI) = □, n = 100] by high performance Luminex 27-plex assay as described in Methods. Data are expressed as pg/mL and are displayed in box and whisker (10-90 percentile) plots. Comparative analysis between NI vs. ZIKV was performed by Mann-Whitney test and significant differences at $p < .05$ are underscored by connecting lines. Coloured backgrounds highlight increased (pink), decreased (blue), and unaltered (grey) levels of serum biomarkers in ZIKV as compared to NI.

Distinct biomarker networks are observed at different time points - Cytoscape software was used to conduct a correlative analysis of immunological biomarkers. The exploratory analysis demonstrated that earlier infection was associated with more complex biomarker networks. Most correlations at D1 and all correlations at D2 were positive (solid lines). The level of complexity decreased from D1 to D5 (Fig. 6).

High-dimensional data analysis identified CXCL10 as the most promising biomarker for a putative clinical application - A heatmap matrix was constructed to evaluate the profile of biomarkers associated with ZIKV infection. CXCL10 clustered with one clade separately from the other attributes (Fig. 7A). In addition, a decision tree was built to identify the biomarker most able to segregate patients. This approach confirmed the heatmap observations indicating CXCL10 as the most specific biomarker, followed by IL-4 and VEGF. The analysis

showed a very high global accuracy (99.4%) with a leave-one-out cross-validation of 96.8% (Fig. 7B). The significance of these attributes (CXCL10, IL-4, and VEGF) was assessed by 3D-plots, and the performance of the root attribute (CXCL10) was evaluated by scatterplot distribution and ROC curve analysis (Fig. 7C-D). CXCL10 alone showed a very high global accuracy ranging from 0.952-0.998. Together, the results demonstrated that CXCL10 measurement identified 94% of the patients, with no false positive identification and outstanding indices (co-positivity, co-negativity, and likelihood ratio).

DISCUSSION

The pathogenesis of ZIKV infection is still largely unknown, and the main determinants of disease manifestations are not yet well established. Understanding serum immunomodulators during acute infection may be a first step in elucidating the mechanisms underlying ZIKV-induced immunopathology.

TABLE II
Serum chemokines, cytokines and growth factors early after Zika virus (ZIKV) infection (DI to D5) in adult females and males

Analytes	Females (F) n = 29				Males (M) n = 25				Score ZIKV/NI	
	NI	ZIKV	p (1)	NI	ZIKV	p (2)	p (3)	(F)	(M)	
CXCL8	0.87 (0.54-1.67)	2.26 (1.57-3.26)	0.0001	0.98 (0.70-1.93)	2.30 (1.19-3.11)	0.0018	0.5669	2.6	2.3	
CCL11	16.44 (9.29-22.22)	48.94 (30.12-61.91)	0.0001	16.65 (10.62-25.20)	43.82 (29.11-56.95)	0.0001	0.3488	3.0	2.6	
CCL3	0.59 (0.41-0.86)	1.15 (0.80-1.32)	0.0001	0.65 (0.45-1.13)	0.89 (0.67-1.07)	0.0469	0.0205	1.9	1.4	
CCL4	7.28 (4.56-12.20)	28.76 (18.76-35.67)	0.0001	5.94 (3.75-9.79)	20.18 (12.63-26.64)	0.0001	0.0162	4.0	3.4	
CCL2	2.08 (1.00-4.97)	20.73 (13.45-34.70)	0.0001	2.46 (1.94-7.15)	21.98 (11.86-32.34)	0.0001	0.9862	10.0	8.9	
CCL5	15.17 (11.36-34.98)	82.77 (64.75-108.00)	0.0001	17.00 (9.83-25.70)	34.06 (23.66-65.81)	0.0001	0.0001	5.5	2.0	
CXCL10	232 (128-434)	71,219 (32,899-148,407)	0.0001	218 (109-392)	44,645 (10,423-69,757)	0.0001	0.1030	307	205	
IL-1 β	0.52 (0.24-0.96)	0.93 (0.56-1.19)	0.0176	0.52 (0.29-1.00)	0.77 (0.61-1.14)	0.1511	0.5374	1.8	1.5	
IL-6	0.29 (0.20-0.57)	0.79 (0.63-1.00)	0.0001	0.28 (0.21-0.55)	0.81 (0.52-1.73)	0.0001	0.1944	2.7	2.9	
TNF- α	9.76 (6.10-20.20)	35.87 (25.45-44.08)	0.0001	10.08 (6.45-22.62)	26.93 (15.02-41.84)	0.0015	0.1101	3.7	2.7	
IL-12	1.26 (0.51-2.30)	0.63 (0.22-1.66)	0.0554	1.39 (0.96-2.17)	0.34 (0.09-1.08)	0.0001	0.1895	0.5	0.2	
IFN- γ	14.97 (9.63-24.54)	31.91 (26.39-38.65)	0.0001	19.79 (14.14-27.31)	26.41 (23.61-35.97)	0.0035	0.4283	2.1	1.3	
IL-17	3.84 (2.21-7.53)	7.88 (6.28-9.02)	0.0001	3.57 (2.50-6.76)	5.96 (4.41-7.15)	0.0114	0.0092	2.1	1.7	
IL-1Ra	11.81 (7.81-28.66)	47.00 (34.03-65.59)	0.0001	12.33 (8.95-36.13)	54.94 (30.77-115.10)	0.0001	0.3623	4.0	4.5	
IL-4	0.27 (0.20-0.39)	0.81 (0.59-0.86)	0.0001	0.26 (0.17-0.41)	0.73 (0.53-0.90)	0.0001	0.6890	3.0	2.8	
IL-5	3.16 (1.67-5.02)	1.50 (0.40-1.61)	0.0001	4.70 (2.00-5.35)	1.38 (1.07-1.61)	0.0001	0.5792	0.5	0.3	
IL-9	2.21 (1.19-4.11)	3.10 (1.69-5.72)	0.0783	2.62 (1.59-4.69)	1.42 (1.18-3.83)	0.0837	0.0518	1.4	0.5	
IL-10	1.73 (0.75-3.39)	2.11 (1.65-3.22)	0.1046	1.95 (1.51-3.02)	2.25 (1.52-3.41)	0.5478	0.9571	1.2	1.2	
IL-13	0.75 (0.37-1.34)	0.48 (0.22-0.57)	0.0135	0.98 (0.80-1.57)	0.57 (0.37-0.57)	0.0001	0.3634	0.6	0.6	
FGF-basic	1.84 (1.01-3.14)	4.34 (3.71-5.27)	0.0001	2.24 (1.28-3.73)	3.24 (2.13-4.24)	0.0468	0.0014	2.4	1.4	
PDGF	359 (125-585)	1,012 (616-1,933)	0.0001	258 (196-403)	823 (416-1,578)	0.0001	0.3670	2.8	3.2	
VEGF	2.87 (1.78-6.29)	6.72 (4.18-16.33)	0.0001	3.70 (2.17-4.97)	6.26 (3.66-15.21)	0.0005	0.5668	2.3	1.7	
G-CSF	1.86 (1.04-2.86)	5.96 (4.43-8.05)	0.0001	1.83 (1.19-3.29)	4.94 (3.42-7.66)	0.0001	0.2400	3.2	2.7	
GM-CSF	0.87 (0.52-2.00)	3.76 (3.03-4.64)	0.0001	1.35 (0.54-2.16)	2.88 (1.73-3.91)	0.0003	0.0256	4.4	2.1	

Data are reported as median levels (IQR) in pg/mL. Statistical analysis was performed by Mann-Whitney test and significance reported as p-values: p(1), p(2), and p(3) for comparisons between non-infected (NI) vs. ZIKV females, NI vs. ZIKV males and ZIKV females vs. ZIKV males, respectively. Significant differences between NI vs. ZIKV are underscored in **bold** format. Differences between ZIKV females vs. ZIKV males are highlighted by **bold-underline** format. No significant differences were observed between NI females vs. NI males. Score represents the fold change (analyte median value in infected patient divided by analyte median value in controls) segregated by gender.

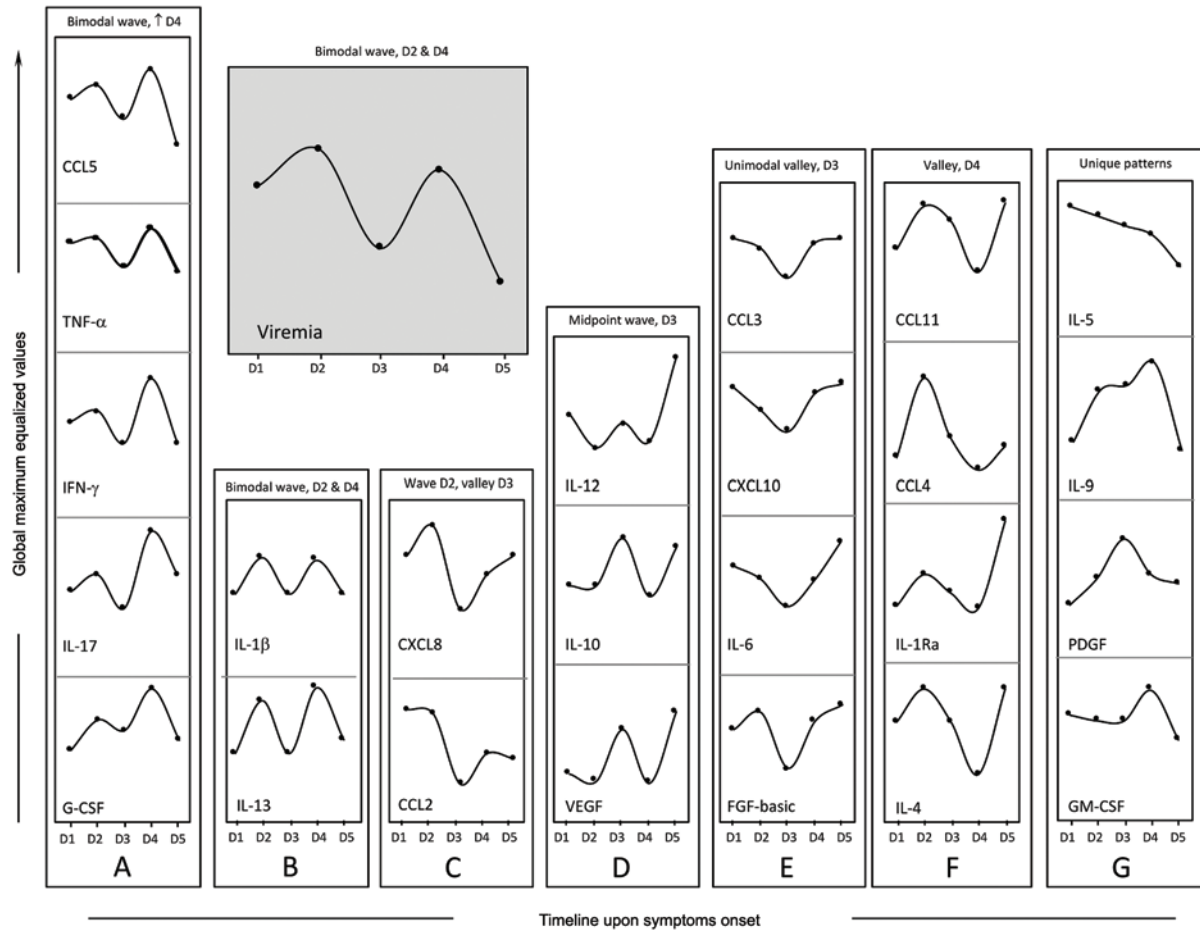


Fig. 3: rhythms of viremia, chemokines, cytokines, and growth factors during early stages of Zika virus (ZIKV) infection in adults. Cross-sectional follow-up of viremia and serum biomarkers was carried out in ZIKV-infected patients categorized according to the time (days) of symptom onset (D1, n = 11; D2, n = 13; D3, n = 10; D4 n = 9 and D5 n = 5). Viremia displayed a bimodal profile with similar waves at D2 and D4 (grey panel). Distinct patterns were identified for clusters of biomarkers, as they displayed kinetic curves shaping a bimodal wave at D2 and a higher wave [↑] at D4 (panel A: CCL5, TNF- α , IFN- γ , IL-17, and G-CSF); a bimodal profile with similar waves at D2 and D4 (panel B: IL-1 β and IL-13); a wave at D2 and a valley at D3 (panel C: CXCL8 and CCL2); a midpoint wave at D3 (panel D: IL-12, IL-10, and VEGF); a unimodal valley at D3 (panel E: CCL3, CXCL10, IL-6, and FGF-basic); a valley at D4 (panel F: CCL11, CCL4, IL-1Ra, and IL-4) or a unique pattern (panel G: IL-5, IL-9, PDGF, and GM-CSF). Data are displayed as global maximum equalized median values of the serum concentrations (pg/mL) for each biomarker.

We show that the immune response during the acute phase of ZIKV infection is polyfunctional and broadly inflammatory, as evidenced by significantly elevated levels of IL-4, IL-17, IFN- γ , IL-1 β , IL-1Ra, TNF- α , and IL-6 in patients as compared to controls. This is consistent with findings from Kam et al. (2017) that a robust pro-inflammatory cytokine response occurs during acute ZIKV infection, with elevations of IL-18, TNF- α , IFN- γ , IL-8, IL-6, GRO- α , and IL-7. A polyfunctional immune activation associated with increased CCL2, CXCL10, IL-6, IL-8, VEGF, and G-CSF levels but decreased levels of IL-13 was also described in the amniotic fluid of ZIKV-positive pregnant women whose infants had microcephaly (Ornelas et al. 2017). Additionally, other studies found higher serum levels of IL-5 and IL-13 amongst healthy controls as compared to infected individuals (Galliez et al. 2016, Kam et al. 2017). Both IL-5 and IL-13 are effector molecules essential to type 2-inflammation, especially in atopic asthma and viral respiratory tract infec-

tions (Edwards et al. 2017). However, the role of these cytokines in the ZIKV-host response is still unclear.

When we stratified the results by gender, ZIKV-infected males presented lower levels of CCL3, CCL4, CCL5, IL-17, FGF-basic, and GM-CSF. The reason for this difference is unknown in the context of ZIKV infection; however, this finding is consistent with the literature demonstrating that females tend to mount a higher innate and adaptive immune system response to viruses as compared to men (Klein 2012). In addition, this discrepancy could be due to females being sampled on average one day earlier than men, with a median time from onset to diagnostic sampling of two days for females and three days for males.

It is possible that previous exposure to flavivirus antigens may affect the immune response to ZIKV infection. In the present study, almost all patients (51/54) tested positive for DENV IgG antibodies. Manaus has had several dengue epidemics, including co-circulation of different serotypes (Figueiredo et al. 2008). Moreover, the Ama-

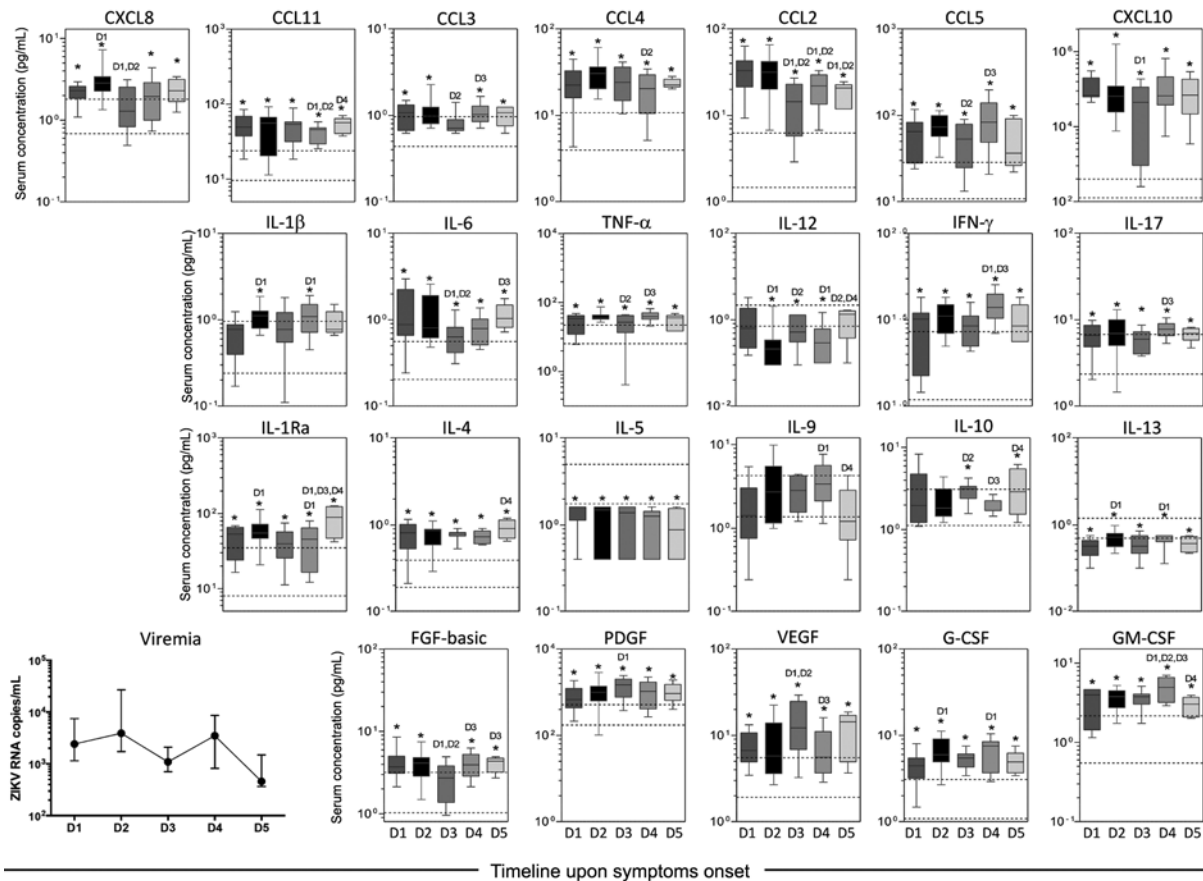


Fig. 4: kinetics of viremia, serum chemokines, cytokines, and growth factors during early stages of Zika virus (ZIKV) infection in adults. Cross-sectional analysis of viremia and serum biomarkers was performed in ZIKV-infected patients categorized according to the time (days) of symptom onset (D1, n = 11; D2, n = 13; D3, n = 10; D4, n = 09 and D5, n = 05). ZIKV RNA copies/mL are displayed as the median and interquartile range (IQR). Biomarker data are expressed in pg/mL and are displayed in box and whisker (10-90 percentile) plots. Multiple comparisons amongst distinct time points of symptom onset were performed by Kruskal-Wallis test followed by Dunn's post-test. Significant differences at $p < .05$ were identified at D1, D2, D3, and D4 as compared to day 1, day 2, day 3, and day 4, respectively. Analysis was also carried out by Mann-Whitney test to compare each time point from ZIKV-infected patients with those from a single group of non-infected controls (NI). Significant differences at $p < .05$ are marked by asterisks (*). Reference ranges for each biomarker were established as interquartile ranges (25th-75th percentiles) observed in NI (dashed lines). Distinct patterns were identified for clusters of biomarkers, as they displayed kinetic curves shaping a bimodal wave at D2 and a higher wave [↑] at D4 (CCL-5, TNF- α , IFN- γ , IL-17, and G-CSF), a bimodal profile with similar waves at D2 and D4 (IL-1 β and IL-13), a wave at D2 and a valley at D3 (CXCL8 and CCL2), a midpoint wave at D3 (IL-12, IL-10, and VEGF), a unimodal valley at D3 (CCL3, CXCL10, IL-6, and FGF-basic), a valley at D4 (CCL11, CCL4, IL-1Ra, and IL-4) or a unique pattern (IL-5, IL-9, PDGF, and GM-CSF).

zonas state is endemic for the yellow fever virus (YFV) and has very high YFV-vaccination coverage. Thus, most individuals enrolled in this study experienced previous flavivirus exposure potentially modulating the cytokine and chemokine responses. These differences in prior flavivirus exposure may account for some differences in the cytokine and chemokine profiles shown in the study by Kam et al. (2017), which examined a Brazilian cohort of patients from Campinas, Brazil, where yellow fever vaccination was not required by the government at the time of their study as it is in Manaus, Brazil.

Consistent with our results, an immune response induced during the acute phase has previously been described in infections caused by ZIKV and other flaviviruses, including YFV and West Nile virus (ter Meulen et al. 2004, Klein et al. 2005, Tappe et al. 2016). In the case of ZIKV infection, the mechanism of the inflammatory

immune response has not been clearly delineated. The immune response may be triggered by viral upregulation of the expression of pattern recognition receptors (PRRs) engaged in downstream pathways and the inflammatory antiviral response, such as IRF7, IFN- α , IFN- β , and CCL5 (Hamel et al. 2015). Interestingly, we showed a strong positive correlation between IFN- α and CCL5, suggesting that the synergistic effect of these cytokines might be crucial for the outcome of acute inflammation caused by ZIKV.

Our findings also revealed higher levels of growth factors and chemokines among patients as compared to controls. Similarly, prior research showed increased levels of CXCL10, CCL5, CCL3, and VEGF in patients acutely infected with ZIKV, while elevated levels of GM-CSF, CCL4, and FGF-basic biomarkers were observed only in the recovery phase (Tappe et al. 2016). Our study

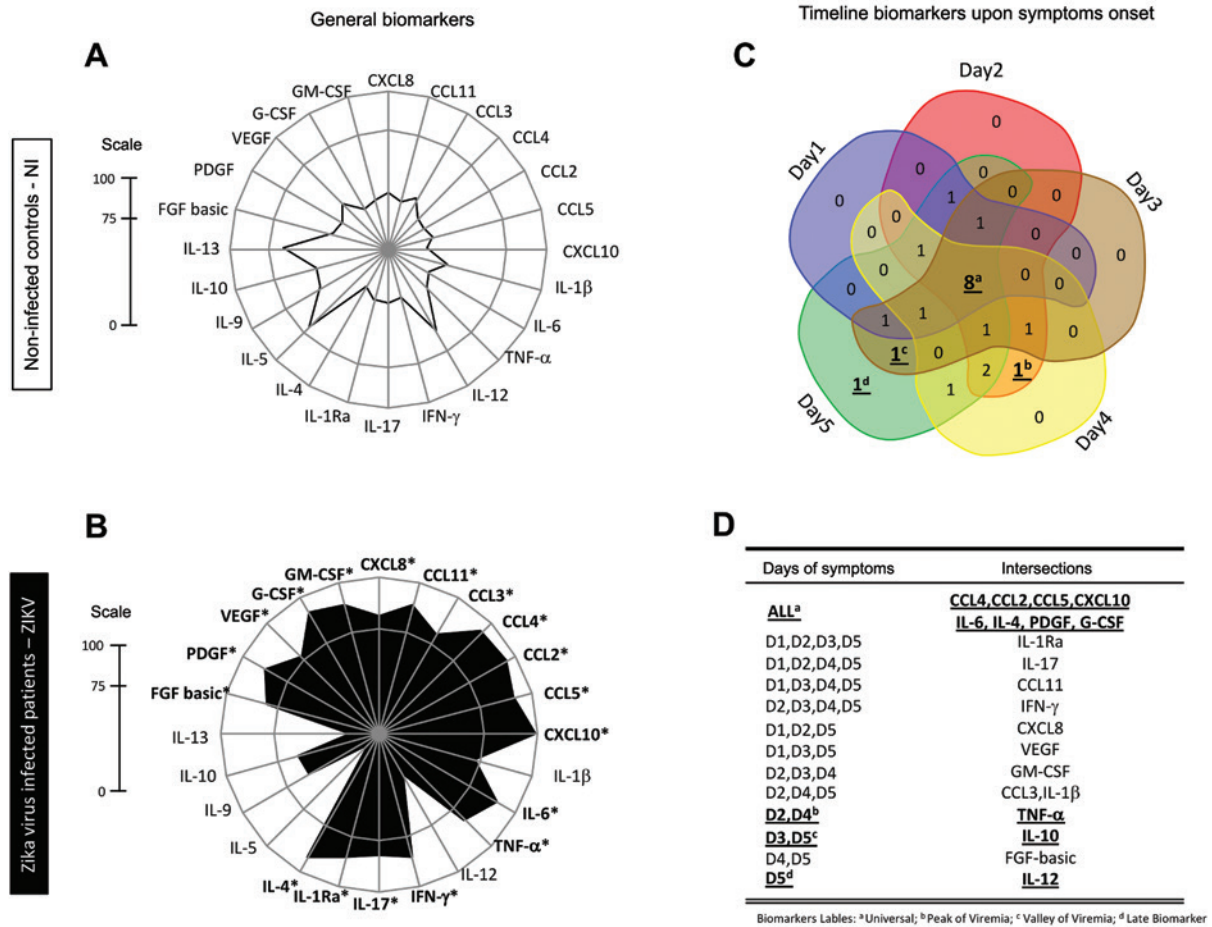


Fig. 5: biomarker levels upon symptom onset and along a time course during early stage Zika virus (ZIKV) infection in adults. Biomarker signatures of non-infected (NI) (□) and ZIKV (■) were constructed as described in Methods. Data are presented in radar charts as the proportion of subjects with serum biomarker levels above the global population median values in (A) NI subjects, and (B) ZIKV-infected patients. Biomarkers with levels above the global median in more than 75% of subjects were highlighted by asterisks (*). (C) A Venn diagram showing the intersections of common attributes and well as selected biomarkers along the timeline of symptom onset: day 1 (blue), day 2 (red), day 3 (brown), day 4 (yellow), and day 5 (green). (D) Venn diagram report summarizing selected attributes with patterns labelled as (a) universal, (b) peak of viremia, (c) valley of viremia, or (d) late biomarkers (inserted table).

demonstrated that all chemokines and growth factors analysed were significantly increased in the acute phase when compared with non-infected controls. In fact, the role of growth factors in the pathogenesis of arboviral infections remains a matter of debate. We demonstrate that a remarkable increase of FGF-basic, PDGF, VEGF, G-CSF, and GM-CSF identifies the acute phase of ZIKV infection, which suggests the importance of chemokines and growth factors in the initiation and regulation of the acute phase immune response.

Increased serum concentrations of both CXCL (CXCL8 and CXCL10) and CCL chemokines (CCL2, CCL3, CCL4, CCL5, and CCL11) were found in acute ZIKV infection. The role of CCL5 in arbovirus-induced immunopathology remains a controversial issue, but levels of this chemokine, along with CCL2 and CCL3, were previously linked to the severity of dengue virus infections, including neurological disease and impairment of neuronal survival (Sathupan et al. 2007, Zlotnik and Yoshie 2012).

Furthermore, we found strong correlations between TNF-a and CCL5 concentrations and the percentages of circulating neutrophils and lymphocytes in acute ZIKV infection. This finding is likely due to the role of TNF-a and CCL5 in leukocyte chemoattraction and demonstrates the important role of this cytokine and chemokine in the stimulation of the innate and adaptive immune system in response to ZIKV infection.

This manuscript is the first to describe the bimodal nature of viremia in acute Zika infection and the corresponding peaks in inflammatory cytokine production. A biological model explaining bimodal viremia was first described in a classical study of using mousepox virus (Fenner 1948). Similarly, flaviviruses are initially replicated in Langerhans cells at the site of inoculation and in draining regional lymph nodes. Despite a robust antiviral innate immune response that eliminates viral infected cells, some virus particles are disseminated by the blood (primary viremia). Therefore, several organs and tissues may be

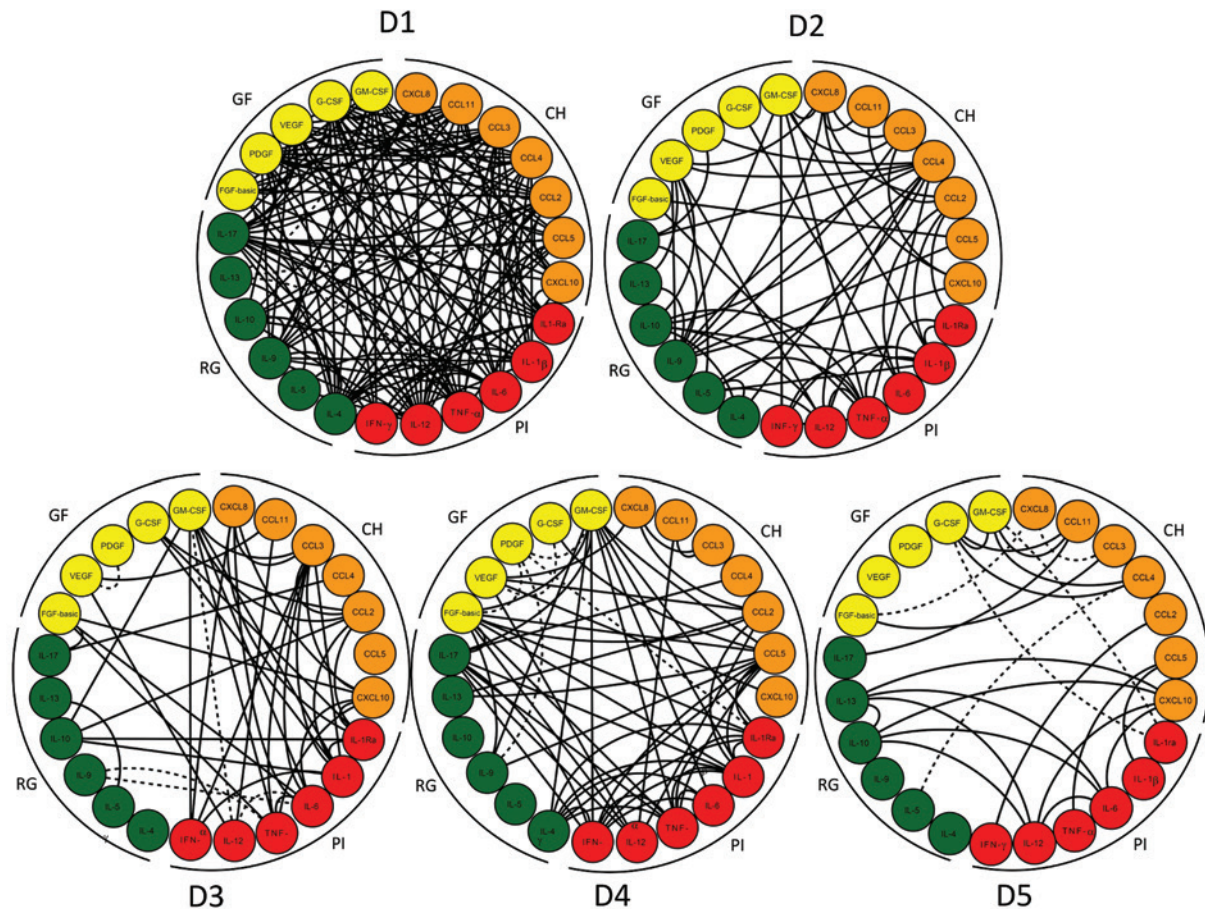


Fig. 6: biomarker networks along a timeline during early stages of Zika virus (ZIKV) infection in adults. Integrative systems biology analysis of attributes was conducted using the Cytoscape software platform to build a circular nodal network layout for each time point following ZIKV infection, from day 1 (D1) up to day 5 (D5), based on Spearman's correlation matrices. Significance was considered at $p < .05$. The timeline of networks is displayed as circular layouts to characterize the interaction along the early time points. Coloured nodes are employed to identify chemokines (CH - orange), pro-inflammatory cytokines (PI - red), regulatory cytokines (RG - green), and growth factors (GF - yellow). Connecting edges underscore the association between attributes, classified as positive (solid line) or negative (dashed line).

come infected, producing a second wave of viral replication that reaches the blood and causes secondary viremia. The equine infection by African horse sickness virus, another arbovirus of the *Orbivirus* genus, *Reoviridae* family, also shows two viremia peaks. The first peak is observed after viral multiplication in lymph nodes, whereas the second peak is observed after viral replication in spleen, lungs and endothelial cells (Mellor and Hamblin 2004).

Interestingly, bimodal viremia has been found in patients after low dose live attenuated 17DD yellow fever vaccine administration (Campi-Azevedo et al. 2014). As compared to the standard dosage vaccine, the low dose live attenuated vaccine is hypothesized to elicit a less robust immune response that does not clear the initial viremia, leading to a second peak of viremia a few days later. Although bimodal viremia, including in flavivirus infections, was observed in the aforementioned studies, our results should be interpreted with caution, as we did not evaluate patients longitudinally. Thus, future studies on this topic are recommended.

In this manuscript, we report high levels of pro-inflammatory mediators during the acute phase of ZIKV infection. Paradoxically, although the inflammatory response leads to viral clearance, the high levels of circulating pro-inflammatory biomarkers may facilitate the transmission of viruses from the circulation to the central nervous system by increasing the permeability of the blood-brain barrier. This phenomenon has been reported for the West Nile virus (Wang et al. 2004), as well as another neurovirulent flavivirus, and may partially explain ZIKV neuroinvasiveness.

Remarkably, CXCL10 expression was increased more than 200-fold in ZIKV-infected subjects as compared to controls. This CXCL10 overexpression has been linked to the IFN- γ signalling pathway induced by ZIKV NS5 protein. According to Chaudhary et al. (2017), NS5 promotes IFN- γ gene activation through the degradation of STAT2 and subsequent induction of STAT1-STAT1 homodimerization. Augmented serum levels of CXCL10 have been found during severe clinical

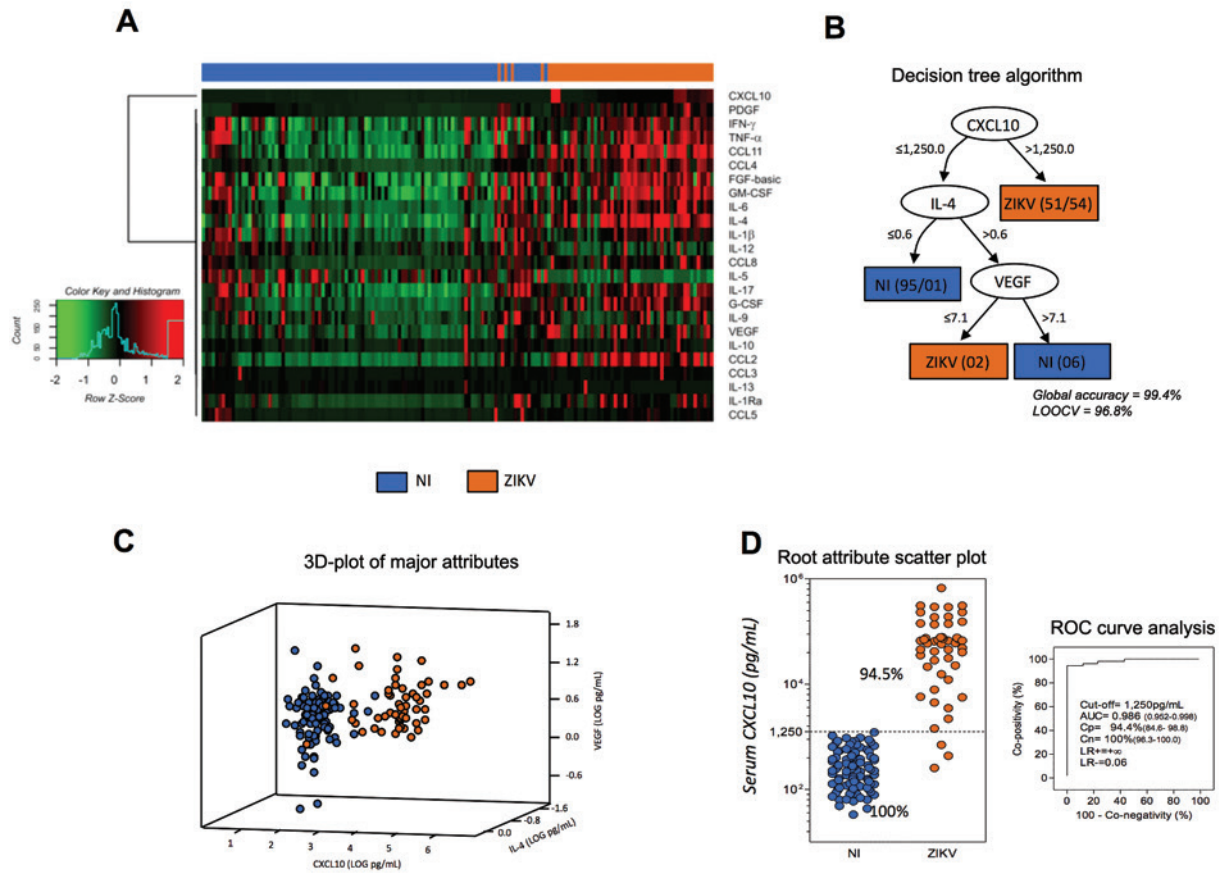


Fig. 7: high-dimensional data analysis during early stages of Zika virus (ZIKV) infection in adults. Machine-learning high-dimensional data approaches were applied to further explore and identify feasible criteria applicable for the clinical follow-up of ZIKV infection. (A) Heatmap panels were built to verify the ability of attributes to segregate ZIKV (orange) and non-infected (NI) (blue) groups as they present low (green) or high (red) levels of serum biomarkers. (B) Decision tree algorithms were generated to define root and branch attributes to segregate patients (ZIKV = orange) from NI controls (NI = blue). Global accuracy and leave-one-out cross-validation (LOOCV) values are provided in the figure. (C) The root/branch attributes selected by the decision tree algorithm were compiled into a 3D-plot to verify their cluster strengths. (D) The performance of the selected root attribute to discriminate ZIKV (orange) from NI (blue) was evaluated by scatterplot distribution and validated by receiver operating characteristic indices (AUC: area under the curve; Cp: co-positivity; Cn: co-negativity; LR+/LR-: positive/negative likelihood ratio).

manifestations of dengue and yellow fever (Melchjorsen et al. 2003). Surprisingly, CXCL10 has also been shown to play an important role in CD-8+ T-cell recruitment as part of an anti-flaviviral response to West Nile virus in the central nervous system (Klein et al. 2005). Furthermore, CXCL10 has been previously identified as a biomarker of severity in several diseases including those caused by bacteria such as *Mycobacterium tuberculosis* and *Legionella pneumophila*, as well as protozoans like *Trypanosoma brucei*, *Leishmania major*, *Plasmodium vivax* or *Plasmodium falciparum* (Liu et al. 2011). Other studies showed that the overexpression of CXCL10 leads to apoptosis in foetal neurons (Liu et al. 2011). CXCL10 has also been strongly implicated in Guillain-Barré syndrome pathogenesis (Chiang and Ubogu 2013). Thus, we hypothesize that the high levels of CXCL10 in ZIKV patients may contribute to neuronal damage affecting the developing foetal brain and potentially targeting peripheral nerves in Guillain-Barré syndrome as well. Consistent with this hypothesis, Kam et al. (2017) specifically

identified higher levels of CXCL10 in ZIKV-infected patients with neurological complications compared to those without and higher levels of CXCL10 in ZIKV-infected pregnant women carrying babies with foetal growth associated malformations.

High levels of CXCL10 have been previously described in acute and convalescent phases, with more prominent expression in the latter (Tappe et al. 2016). Unfortunately, although our data strongly suggest that CXCL10 is a biomarker of acute ZIKV infection, we were unable to perform a longitudinal analysis to verify its kinetics in order to further confirm whether the concentrations of this chemokine would be down or up-regulated across different stages of the disease. In addition, CXCL10 elevation is also observed in pre-eclampsia and hypertension in pregnancy, which can result in a range of foetal injuries including intrauterine growth retardation and neurological damage induced by hypoxia (Gotsch et al. 2007). Thus, it is reasonable to suggest that ZIKV-induced inflammation may increase the frequency of foetal injuries.

CXCL10 may also be an important therapeutic target (Liu et al. 2011). For example, CXCL10 neutralization by specific antibodies or genetic deletion in CXCL10^{-/-} mice protected against cerebral malaria infection and inflammation (Nie et al. 2009). Passive transfer of anti-CXCL10 antibodies reduced inflammatory leukocyte recruitment across the blood-brain barrier. Furthermore, statin medications commonly used for cholesterol control have been shown to decrease CXCL10 and to be effective in CXCL10-mediated Crohn's disease (Grip and Janciauskiene 2009).

In this work, we also describe the relationship between the timing of viremia and cytokine elevations. We assessed the acute phase biomarkers and viral titres at different time points (until day 5). Augmented levels of CCL4, CCL2, CCL5, CXCL5, CXCL10, IL-6, IL-4, PDGF, and G-CSF immunomodulators were observed at all time points. The peaks of viremia, at Day 2 and Day 4, were accompanied by increased TNF- α levels. IL-10 elevation appeared to be directly related to the lowest virus titres (Day 3 and Day 5), while the highest levels of IL-12 were found at Day 5. These findings allow us to deduce that the acute phase of ZIKV is characterized mainly by an innate immune system inflammatory response, with overlap of the inflammatory biomarkers and viremia peaks, while the anti-inflammatory response coincides with viremia decay. Altogether, this study identifies unique characteristics of the acute inflammatory and multifactorial immune response induced by ZIKV and identifies CXCL10 as a potential biomarker of acute infection and, perhaps, a predictor of severity. Nevertheless, further longitudinal studies that measure the host immunopathological response at several time points are required to better characterize the immunological factors involved in Zika disease. The altered concentrations of serum biomarkers observed in this study may bring new insights to the ZIKV immunopathology puzzle.

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AUTHORS' CONTRIBUTION

FGN and RR conceived and designed the study; GAVS, VAN, DCSM, MSS, LFA, JHAS, TAPA, MCCM, TGRM, and HVSE were responsible for the acquisition and quality of the clinical and laboratory data; FGN, VAN and DCSM performed the molecular diagnosis, whereas GAVS, ATC, OAMF, LRVA, ACCA and JGCR performed the serum biomarker measurements; FGN, GSP, AYC, MSG, LRA, ATC and OAMF completed the data mining and statistical analysis; FGN, GSP, AYC, ACCA, JGCR, LRVA, ATC and OAMF wrote the first version of the paper. All authors were involved in the interpretation of the data and participated in the final writing of the manuscript. The authors declare that the funders had no role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript.

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ANEXO 3

1 **Title:** Molecular detection of Zika virus in serum, urine, and saliva from acute-
2 phase patients: effects of differential RNA shedding and RT-PCR sensitivity

3

4 **Author's names:** Ligia Fernandes Abdalla^{1,2*}; Fernando Abad-Franch^{3*}; João
5 Hugo Abdalla^{4,5}; Valdinete Alves Nascimento^{6,7}; Felipe Gomes Naveca^{1,5,6}

6

7 **Institutional affiliations:**

8 1 Programa de Pós-Graduação em Imunologia Básica e Aplicada, Universidade
9 Federal do Amazonas, Manaus, Amazonas, Brazil

10 2 Universidade Estadual do Amazonas, Manaus, Amazonas, Brazil

11 4 Universidade Federal do Amazonas, Manaus, Amazonas, Brazil

12 5 Hospital Adventista de Manaus, Manaus, Amazonas, Brazil

13 6 Instituto Leônidas e Maria Diane – Fiocruz Amazônia, Manaus, Amazonas,
14 Brazil

15

16 *These authors contributed equally to this work.

17 **Corresponding author:**

18 Dr. Felipe Gomes Naveca

19 Rua Teresina, 476 - Adrianópolis, Manaus - AM, 69057-070.

20 Tel: +55 (92) 3621-2323

21 E-mail: felipe.naveca@fiocruz.br

22

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36

37 **Introduction**

38

39 Zika fever is an emerging disease caused by a mosquito-borne flavivirus
40 (Petersen et al, 2016). Zika virus (ZIKV) recently caused a massive outbreak
41 Brazil and is now widespread across the Americas (Zanluca et al, 2015; WHO,
42 2017). The Brazilian epidemic revealed that the usually mild, self-limited infection
43 with ZIKV can lead to severe neurologic disorders including microcephaly and
44 Guillain-Barré syndrome (Leal, 2016; Krauer et al, 2017). ZIKV is primarily spread
45 by *Aedes* mosquitoes but can also be transmitted sexually, from mother to
46 offspring, and through other routes (Grishott et al, 2016; Petersen et al, 2016;
47 Leal, 2016). In humans, ZIKV shedding varies over time and across bodily fluids
48 including blood, semen, urine, and saliva, and this variation can affect both

49 transmission dynamics and infection diagnosis (Petersen et al, 2016, Lustig et al,
50 2016; Froeschl et al, 2017; Prisant et al, 2016; Nicastrì et al, 2016;(Musso et al.
51 2014; Rozé et al, 2016; Sun et al, 2016; Kodati et al, 2017).

52 ZIKV diagnosis is not straightforward. Most patients are asymptomatic – and
53 symptoms, when present, are similar to those caused by dengue or chikungunya.
54 Serological tests can detect anti-ZIKV antibodies, but cross-reactivity complicates
55 the interpretation of results in areas where other arboviruses are endemic.
56 Molecular detection of ZIKV RNA through real time reverse-transcriptase PCR
57 (RT-PCR) is the method of choice for ZIKV diagnosis. Even with highly accurate
58 RT-PCR, however, the process of ZIKV RNA detection is not without difficulties.
59 First, detection depends upon the sample containing viral RNA at a concentration
60 above the assay's (usually very small) detection limit. Second, factors like RNA
61 integrity and sequence variability, PCR inhibition, or sample contamination may
62 all result in incorrect RT-PCR results (Petersen et al, 2016; Charrel et al, 2016;
63 Corman et al, 2016; Waggoner & Pinsky, 2016).

64 More formally, the process of ZIKV detection depends upon a three-level
65 hierarchy of probabilities – the probability that the patient is infected, the
66 probability that a specimen drawn from an infected patient contains ZIKV RNA,
67 and the probability that an RT-PCR assay detects ZIKV RNA in a sample when it
68 is present. While this hierarchical structure is often implicit in diagnostic-test
69 studies, a formal treatment of the ZIKV detection process across all three levels
70 is currently lacking. Here, we use a hierarchical modeling approach to investigate
71 the process of RT-PCR-based ZIKV detection in clinical samples. This allows us
72 to formally disentangle the effects of differential viral shedding and differential test
73 performance on ZIKV detection. We illustrate our approach with a study of serum,

74 urine, and saliva samples from 108 acute-phase patients repeatedly tested with
75 two RT-PCR assays. Apart from clarifying the relative importance of viral
76 shedding and test sensitivity in ZIKV molecular detection, our findings suggest
77 that noninvasive saliva sampling could play an important role in the diagnosis of
78 acute Zika fever.

79

80 **Materials and methods**

81

82 **Study Population**

83

84 From December 2015 to April 2016 were recruited 108 subjects with clinical
85 suspicion of ZIKV infection – defined by diagnosis of maculopapular rash with
86 pruritus, with or without fever up to 38.5°C, and at least one of the following:
87 conjunctivitis, arthralgia, or joint swelling. Seventy-four patients sought care at
88 the Hospital Adventista de Manaus (HAM), a ZIKV surveillance sentinel hospital
89 in Manaus, Amazonas state, Brazil. Serum samples (stored at –80°C) from 34
90 additional subjects who sought care at different hospitals in Manaus were made
91 available by the Central Public Health Laboratory of Amazonas state health
92 department. Of all 108 patients, the mean age was 35.63 years (ranging from 18
93 to 70 years) and 74 (79.92%) were females. All samples were taken one to seven
94 days (median 3.0) after symptom onset.

95

96 **Ethics**

97 All the procedures performed were according to the Ethics Committee of
98 the State University of Amazonas (CAAE: 56745116.6.0000.5016) and with the
99 Declaration of Helsinki of 1975, revised in 1983.

100

101 **Sample collection and processing**

102

103 Standard operating procedures (SOPs) were used for the collection,
104 processing and manipulation of serum and urine samples, while the saliva
105 samples followed a methodology previously described by the author (Abdalla et
106 al, 2010; Schmidt et al, 2017). After collection, the samples were sent to Instituto
107 Leônidas e Maria Deane - Fiocruz Amazônia and kept at -80°C until molecular
108 testing.

109

110 **Molecular testing**

111

112 Viral RNA from serum, saliva and urine was extracted with QIAmp® Viral
113 RNA Mini Kit (QIAGEN Inc., Germany) using 140 µL of each specimen, eluted in
114 60µL of AVE buffer, following the manufacturer's instructions.

115 All the samples were test by two different RT-qPCR assays (Applied
116 Biosystems, US): One was previously described by Lanciotti (2008) - Env gene -
117 and the other was designed by our group based on coding sequences of the non-
118 structural protein 5 (NS5) of Zika virus (Asian genotype) available on may 2016.
119 This target at positions 9,171 to 9,297 of the tentative RefSeq (KX369547) for
120 Zika Asian genotype was amplified and detected with the forward primer
121 ZIKA_FNF 5' – TGTTGAAGGGCTGGGATTAC; reverse primer ZIKA_FNR 5' –

122 CTCCAGATCAAACCTGCTGAT and the probe ZIKA_FNP 5' (FAM) –
123 TGAGTCGCA / ZEN / TACCAGGAGGAAGGA – 3' (Iowa Black FQ). All primers
124 and probes were ordered from the same supplier (IDT DNA Technologies,
125 Coralville, IA).

126 Samples were still tested by RT-qPCR for Chikungunya virus (CHIKV)
127 (Lanciotti et al. 2007), DENV (Gurukumar et al. 2009), Mayaro virus (MAYV)
128 (Naveca et al. 2017) and Oropouche virus (OROV) infection (Naveca et al. 2017).
129 Samples positive for another arbovirus were excluded.

130 Sample inclusion criteria also required the internal control (spiked MS2
131 bacteriophage) to display a Ct value between 30-32. The viremia was indirectly
132 estimated by RT-qPCR and reported as $1/Ct*100$.

133

134 **Rationale**

135

136 We aimed at understanding what factors affect ZIKV RNA detection through
137 RT-PCR in acute-phase patients. As previously stated, we used two RT-PCR
138 assays (Env and NS5) and applied them multiple times to serum, urine and saliva
139 samples from individual patients. We noted that the *non*-detection of ZIKV RNA
140 in a given RT-PCR assay run on a given specimen can be due to:

141 (a) Absence of the target molecule from the specimen; this can reflect two
142 distinct processes – either (i) the patient was not infected or (ii) the specimen did
143 not contain ZIKV RNA, in spite of patient infection, because at the time of
144 sampling there was no viral shedding in the bodily fluid sampled by that
145 specimen; or

146 (b) Unsuccessful RT-PCR, in spite of ZIKV RNA presence in the specimen –
 147 i.e., a false-negative result. (We note that an RT-PCR run may also yield a
 148 negative result if the concentration of the target molecule in the sample is below
 149 the assay’s detection threshold; the Env assay we used can detect about 2–5
 150 viral particles per ml according to the literature (Corman et al, 2016; Lanciotti et
 151 al. 2008).

152 We thus hypothesized that, conditional on a patient being infected, the
 153 detection of ZIKV RNA can primarily depend on (i) differential ZIKV RNA
 154 shedding across bodily fluids and over time (the ‘shedding effect hypothesis’) or
 155 (ii) variation in the sensitivity of different RT-PCR assays or tests (the ‘false-
 156 negative effect hypothesis’) (**Table 1**). Here, we define *shedding* as the
 157 probability that a specimen from an infected patient contains ZIKV RNA, and
 158 *sensitivity* as the per-RT-PCR assay probability of detecting ZIKV RNA in a
 159 specimen that comes from an infected patient *and* contains ZIKV RNA (or, strictly
 160 speaking, contains at least ~2–5 viral particles per ml) (Waggoner & Pinsky,
 161 2016; Lanciotti et al, 2008).

162

163 **Table 1.** Main hypotheses about Zika virus RNA detection in acute-phase clinical samples:
 164 description and examples of predicted model ranking

Hypothesis	Description (including some specific variants)	Model ranking
‘Shedding effect’	Detection primarily depends on shedding variation rather than on RT-PCR sensitivity variation	$\theta(\text{fluid}), p(.) > \theta(.), p(\text{test}/\text{target}/\text{fluid})$
	No variation in shedding across bodily fluids, with shedding probability ≈ 1.0	$\theta(1.0) > \theta(./\text{fluid})$
	No variation in shedding across bodily fluids, but shedding probability < 1.0	$\theta(.) > \theta(\text{fluid}/1.0)$
	Shedding varies across bodily fluids	$\theta(\text{fluid}) > \theta(. / 1.0)$
‘False-negative effect’	Detection primarily depends on RT-PCR sensitivity variation rather than on shedding variation	$\theta(.), p(\text{test}/\text{target}/\text{fluid}) > \theta(\text{fluid}), p(.)$
	Sensitivity is constant across tests	$p(.) > p(\text{test})$
	Sensitivity varies across tests	$p(\text{test}) > p(.)$
	Sensitivity varies primarily across RT-PCR targets	$p(\text{target}) > p(\text{test}) > p(.)$
	Sensitivity varies primarily across bodily fluids	$p(\text{fluid}) > p(\text{test}) > p(.)$

165 ‘Model ranking’ refers to relative model performance, as measured by sample size-corrected Akaike’s
 166 information criterion (AICc); better-performing models rank higher, as indicated by ‘>’

167 θ , Zika virus RNA shedding probability; p , per-RT-PCR assay Zika virus RNA detection probability
168 θ and p can be constant (coded ‘.’), vary as a function of covariates (in *italics*, and as defined in Table 3),
169 or be fixed (with ‘ $\theta(1.0)$ ’ meaning that shedding probability is fixed at 1.0); slashes (‘/’) denote models
170 including any of the effects inside the brackets – e.g., ‘ $\theta(. / fluid)$ ’ refers to either ‘ $\theta(.)$ ’ (constant- θ model)
171 or ‘ $\theta(fluid)$ ’ (in which θ can vary across bodily fluids)
172

173

174 **Modeling**

175

176 To explicitly account for detection-process uncertainties, we used the
177 multilevel occupancy models developed by JD Nichols and colleagues (Nichols
178 et al, 2009). Originally designed to study wildlife biology when organisms are
179 detected imperfectly, occupancy models have found wide application in infectious
180 disease ecology (MacKenzie & Bailey, 2004; McClintock et al, 2010; Lachish et
181 al, 2012; Abad-Franch et al, 2014; Elmore et al, 2016; Leal, 2016). Our models
182 consider a three-level hierarchy of (i) patients, who are infected with probability
183 Ψ ; (ii) different specimens (bodily fluid samples) taken from each patient, which
184 contain ZIKV RNA, given patient infection, with shedding probability θ ; and (iii)
185 RT-PCR assays, which detect ZIKV, given the patient is infected and the
186 specimen contains ZIKV RNA, with detection probability p (**Table 2, Fig 1**)
187 (Nichols et al, 2009). The models use the results of repeated RT-PCR assays run
188 on specimens from each patient to simultaneously derive maximum-likelihood
189 estimates of Ψ , θ , and p . (Nichols et al, 2009). Each assay can yield detection
190 ($Ct \leq 40.0$, coded ‘1’) or non-detection ($Ct > 40.0$, coded ‘0’) of the target RNA,
191 and the set of replicate assays carried out on specimens from a patient yields a
192 detection history for that patient (**Fig 1**) (Nichols et al, 2009; McClintock et al,
193 2010). The probabilities of infection (Ψ), shedding (θ), and detection (p) may vary
194 as a function of covariates describing, e.g., patient or assay traits, with effects

195 evaluated using the logit link function in a generalized linear modeling framework
 196 (McClintock et al, 2010; MacKenzie & Bailey, 2004). Covariate names are
 197 highlighted in *italics* the first time they appear in the next paragraph.

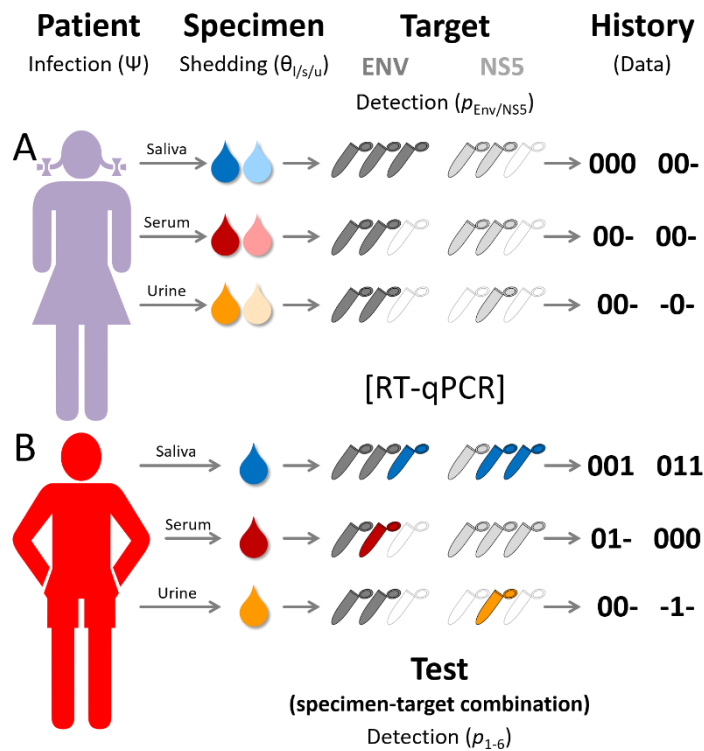
198

199 **Table 2.** Key parameters involved in the process of Zika virus RNA detection by RT-PCR: a
 200 multilevel perspective

Parameter	Definition (<i>interpretation</i>)	Level
Ψ	Probability of Zika virus RNA presence (<i>infection</i>)	Patient
θ	Probability of Zika virus RNA presence in a sample, given patient infection (<i>shedding</i>)	Bodily fluid sample
p	Probability of detecting Zika virus RNA through RT-PCR, given infection and shedding (<i>sensitivity</i>)	RT-PCR assay (reaction)

201

202



203

204 **Figure 1.** Models consider a three-level hierarchy of patients, who are infected with probability
 205 Ψ ; different specimens (bodily fluid samples) taken from each patient, which contain ZIKV RNA,
 206 given patient infection, with shedding probability θ ; and RT-PCR assays, which detect ZIKV,
 207 given the patient is infected and the specimen contains ZIKV RNA, with detection probability p
 208 in two RT-PCR assays (Env and NS5). Each assay can yield detection ($Ct \leq 40.0$, coded '1') or
 209 non-detection ($Ct > 40.0$, coded '0') of the target RNA, and the set of replicate assays carried out
 210 on specimens from a patient yields a detection history for that patient

211

212 Given our focal interest on investigating the detection process, we held Ψ
213 constant in all models. To evaluate the ‘shedding effect hypothesis’, we estimated
214 a separate θ intercept for each bodily *fluid* – serum, urine, and saliva. After
215 exploratory analyses (**Text. S1**), we modeled shedding in serum and urine (but
216 not saliva) as a function of *days* since symptom onset. Patient *gender* and *age*
217 were tested for common effects on θ in all three bodily fluids (**Table 3**). We also
218 ran alternative shedding models including (i) same intercept across bodily fluids
219 (which, if supported by the data, would suggest no differences in shedding); (ii) a
220 common effect of days across bodily fluids (which, if supported, would suggest
221 that shedding in all fluids varies equally through time); and (iii) θ fixed at 1.0 (to
222 assess support for the hypothesis that, if a patient is infected, ZIKV RNA will be
223 present in all her bodily fluids with probability 1.0 – so that any detection failure
224 would be due to the ‘false-negative effect’). To further assess the ‘false-negative
225 effect hypothesis’, we modeled p as a function of *test* (specimen/RT-PCR target
226 combination) while considering potential confounders (days, gender, and age).
227 We also assessed more parsimonious alternatives, namely that sensitivity may
228 depend primarily on (i) RT-PCR *target* (Env vs. NS5), irrespective of bodily fluid,
229 or (ii) bodily fluid, irrespective of RT-PCR target (**Table 3**).

230

231 **Table 3.** Factors investigated in multilevel occupancy models assessing the process of Zika
232 virus RNA detection through RT-PCR

Factor	Definition	Can affect	
		Shedding (θ) ^a	Sensitivity (p) ^b
Fluid	Bodily fluid (serum, urine or saliva)	Likely	Uncertain
Target	RT-PCR molecular target (Env or NS5)	No	Likely
Test	Fluid-target combination (six levels)	No	Likely
Days	Days since symptom onset	Uncertain ^c	Uncertain
Gender	Male or female	Uncertain	Unlikely
Age	Age in years	Uncertain	Unlikely

233 ^a Probability that a sample contains Zika RNA, given the patient is infected; ^b Probability of detecting
234 Zika RNA in a sample, given the patient is infected and the sample contains Zika RNA; ^c Uncertain given

235 the short (1–7d) span of the *days* covariate in our acute-phase group of patients. *A priori* focal
236 expectations are highlighted in **bold** typeface
237

238 Our models assume that (i) patient infection status does not change during
239 sampling (which simultaneous sampling ensured), (ii) there are no false-positive
240 results (which we believe is reasonable given the high specificity of RT-PCR
241 (Charrel et al, 2016; Rozé et al, 2016; Waggoner & Pinsky, 2016; Lanciotti et al,
242 2008) and because none of the negative-control samples we ran in each
243 experiment yielded a positive result), and (iii) patients are independent with
244 respect to infection status (which may not be the case in some instances but does
245 not affect RNA detection). This modeling framework accommodates missing test
246 data and, importantly, takes into account the lack of independence among
247 detections induced by repeated specimen testing (Nichols et al, 2009; MacKenzie
248 & Bailey, 2004). We fit the models via maximum likelihood and evaluated relative
249 model performance using the finite sample-size version of Akaike’s information
250 criterion (AICc) and related metrics (Burnham & Anderson, 2002). These
251 analyses were done in PRESENCE v. 11.8 (Hines, 2006).

252 With our approach, inference is first based on relative model performance
253 **(Table 1)** (Burnham & Anderson, 2002). For example, we may compare two
254 candidate models representing, respectively, the ‘shedding effect’ and the ‘false-
255 negative effect’ hypotheses. Model 1 states that shedding θ can vary among
256 bodily fluids but RT-PCR sensitivity p is constant: $M_{\theta(\text{fluid})} = \Psi(\cdot), \theta(\text{fluid}), p(\cdot)$. Model
257 2 states that θ is constant across bodily fluids but p can vary among RT-PCR
258 tests: $M_{p(\text{test})} = \Psi(\cdot), \theta(\cdot), p(\text{test})$. Suppose we fit both models and find that $M_{\theta(\text{fluid})}$
259 has an AICc score 4.0 units smaller than that of $M_{p(\text{test})}$. Conditional on this two-
260 model set, we would interpret this as evidence that the shedding effect is more
261 relevant to detecting ZIKV with RT-PCR than the false-negative effect. The

262 second inferential step entails examination of the values of regression
 263 coefficients and of Ψ , θ , and p estimates (and their SEs). To account for model
 264 selection uncertainty, we calculated model-averaged coefficients and parameters
 265 with unconditional SEs (Burnham & Anderson, 2002). For simplicity, we present
 266 predictions derived from the top-ranking model in the figures below. This model
 267 included all covariates with measurable effects on shedding and sensitivity (and
 268 only those covariates), and its intercept and slope estimates were virtually
 269 identical to model-averaged estimates (**Table 4**). Further details on the modeling
 270 strategy are provided in **Text S1** and **Table S1**.

271 **Table 4.** Model-averaged estimates with unconditional 95% confidence interval limits
 272 and model weights

Component	Term (scale)	Category	Estimate	CI-low	CI-up	Weight
Infection (Ψ)	Intercept (probability)		0.9353	0.8525	0.9731	1*
Shedding in serum (θ_s)	Intercept (probability)		0.9510	0.7201	0.9932	1
	Days (OR)		0.5872	0.3829	0.9007	0.8990
	Gender (OR)	Female	Ref.			0.0274
		Male	0.5706	0.1867	1.7436	
	Age (OR)		1.0062	0.9621	1.0524	0.0385
Shedding in urine (θ_u)	Intercept (probability)		0.0816	0.0117	0.4008	1
	Days (OR)		1.6700	1.0668	2.6142	0.8990
	Gender (OR)	Female	Ref.			0.0274
		Male	0.5641	0.1658	1.9189	
	Age (OR)		0.9992	0.9506	1.0501	0.0385
Shedding in saliva (θ_l)	Intercept (probability)		0.9177	0.7155	0.9802	1
	Days (OR)**		1	-	-	NA
	Gender (OR)	Female	Ref.			0.0274
		Male	0.4570	0.0434	4.8075	
	Age (OR)		1.0933	0.9439	1.2663	0.0385
Shedding (common slopes)	Days (OR)		1.0321	0.7877	1.3524	0.0151
	Gender (OR)	Female	Ref.			
		Male	0.6325	0.2951	1.3556	0.0239
	Age (OR)		1.0123	0.9790	1.0468	0.0179
Sensitivity (p)	Intercept (probability)		0.7851	0.6296	0.8871	1*
	Target (OR)	NS5	Ref.			
		Env	4.0576	2.5742	6.3958	0.9784
	Test (OR)	Urine-NS5	Ref.			0.0215
		Serum-Env	2.9070	0.9168	9.2172	

		Serum-NS5	0.7300	0.2464	2.1623	
		Saliva-Env	3.9840	1.1876	13.3651	
		Saliva-NS5	0.7216	0.2434	2.1397	
		Urine-Env	1.5242	0.4198	5.5345	
	Days (OR)		0.7978	0.6747	0.9432	0.9482
	Gender (OR)	Female	Ref.			
		Male	0.7328	0.4491	1.1958	0.3270
	Age (OR)		0.9998	0.9802	1.0197	0.1916

273 CI-low and CI-up, lower and upper limits of the unconditional 95% confidence interval

274 Weight, sum of Akaike weights over models containing each term

275 OR, odds ratio

276 *Included in all models by construction

277 **Fixed at 1.00

278

279

280 Results

281

282 756 RT-PCR assays were ran (378 targeting the Env gene and 378
 283 targeting the NS5 gene) on 372 serum, 148 urine, and 236 saliva specimens from
 284 patients with suspected acute-phase ZIKV infection; the results are summarized
 285 in **Table 5**.

286

287 **Table 5.** Diagnostic RT-PCR assays run during the study: results by specimen (serum, urine,
 288 saliva) and RT-PCR target (Env, NS5)

RT-PCR assays	Serum		Urine		Saliva		Target/total	
	Env	NS5	Env	NS5	Env	NS5	Env	NS5
Run	186	186	74	74	118	118	378	378
Positive	120	87	17	15	85	57	222	159
% positive	64.5	46.8	23.0	20.3	72.0	48.3	58.7	42.1
Total run (positive)	372 (207)		148 (32)		236 (142)		756 (381)	
% positive (95% CI)	55.6 (50.6–60.6)		21.6 (15.8–28.9)		60.2 (53.8–66.2)		50.4 (46.8–54.0)	

289 Env, envelope gene; NS5, non-structural protein 5 gene

290 95% CI, score 95% confidence interval limits

291

292

293 **Table 6** shows individual-patient ZIKV RNA testing and detection histories.

294 Overall, 99 out of 108 patients (91.7%) tested ZIKV-positive in at least one RT-

295 PCR run.

296 **Table 6.** Patterns of Zika virus RNA detection in acute-phase clinical samples: number of RT-
 297 PCR assays run and positive in different specimen-RT-PCR target combinations

Serum-Env		Serum-NS5		Urine-Env		Urine-NS5		Saliva-Env		Saliva-NS5		No. patients
Run	+	Run	+	Run	+	Run	+	Run	+	Run	+	
3	3	3	3	1	0	1	0	3	3	3	3	2
3	3	3	3	1	0	1	0	3	3	3	2	1
3	3	3	3	1	0	1	0	3	0	3	0	2
3	3	3	0	1	0	1	0	3	3	3	3	2
3	3	3	0	1	0	1	0	3	3	3	0	1
3	2	3	2	1	0	1	1	3	1	3	0	1
3	2	3	2	1	0	1	0	3	2	3	0	1
3	2	3	1	1	0	1	0	3	3	3	3	1
3	2	3	1	1	0	1	0	3	3	3	0	1
3	1	3	0	1	0	1	0	3	3	3	3	1
3	0	3	0	1	1	1	1	3	1	3	0	1
3	0	3	0	1	0	1	0	3	3	3	3	2
3	0	3	0	1	0	1	0	3	3	3	0	1
3	0	3	0	1	0	1	0	3	2	3	0	1
3	0	3	0	1	0	1	0	3	1	3	0	1
3	0	3	0	1	0	1	0	3	0	3	0	3
1	1	1	1	1	1	1	1	1	1	1	1	2
1	1	1	1	1	1	1	0	1	1	1	1	2
1	1	1	1	1	0	1	1	1	1	1	0	1
1	1	1	1	1	0	1	0	1	1	1	1	8
1	1	1	1	1	0	1	0	1	1	1	0	1
1	1	1	1	1	0	1	0	1	0	1	0	2
1	1	1	0	1	1	1	1	1	1	1	1	3
1	1	1	0	1	1	1	1	1	1	1	0	1
1	1	1	0	1	1	1	0	1	1	1	0	1
1	1	1	0	1	0	1	0	1	1	1	1	6
1	1	1	0	1	0	1	0	1	1	1	0	1
1	0	1	0	1	1	1	1	1	1	1	1	2
1	0	1	0	1	1	1	1	1	1	1	0	1
1	0	1	0	1	1	1	1	1	0	1	1	1
1	0	1	0	1	1	1	0	1	1	1	1	1
1	0	1	0	1	1	1	0	1	1	1	0	1
1	0	1	0	1	1	1	0	1	0	1	0	1
1	0	1	0	1	0	1	1	1	1	1	1	1
1	0	1	0	1	0	1	1	1	1	1	0	1
1	0	1	0	1	0	1	0	1	1	1	1	5
1	0	1	0	1	0	1	0	1	1	1	0	4
1	0	1	0	1	0	1	0	1	0	1	0	6
2	2	2	2	0	-	0	-	0	-	0	-	22
2	2	2	1	0	-	0	-	0	-	0	-	2
2	2	2	0	0	-	0	-	0	-	0	-	3
2	1	2	1	0	-	0	-	0	-	0	-	2
2	1	2	0	0	-	0	-	0	-	0	-	3
2	0	2	1	0	-	0	-	0	-	0	-	2

298 Env, envelope gene; NS5, non-structural protein 5 gene

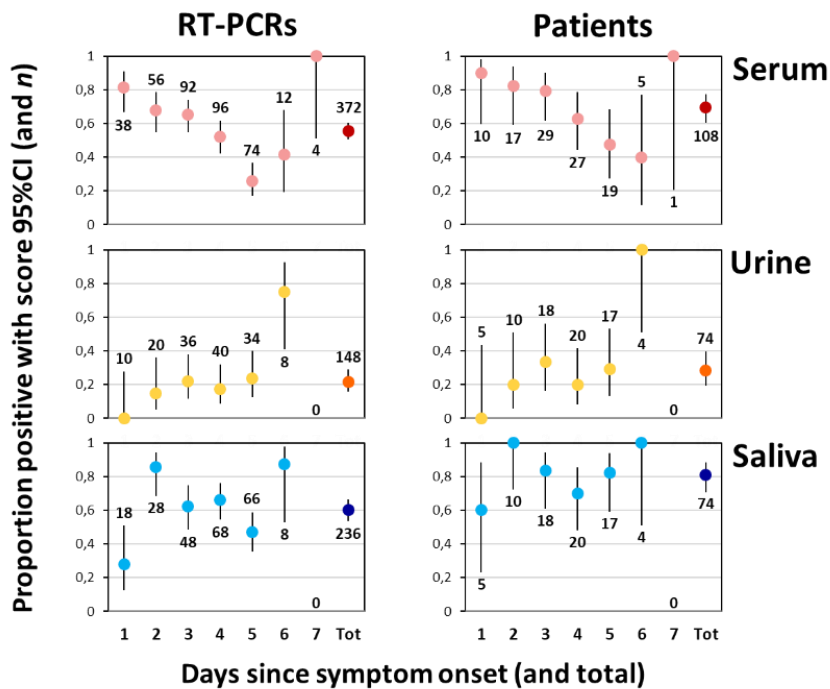
299 Run, number of RT-PCR assays run; +, number of assays in which Zika virus RNA was detected

300 Note that only serum samples were available for 34 patients (last six rows of the Table, with dashes
 301 indicating 'test not run'); hierarchical occupancy models accommodate such missing observations, which
 302 simply do not contribute to the likelihood (see refs. 40,46)
 303

304 When we analyzed patient gender and age by exploratory analyzes, we
 305 suggested that neither patient nor RT-PCR assay positivity were correlated with
 306 these covariates.

307 Out of 74 patients tested with more than a single sample type, five were
 308 found positive in serum only, one in urine only, 14 in saliva only, 25 in serum and
 309 saliva but not in urine, nine in urine and saliva but not in serum, and 11 in all three
 310 fluids; none was found positive in serum and urine (**Fig 2**).

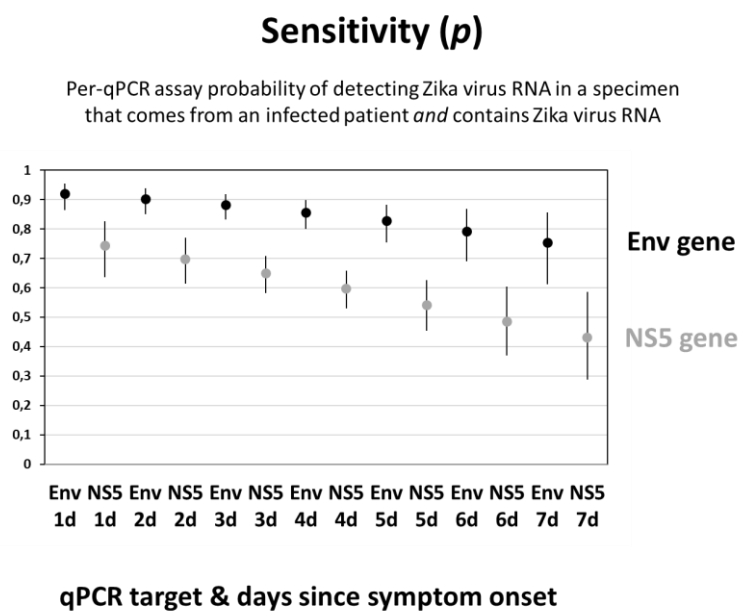
Observed proportion of positive qPCRs and patients



311
 312 **Figure 2.** Proportion of positive qPCRs and patients with score 95%CI (and n)
 313

314
 315

316 Regarding the *Sensitivity (p)* which is defined by the probability of detecting
 317 Zika virus RNA in a specimen from an infected patient using two different RT-
 318 qPCR assays (Env and NS5 gene), we checked that the samples tested by RT-
 319 qPCR assays described by Lanciotti (2008) - gene Env - were more efficient to
 320 detecting Zika virus RNA independent at the time of sampling or bodily fluid
 321 sampled (**Fig 3**). We also observed a higher viral load (i.e. a global lower Ct) in
 322 saliva when compared to serum and urine.

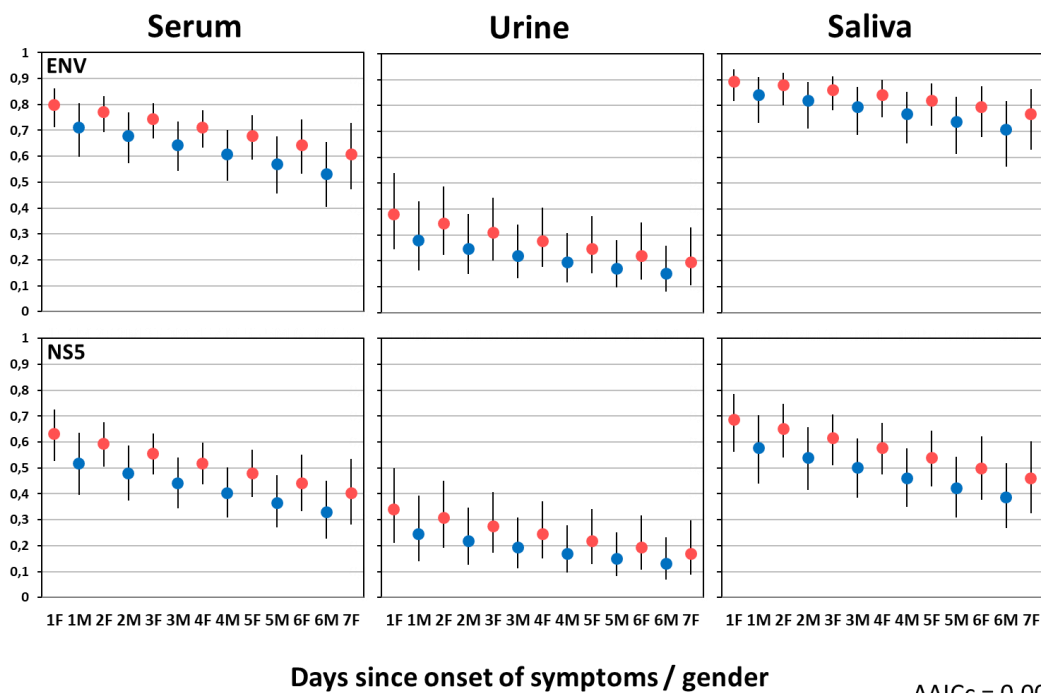


323
 324 **Figure 3.** Probability of detecting Zika virus RNA in a specimen that comes from an
 325 infected patient using two different RT-qPCR assays.

326
 327 Besides that, we found some evidence suggesting that patient and RT-
 328 PCR positivity varied with days since symptom onset for serum (decreasing
 329 trend) and urine (increasing trend), but not for saliva samples (**Fig 4**).

330

Sensitivity by sample type, PCR target, days with symptoms, and gender



331

332 **Figure 4.** Sensitivity by sample type, PCR target, days with symptoms and gender.

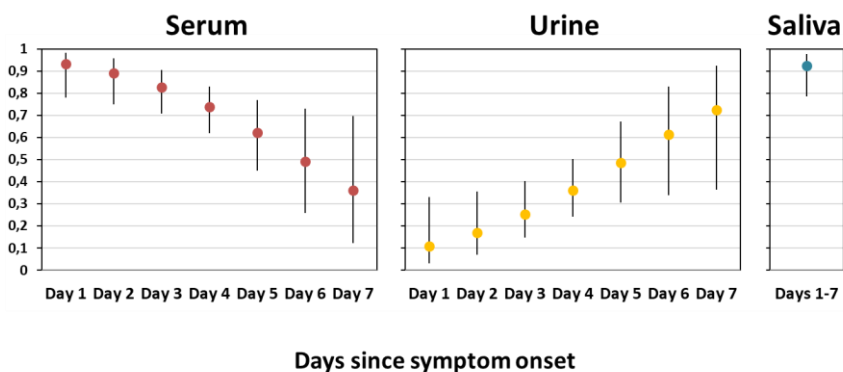
333

334 **Figure 5** shows the *shedding* results that it is defined by the probability
 335 that a specimen from an infected patient contains ZIKV RNA. The results suggest
 336 that the *shedding* is higher in saliva.

337

Shedding (θ)

Probability that a specimen from an infected patient contains Zika virus RNA



338

339 **Figure 5** - Results of the *shedding* (probability that a specimen from an infected patient
 340 contains ZIKV RNA).

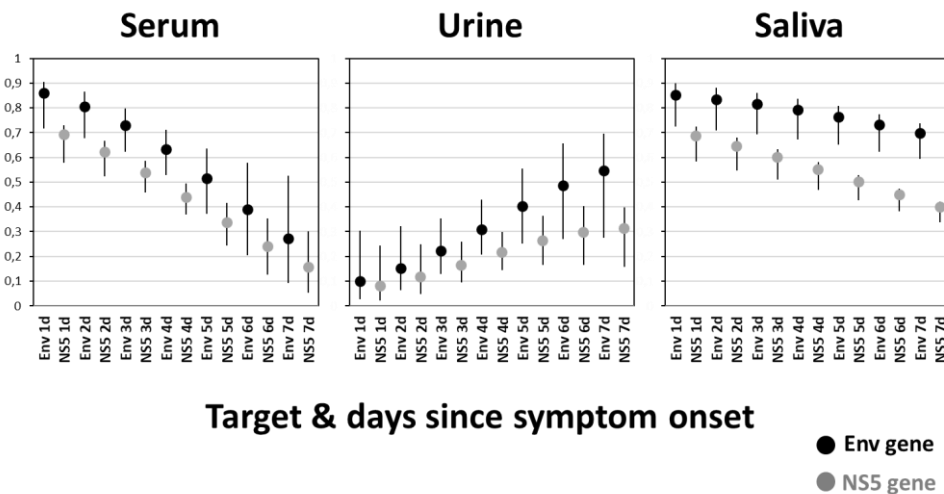
341

342 The probability (p) of detection in an infected patient is represented in
 343 **Figure 6** where we can also observe that the detection in the saliva is greater
 344 when compared to other fluids and the probability also increases when we use
 345 gene Env.

346

Probability of detection in an infected patient ($\theta \times p$)

Per-qPCR test probability of detecting Zika virus RNA in a specimen that comes from an infected patient and contains Zika RNA with shedding probability θ (which differs among bodily fluids)



347

348 **Figure 6** - Per-qPCR test probability of detecting Zika virus RNA in a specimen that
 349 comes from an infected patient and contains Zika RNA with shedding probability θ
 350 (which differs among bodily fluids)

351

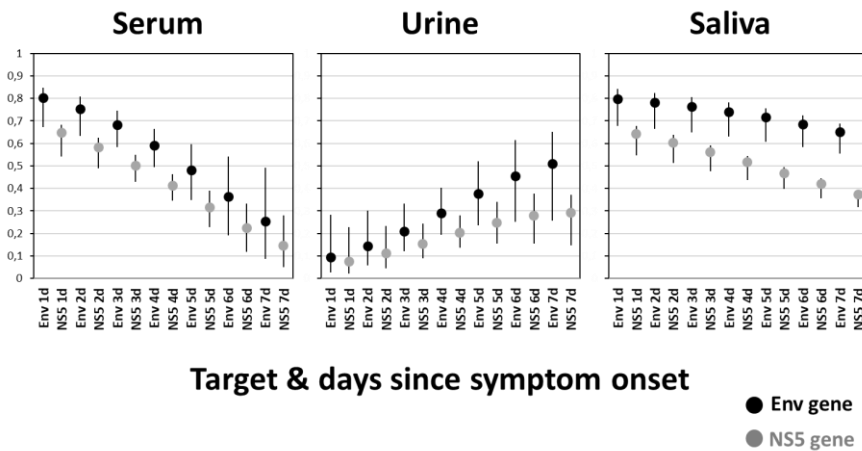
352 In **Figure 7** we used our three-level hierarchy models considering Ψ
 353 (infected patients probability), θ (shedding probability) and p (detection
 354 probability).

355

Overall probability of detection ($\Psi \times \theta \times p$)

Per-qPCR test probability of detecting Zika virus RNA in a specimen that:

- Comes from patient who can be infected with probability Ψ and
- Contains Zika RNA with shedding probability θ (which differs among bodily fluids)



Target & days since symptom onset

● Env gene
● NS5 gene

356

357 **Figure 7** - Per-qPCR test probability of detecting Zika virus RNA in a specimen that comes from
 358 patient who can be infected with probability Ψ and contains Zika RNA with shedding probability
 359 θ (which differs among bodily fluids).

360

361 Ten models in the set of 50 candidate models were <6.0 AICc units from
 362 M_{top} (**Table 7** and **S1 Figure**). Three of them had extra covariates on p : *gender*
 363 or on θ (*gender*, with $\beta_{\theta(male)} = -0.535 \pm 0.308$ SE; or *age*, with $\beta_{\theta(age)} = 0.012 \pm$
 364 0.017 SE). Since none of these estimates was distinguishable from zero at the
 365 95% level, and since the deviance of these three models was <2.0 units smaller
 366 than that of M_{top} (**S1 Figure**), we regarded these parameters as uninformative. In
 367 what follows, hence, we emphasise the results from the M_{top} model (Arnold 2010;
 368 Burnham & Anderson 2002).

369

370

371 **Discussion**

372 According to World Health Organization (WHO), currently, there is no
373 standardization of NAT-based assays for the detection of ZIKV RNA (Baylis et al,
374 2016). Therefore, the purpose of the current study was to evaluate three different
375 biological specimens (serum, urine and saliva) collected at the same day, from
376 the same patients, to check the probability of detecting ZIKV RNA in the
377 specimens, during the acute phase of the illness.

378 The most interesting finding of the present study is that the detection of
379 ZIKV RNA in saliva was much more sensitive than observed in urine or serum,
380 regardless the RT-qPCR protocol used or the statistical model evaluated. The
381 idea of using saliva as a specimen for diagnostic methods was reported in the
382 1970s (Dawes, 1974). Dawes (1974) suggested that this approach could be
383 relevant and feasible because of the ease of collecting and the richness of
384 biological compounds present in this specimen. Once saliva has a straightforward
385 and non-invasive collection; easiest storage and low-cost handling when
386 compared to blood collection, it has aroused a particular interest for its use in
387 laboratory diagnostics of several diseases.

388 The possibility to detect systemic pathologies in saliva samples has been
389 demonstrated for several diseases, including non-infectious illness like cancer
390 (Kaur et al, 2018), metabolic syndrome (Dezayee & Al-Nimer, 2016), diabetes
391 (Gupta et al, 2017), cardiovascular diseases (Abdul Rehman et al, 2017), as well
392 as infectious diseases like tuberculosis (Namuganga et al, 2017), leprosy
393 (Abdalla et al, 2010), HIV (Rakesh et al, 2016) and viral hepatitis (Portilho et al,
394 2018). Besides, there are scientific reports of the detection of flavivirus infection

395 through the collection and testing of saliva for dengue (Andries et al, 2015),
396 chikungunya virus (Musso et al, 2016) and Zika (Musso et al, 2015).

397 Musso et al (2015) recommend to collect both blood and saliva samples
398 to increase the sensitivity of molecular detection of ZIKV and urine sample can
399 be associated at the late stages of the disease. Our findings emphasize these
400 results. However, note that we obtained a patient who was in the acute phase of
401 the disease and showed only positive urine sample showing that the
402 simultaneous collection of several fluids increases the sensitivity. But, when
403 comparing fluids, we can say that saliva is the one with the greatest sensitivity
404 and can be used as the first choice of collection. Supported by these results,
405 recent studies have been using saliva as the fluid of choice for the development
406 of new rapid and reliable diagnostic techniques for ZIKV detection (Song et al,
407 2016; Sabalza et al, 2018)

408 Bingham et al (2016) found a different result when evaluating serum, saliva
409 and urine samples for ZIKV RNA detection and suggested that urine may be the
410 preferred type of sample to identify the acute Zika virus disease. This report also
411 demonstrated that saliva specimens can also yield a higher rate of RNA detection
412 than serum even during the first 5 days and the detection rate in saliva also
413 approaches the detection rate in urine. However, no cases were identified
414 through saliva testing alone. in a recent study on the dynamics of Zika virus in
415 body fluids in a non-endemic area did not detect positive results in patients' saliva
416 even when they were positive in other fluids (Sánchez-Montalvá et al, 2018).
417 Particular variables of each experiment might explain this disparity, including
418 distinguished efficiencies in the performance of viral RNA extraction, or RT-qPCR
419 amplification in different protocols. Hence, further research on the current topic

420 are recommended and should be encouraged to improve Zika virus laboratory
421 diagnosis.

422 The results of the present study evaluate the previous results of other
423 studies (Musso et al, 2015; Bingham et al, 2016), where there were contradictions
424 in the results found. However, our model of viral exclusion contributes to support
425 the discovery of Musso et al (2015), which also observed a greater sensitivity of
426 detection for ZIKV RNA in saliva. Ours results suggest that the collection of saliva
427 alone should be considered for the diagnosis of the Zika virus in the acute phase
428 of the disease.

429 Once Zika infection may be unapparent or present mild symptoms, it is not
430 unexpected that some patients may not precisely determinate the date of the
431 initial symptoms. Thus, including distinct approaches (i.e. including different
432 specimens, with different shedding kinetics for testing) may be of particular
433 interest to confirm Zika infection, notably for patients at higher risk, such as
434 pregnant women, who cares closer monitoring due to fetuses' risk.

435 There has been growing interest in the use of saliva as a means of
436 diagnosing diseases and this interest increases as we observe the reliability,
437 sensitivity and specificity of the results obtained with this fluid. In this work, we
438 collect saliva after stimulating its production. We believe that this approach may
439 contribute to a greater leakage of viral particles, thus allowing greater sensitivity
440 in comparison to oral swabs, but this hypothesis should be clarified.

441 Another important point is about the use of two different RT-qPCR assays
442 (Env and NS5 gene). As shown in the results, the gene Env protocol was shown
443 to be more sensitive independent of the time of collection or the type of sample
444 analysed. However, we had five patients positive only in the gene NS5 protocol,

445 being four samples of serum and one of saliva. These results, leads us to believe
446 that the sensitivity of detecting Zika virus RNA is greater when we use more than
447 one RT-qPCR assay.

448 It was beyond the scope of this study to understanding what factors affect
449 ZIKV RNA detection through RT-PCR in acute-phase patients. For that, we
450 hypothesized that the detection of ZIKV RNA depended on shedding effect
451 hypothesis or the false-negative effect hypothesis. The findings of this study
452 strongly suggest that the adoption of saliva testing improves the sensitivity the
453 molecular diagnosis of Zika, increasing the number of laboratory confirmed
454 cases. Regarding the use of different RT-qPCR tests, it can be stated that
455 sensitivity increases when we use more than one protocol.

456

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603

604 **Table S1.** Key parameters involved in the process of Zika virus RNA detection by RT-PCR: a
 605 multilevel perspective

Parameter	Definition (<i>interpretation</i>)	Level
Ψ	Probability of Zika virus RNA presence (<i>infection</i>)	Patient
θ	Probability of Zika virus RNA presence in a sample, given patient infection (<i>shedding</i>)	Bodily fluid sample
p	Probability of detecting Zika virus RNA through RT-PCR, given infection and shedding (<i>sensitivity</i>)	RT-PCR assay (reaction)

606

607

608

609 **Text. S1.** Exploratory Analyses - Details on the modeling strategy

610

611 To avoid fitting hundreds of models, we heuristically began by fitting the ‘null’ models

612 $\Psi(\cdot), \theta(\cdot), p(\cdot)$

613 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\cdot)$

614

615 Second, we fitted the model representing our focal hypothesis of fluid-specific shedding
 616 and test-specific sensitivity:

617 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{test})$

618

619 and alternative confounder structures on p

620 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{days})$

621 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{gender})$

622 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{age})$

623 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{days} + \text{gender})$

624 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{days} + \text{age})$

625 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{gender} + \text{age})$

626 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{days} + \text{gender} + \text{age})$

627

628 Third, we tested alternatives on p including confounders

629 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{target})$

630 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days})$

631 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{gender})$

632 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{age})$

633 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days} + \text{gender})$

634 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days} + \text{age})$

635 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{gender} + \text{age})$

636 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days} + \text{gender} + \text{age})$

637

638 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{fluid})$

639 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{days})$

640 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{gender})$

641 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{age})$

642 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{days} + \text{gender})$

643 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{days} + \text{age})$

644 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{gender} + \text{age})$

645 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{days} + \text{gender} + \text{age})$

646

647 This procedure identified this model as the best-performing (lowest-AICc: 785.36):

648 $\Psi(\cdot), \theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days})$

649

650 We then used this model to test alternatives on θ :

651 $\Psi(\cdot), \theta_{\text{common}}(\cdot), p(\text{target} + \text{days})$ [common intercept]

652 $\Psi(\cdot), [\theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot)] + [\text{days}], p(\text{target} + \text{days})$ [common slope]

653 $\Psi(\cdot, [\theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot)] + [\text{gender}], p(\text{target} + \text{days})$ [common slope]

654 $\Psi(\cdot, [\theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot)] + [\text{age}], p(\text{target} + \text{days})$ [common slope]

655 $\Psi(\cdot, [\theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot)] + [\text{days} + \text{gender}], p(\text{target} + \text{days})$ [common slopes]

656 $\Psi(\cdot, [\theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot)] + [\text{days} + \text{age}], p(\text{target} + \text{days})$ [common slopes]

657 $\Psi(\cdot, [\theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot)] + [\text{gender} + \text{age}], p(\text{target} + \text{days})$ [common slopes]

658 $\Psi(\cdot, [\theta_{\text{serum}}(\cdot), \theta_{\text{urine}}(\cdot), \theta_{\text{saliva}}(\cdot)] + [\text{days} + \text{gender} + \text{age}], p(\text{target} + \text{days})$ [common

659 slopes]

660

661

662 $\Psi(\cdot, \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days})$ [fluid-specific intercepts and

663 slopes]

664 $\Psi(\cdot, \theta_{\text{serum}}(\text{gender}), \theta_{\text{urine}}(\text{gender}), \theta_{\text{saliva}}(\text{gender}), p(\text{target} + \text{days})$ [fluid-specific

665 intercepts and slopes]

666 $\Psi(\cdot, \theta_{\text{serum}}(\text{age}), \theta_{\text{urine}}(\text{age}), \theta_{\text{saliva}}(\text{age}), p(\text{target} + \text{days})$ [fluid-specific intercepts and

667 slopes]

668 $\Psi(\cdot, \theta_{\text{serum}}(\text{days} + \text{gender}), \theta_{\text{urine}}(\text{days} + \text{gender}), \theta_{\text{saliva}}(\text{gender}), p(\text{target} + \text{days})$ [fluid-

669 specific intercepts and slopes]

670 $\Psi(\cdot, \theta_{\text{serum}}(\text{days} + \text{age}), \theta_{\text{urine}}(\text{days} + \text{age}), \theta_{\text{saliva}}(\text{age}), p(\text{target} + \text{days})$ [fluid-specific

671 intercepts and slopes]

672 $\Psi(\cdot, \theta_{\text{serum}}(\text{gender} + \text{age}), \theta_{\text{urine}}(\text{gender} + \text{age}), \theta_{\text{saliva}}(\text{gender} + \text{age}), p(\text{target} + \text{days})$

673 [fluid-specific intercepts and slopes]

674 $\Psi(\cdot, \theta_{\text{serum}}(\text{days} + \text{gender} + \text{age}), \theta_{\text{urine}}(\text{days} + \text{gender} + \text{age}), \theta_{\text{saliva}}(\text{gender} + \text{age}),$

675 $p(\text{target} + \text{days})$ [fluid-specific intercepts and slopes]

676

677 We then identified the best-performing model ($AICc = 779.61$) in the model set above,

678 which was

679 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days})$

680 and tested alternative p structures on this model:

681

682 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days} + \text{gender})$

683 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days} + \text{age})$

684 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days} + \text{gender} + \text{age})$

685 including more parsimonious models:

686 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{target})$

687 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{days})$

688

689 and alternatives on p with either fluid or test

690 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{fluid})$

691 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{days})$

692 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{gender})$

693 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{age})$

694 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{days} + \text{gender})$

695 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{days} + \text{age})$

696 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{gender} + \text{age})$

697 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{fluid} + \text{days} + \text{gender} + \text{age})$

698

699 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{test})$

700 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{days})$

701 $\Psi(\cdot), \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{gender})$

702 $\Psi(\cdot, \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{age}))$
703 $\Psi(\cdot, \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{days} + \text{gender}))$
704 $\Psi(\cdot, \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{days} + \text{age}))$
705 $\Psi(\cdot, \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{gender} + \text{age}))$
706 $\Psi(\cdot, \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{test} + \text{days} + \text{gender} + \text{age}))$

707

708 The final, whole model-set comparison selected

709 $\Psi(\cdot, \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p(\text{target} + \text{days}))$

710 as the best-performing model.

711

712 We also tested a few model with fixed parameter values, namely

713 $\Psi(\cdot, \theta = 1.0, p(\cdot))$

714 $\Psi(\cdot, \theta = 1.0, p(\text{target} + \text{days}))$

715 $\Psi(\cdot, \theta_{\text{serum}}(\text{days}), \theta_{\text{urine}}(\text{days}), \theta_{\text{saliva}}(\cdot), p = 1.0)$

716

717 for a total of 65 models in the full model set...

718

719 **Table S2.** Patterns of Zika virus RNA detection in acute-phase clinical samples: number of RT-
720 qPCR assays run and positive in different specimen-RT-qPCR target combinations

Serum-ENV		Serum-NS5		Urine-ENV		Urine-NS5		Saliva-ENV		Saliva-NS5		No. patients
Run	+	Run	+	Run	+	Run	+	Run	+	Run	+	
3	3	3	3	1	0	1	0	3	3	3	3	2
3	3	3	3	1	0	1	0	3	3	3	2	1
3	3	3	3	1	0	1	0	3	0	3	0	2
3	3	3	0	1	0	1	0	3	3	3	3	2
3	3	3	0	1	0	1	0	3	3	3	0	1
3	2	3	2	1	0	1	1	3	1	3	0	1
3	2	3	2	1	0	1	0	3	2	3	0	1
3	2	3	1	1	0	1	0	3	3	3	3	1
3	2	3	1	1	0	1	0	3	3	3	0	1
3	1	3	0	1	0	1	0	3	3	3	3	1
3	0	3	0	1	1	1	1	3	1	3	0	1
3	0	3	0	1	0	1	0	3	3	3	3	2
3	0	3	0	1	0	1	0	3	3	3	0	1
3	0	3	0	1	0	1	0	3	2	3	0	1

3	0	3	0	1	0	1	0	3	1	3	0	1
3	0	3	0	1	0	1	0	3	0	3	0	3
1	1	1	1	1	1	1	1	1	1	1	1	2
1	1	1	1	1	1	1	0	1	1	1	1	2
1	1	1	1	1	0	1	1	1	1	1	0	1
1	1	1	1	1	0	1	0	1	1	1	1	8
1	1	1	1	1	0	1	0	1	1	1	0	1
1	1	1	1	1	0	1	0	1	0	1	0	2
1	1	1	0	1	1	1	1	1	1	1	1	3
1	1	1	0	1	1	1	1	1	1	1	0	1
1	1	1	0	1	1	1	0	1	1	1	0	1
1	1	1	0	1	0	1	0	1	1	1	1	6
1	1	1	0	1	0	1	0	1	1	1	0	1
1	0	1	0	1	1	1	1	1	1	1	1	2
1	0	1	0	1	1	1	1	1	1	1	0	1
1	0	1	0	1	1	1	0	1	1	1	1	1
1	0	1	0	1	1	1	0	1	1	1	0	1
1	0	1	0	1	1	1	0	1	0	1	0	1
1	0	1	0	1	0	1	1	1	1	1	1	1
1	0	1	0	1	0	1	1	1	1	1	0	1
1	0	1	0	1	0	1	0	1	1	1	1	5
1	0	1	0	1	0	1	0	1	1	1	0	4
1	0	1	0	1	0	1	0	1	0	1	0	6
2	2	2	2	0	-	0	-	0	-	0	-	22
2	2	2	1	0	-	0	-	0	-	0	-	2
2	2	2	0	0	-	0	-	0	-	0	-	3
2	1	2	1	0	-	0	-	0	-	0	-	2
2	1	2	0	0	-	0	-	0	-	0	-	3
2	0	2	1	0	-	0	-	0	-	0	-	2

721 ENV, envelope gene; NS5, non-structural protein 5 gene
722 Run, number of RT-PCR assays run; +, number of assays in which Zika virus RNA was detected
723 Note that only serum samples were available for 34 patients (last six rows of the Table, with dashes
724 indicating ‘test not run’); hierarchical occupancy models accommodate such missing observations, which
725 simply do not contribute to the likelihood (see refs. 40,46)
726

727

728 **Table S3.** Constructing the likelihood: two examples of Zika RNA detection histories
729 with their associated probabilities and a short interpretation of each history and term

Detection history* / Term	Interpretation
$\Pr(000\ 000\ 00-0--\ 000\ 000)$	No detection; just two urine-Env and one urine-NS5 tests run
$(1-\Psi) +$	No infection or
$\Psi(1-\theta_s)(1-\theta_u)(1-\theta_i) +$	Infection but no RNA shedding or
$\Psi\theta_s(1-p_1)^3(1-p_2)^3(1-\theta_u)(1-\theta_i) +$	Infection and shedding in serum (but RNA not detected) and no shedding in urine or saliva or
$\Psi\theta_u(1-p_1)^2(1-p_2)(1-\theta_s)(1-\theta_i) +$	Infection and shedding in urine (but RNA not detected) and no shedding in serum or saliva or
$\Psi\theta_i(1-p_1)^3(1-p_2)^3(1-\theta_s)(1-\theta_u) +$	Infection and shedding in saliva (but RNA not detected) and no shedding in serum or urine or
$\Psi\theta_s\theta_u\theta_i(1-p_1)^8(1-p_2)^7$	Infection and shedding in all specimens but RNA not detected
$\Pr(000\ 000\ 0--0--\ 101\ 000)$	Only two detections, both by saliva-Env; just one urine-Env and one urine-NS5 tests run
$\Psi \times$	Infection and

$[\theta_s(1-p_1)^3(1-p_2)^3 + (1-\theta_s)] \times$	Shedding in serum (but RNA not detected) or no shedding in serum and
$[\theta_u(1-p_1)(1-p_2) + (1-\theta_u)] \times$	Shedding in urine (but RNA not detected) or no shedding in urine and
$[\theta_l(1-p_1)^3(1-p_2)^3]$	Shedding in serum (but RNA not detected)

730 *Of the form ‘Pr(aaa bbb ccc ddd eee fff)’, with Pr = probability; a = serum-Env; b = serum-NS5; c =
731 urine-Env; d = urineNS5; e = saliva-Env; f = saliva-NS5; ‘0s’ indicate non-detections, ‘1s’ indicate
732 detections, and dashes (‘-’) indicate that the test was not run
733

734 **Figure S1.** Parameter estimates from the top-ranking model (“top”) and from averaging over the
735 whole model set (“avg”)

736

737

ANEXO 4

DESENVOLVIMENTO DE MODELO DE REGRESSÃO LOGÍSTICA MÚLTIPLA PARA APOIO AO DIAGNÓSTICO DIFERENCIAL DAS INFECÇÕES SINTOMÁTICAS PELO VÍRUS ZIKA E DENGUE

A circulação concomitante de diferentes arbovírus em território brasileiro demanda novos procedimentos destinados ao diagnóstico diferencial das arboviroses no contexto da vigilância em saúde. Este trabalho teve como objetivo desenvolver um modelo de classificação para apoio ao diagnóstico diferencial da infecção sintomática pelos vírus Zika (ZIKV) e dengue (DENV). Foram utilizados os registros de casos de zika (203) e dengue (288) atendidos no serviço de infectologia de um hospital privado de Manaus, AM, no período de 2014 a 2017. Para confirmação diagnóstica foram considerados resultados positivos para RT-qPCR (ZIKV) e NS1 (DENV). Os casos foram submetidos a um protocolo de investigação clínica e laboratorial. Razões de chances (*odds ratios* – OR) de diagnóstico de zika em relação à dengue, baseadas na presença de sinais e sintomas (exantema maculopapular, febre, prurido, artralgia, edema articular, cefaleia, conjuntivite, mialgia, linfadenopatia, diarreia, náuseas e vômitos) foram estimadas por meio de modelo de regressão logística múltipla. Para a entrada e retenção (*stepwise backward*) das variáveis explicativas no modelo considerou-se a significância estatística da associação de ZIKV com a presença de sinais e sintomas nos níveis de 20% e 5%, respectivamente. A significância estatística foi determinada pelo teste de *Wald* e a qualidade do ajuste do modelo final pela análise de medidas de *deviance*, utilizando-se o programa Stata 12. As idades médias dos casos de zika e dengue corresponderam a 37,4 ($\pm 11,4$) e 33,6 ($\pm 12,4$) anos, e as proporções do sexo feminino a 66,0% e 50,4%, respectivamente. Cerca de 66% dos casos de dengue foram diagnosticados em 2014/15 e 100% dos casos de zika em 2016. Em relação à dengue, as chances de diagnóstico de zika foram mais elevadas na presença de conjuntivite (OR=63,8; IC95%: 15,0-270,7), exantema maculopapular (OR=24,7; 6,8-89,5), prurido (OR=12,4; 4,2-37,0) e linfadenopatia (OR=8,0; 1,3-51,1). Quando presentes as quatro manifestações simultaneamente, a probabilidade estimada para o correto diagnóstico de zika foi 0,999. O modelo de regressão logística mostrou-se útil para a classificação de casos de zika em relação à dengue, com base na avaliação clínica realizada por infectologistas. Análises adicionais, baseadas em avaliações clínicas realizadas por profissionais da atenção básica são necessárias antes da decisão sobre o uso de tais modelos em apoio à classificação de casos de arboviroses na vigilância em saúde.

Palavras chave: Vírus Zika, Vigilância Epidemiológica, Infecções por Arbovirus, Diagnóstico Diferencial, Classificação.

Autores:

Ligia Fernandes Abdalla ^{1,2}, João Hugo Abdalla Santos ^{3,4}, Erick Martins e Souza³, Caroline de Oliveira Pacheco⁵, Erick Alan Alaña Wandersleben⁵, Valdinete Alves Nascimento^{6,7}, Dana Cristina da Silva Monteiro ¹, Tatiana Amaral Pires de Almeida ⁸, Matilde del Carmen ContrerasMejía⁸, Vanderson de Souza Sampaio ^{9,10}, Antonio José Leal Costa ¹¹, Felipe Gomes Naveca^{1,6,7,8}

1 Programa de Pós-Graduação em Imunologia Básica e Aplicada, Universidade Federal do Amazonas, Manaus, Amazonas, Brasil.

- 2 Universidade do Estado do Amazonas, Manaus, Amazonas, Brasil
- 3 Universidade Federal do Amazonas, Manaus, Amazonas, Brasil.
- 4 Hospital Adventista de Manaus, Manaus, Amazonas, Brasil.
- 5 Universidad Adventista del Plata, San Martin, Entre Rios, Argentina.
- 6 Laboratório de Ecologia de Doenças Transmissíveis na Amazônia, Instituto Leônidas e Maria Diane - Fiocruz Amazônia, Manaus, Amazonas, Brasil.
- 7 Programa de Pós-Graduação em Biologia Celular e Molecular, Instituto Oswaldo Cruz, Fiocruz, Rio de Janeiro, Brasil.
- 8 Fundação Oswaldo Cruz-Fiocruz, Instituto Leônidas e Maria Deane, Programa de Pós-Graduação em Biologia da Interação Patógeno- Hospedeiro, Manaus, AM, Brasil
- 9 Fundação de Vigilância em Saúde do Amazonas, Manaus, AM, Brasil
- 10 Universidade do Estado do Amazonas, Programa de Pós Graduação Medicina Tropical, Manaus, AM, Brasil
- 11 Universidade Federal do Rio de Janeiro, Instituto de Estudos em Saúde Coletiva, Programa de Pós Graduação em Saúde Coletiva, Rio de Janeiro, RJ, Brasil

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APÊNDICES

APÊNDICE A - FICHA CLÍNICA

NOTIFICAÇÃO DE CASOS SUSPEITOS DE ZIKA VÍRUS

DEFINIÇÃO DE CASO:

Pacientes que apresentem febre ou ausência de febre, medida ou referida, até 38,5 C e exantema maculo-papular pruriginoso com início em até 48h após os primeiros sintomas, acompanhado de pelo menos **UM** dos seguintes sinais e sintomas:

- ✓ Hiperemia conjuntival se secreção ou prurido **OU** Artralgia **OU** Edema periarticular de membros.

INFORMAÇÕES DO SERVIÇO DE SAÚDE

Unidade sentinela: _____

Nome: _____

Data de nascimento: ___/___/___ Idade: ___ Sexo: Masculino() Feminino ()

Gestante: Sim () Não () Não se aplica ()

Caso sim: 1º trimestre() 2º trimestre() 3º trimestre() Ignorado()

Município de residência: _____

Endereço: _____ N° _____ B

airro: _____ Complemento: _____

Ponto de Referência _____ Telefone: _____

CARACTERÍSTICAS CLÍNICAS (Seguir a legenda:1-sim/2-não/3-ignorado)

Data de início de sintomas: ___/___/___

Data de início do exantema: ___/___/___

Febre: () Se febre, data de início da febre: ___/___/___ Temperatura (C°): _____

Prurido: () Se prurido, data de início de início: ___/___/___

Dor em articulação: () Edema em articulação: () Dor de cabeça: () Conjuntivite :()

Mialgia: () Linfadenopatia: () Diarreia: () Náusea: () Vômito: ()

EXAMES INESPECÍFICOS

Prova do laço: Positiva () Negativa () Não realizada: ()

Outros sinais e sintomas _____

Plaquetas: _____x10 ³ mm ³	Hematócrito: _____	Leucócitos: _____x10 ³ mm ³
Linfócitos: _____	Hemoglobina(g/dL): _____	Neutrófilos: _____%
Eosinófilos: _____%	TGP: _____	TGO: _____

Outros resultados: _____

EXAMES ESPECÍFICOS PARA DENGUE

Dengue: Reagente () Não Reagente () Ignorado ()

Dengue Imunoglobulina: IgM () IgG ()

Se realizado dengue, data de coleta da amostra: ____/____/____

EXAMES ESPECÍFICOS PARA CHIKUNGUNYA

Chikungunya: Reagente () Não reagente () Ignorado ()

EXAMES ESPECÍFICOS PARA RUBÉOLA

Rubéola: Reagente () Não reagente () Ignorado ()

Rubéola imunoglobulina: IgM () IgG ()

Se realizado rubéola, data de coleta da amostra: ____/____/____

EXAMES ESPECÍFICOS PARA SARAMPO

Sarampo: Reagente () Não reagente () Ignorado ()

Sarampo imunoglobulina: IgM () IgG ()

Se realizado sarampo, data de coleta da amostra: ____/____/____

EXAMES ESPECÍFICOS PARA PARVOVÍRUS

Parvovírus B19: Reagente () Não reagente () Ignorado ()

Parvovírus B19 imunoglobulina: IgM () IgG ()

Se realizado parvovírus, data de coleta da amostra: ____/____/____

Outros exames específicos: _____

DATA: ____/____/____

Investigador: _____

Função: _____

APÊNDICE B – Protocolo de extrações do RNA

1. OBJETIVO:

Este POP fixa condições, padroniza, define e estabelece regras que devem ser aplicadas na extração de RNA Viral de amostras de Plasma/Soro (Kit QIAamp Viral RNA Mini Kit Qiagen).

2. CAMPO DE APLICAÇÃO:

Este método aplica-se aos procedimentos de extração de RNA de plasma/soro no Laboratório de Virologia.

3. CONDIÇÕES DE BIOSSEGURANÇA:

Todos os ensaios devem ser realizados utilizando luvas de procedimento; bata com mangas longas; calçados que proteja o pedartículo; acompanhado/excecutado por profissional treinado.

4. SIGLAS:

POP Procedimento operacional padrão
DNA Ácido Desoxirribonucleico
RNA Ácido Ribonucleico
UV Ultra Violeta
PCR Reação em Cadeia da Polimerase

5. RESPONSABILIDADES:

Execução das operações é da responsabilidade do pesquisador do laboratório e, na ausência deste, do responsável treinado indicado pelo pesquisador .

6. PROCEDIMENTO:

Verificar os seguintes itens antes do início do procedimento de extração:

- A) Coloque as amostras para atingirem a temperatura ambiente (15-25°C);
- B) Coloque o tampão AVE para atingir a temperatura ambiente (15-25°C) para ser usado na eluição;
- C) Verifique se os tampões AW1 e AW2 foram adicionados de Etanol;

EXTRAÇÃO DE RNA QIAamp Viral RNA Mini Kit

Adicione o RNA carreador reconstituído em tampão AVE ao tampão AVL

Procedimento:

Observação 1: Ao trabalhar com amostras biológicas, preparar um descarte com hipoclorito de sódio (2%).

Observação 2: Fazer identificação dos tubos à lápis.

Observação 3: Cuidado!!! Não descartar ponteiros e tubos contendo tampão AVL no Hipoclorito de sódio.

1. Colocar 5 µL de MS2 na diluição 10⁻⁴ em todos os microtubos que serão utilizados na extração (utilizar microtubos de 1,5mL ou 2mL)
 2. Adicionar 560µL de Tampão AVL + 5,6 µL de RNA carreador no microtubo contendo MS2;
 3. Adicionar 140µL de plasma/soro ao tubo anterior e misture por vortex 15s;
 4. Incubar a temperatura ambiente (15-25°C) durante 10 min;
 5. Centrifugar brevemente para remover gotas da tampa (20 segundos);
 6. Adicionar 560µL de Etanol (96-100%) a amostra e misture por vórtex 15s. Centrifugar brevemente (20 segundos) para remover gotas da tampa;
 7. Transfira 630µL da solução do passo anterior para uma coluna do kit. Feche a tampa e centrifugue a 6.000g (8.600 RPM) 1 min e 15 segundos. Coloque a coluna em um tubo coletor novo e descarte o utilizado com o filtrado;
 8. Abra a coluna com cuidado e repita o passo anterior.
 9. Coloque a coluna em um novo tubo coletor, adicione 500µL de tampão AW1, centrifugue 6000g (8.600 RPM) durante 1 min e 15 segundos, descarte o líquido e o tubo coletor;
 10. Coloque a coluna em um novo tubo coletor e adicione 500µL de tampão AW2, centrifugue 16.000g (14.000 RPM) durante 3 min, descarte o líquido;
 11. Coloque a coluna no mesmo tubo coletor e centrifugue a 16.000g (14.000 RPM) por 1 minuto. Descarte o líquido e o tubo coletor;
- Observação 4:** Centrifugar sem a tampa interna e dos tubos abertas para secar todo álcool das amostras.
12. Coloque a coluna em um tubo de 1,5ml e pipete 60µL do tampão AVE (temperatura ambiente), feche a tampa e incube temperatura ambiente 1 min. Centrifugue 6000g (8.600 RPM) durante 2 min.
 13. Estocar de -20°C à -80°C até 1 ano.

Observação 5: Armazenar os tampões AW1 e AW2 na geladeira após o uso.

Observação 6: Atenção!!!O Tampão AVE contém azida sódica que interfere na quantificação por espectrofotômetro 260/280nm.

Esse POP foi elaborado por VALDINETE ALVES DO NASCIMENTO, colaboradora do projeto.

APÊNDICE C – Protocolos de Reação em Cadeia da Polimerase da Transcriptase Reversa em Tempo Real (RT-qPCR)

PCR em Tempo Real (qPCR) TaqManFastvirus

100nM de sonda e 300nM de cada iniciador

Reagentes ZIKV NS5	1X	
TaqMan Virus	2,5 µL	
Sonda ZIKV [10µm]	0,1 µL	
Primer mix ZIKV [5µm]	0,6 µL	
H₂O	4,3 µL	
RNA	2,5 µL	

Reagentes ZIKV-MS2	1X		
TaqMan Virus	2,5 µL		
Sonda ZIKV [10µm] FAM	0,1 µL		
Primer mix ZIKV [5µm]	0,6 µL		
Sonda MS2 [10µm] ATTO	0,1 µL		
Primer mix MS2 [5µm]	0,6 µL		
H₂O	3,6 µL		
RNA	2,5 µL		

7,5 µL de mix + 2,5 µL de RNA

Layout da placa

	1	2	3	4	5	6	7	8	9	10	11	12
A												
B												
C												
D												
E												
F												
G												
H												

Esse POP foi elaborado por **VALDINETE ALVES DO NASCIMENTO**, colaboradora do projeto.