



**UFAM**

UNIVERSIDADE FEDERAL DO AMAZONAS  
PRÓ-REITORIA DE PESQUISA E PÓS-GRADUAÇÃO  
INSTITUTO DE CIÊNCIAS BIOLÓGICAS-ICB



PROGRAMA DE PÓS-GRADUAÇÃO EM IMUNOLOGIA BÁSICA E APLICADA

**PEDRO VIEIRA DA SILVA NETO**

**Avaliação do potencial de TREM-1 como biomarcador da Covid-19 e sua correlação com  
a patogenicidade da doença**

**Assessment of the TREM-1 potential as a biomarker of Covid-19 and its  
correlation with the disease pathogenicity**

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Tese apresentada ao Programa de Pós-Graduação em Imunologia Básica e Aplicada da Universidade Federal do Amazonas para obtenção do título de Doutor em Imunologia  
**Área de concentração:** Imunologia Básica e Aplicada.

Orientador: Prof. Dr. Carlos Artério Sorgi

Coorientadora: Profa. Dra. Lúcia Helena Faccioli

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**“Um livro, uma caneta, uma criança e um professor podem mudar o mundo”**

Malala Yousafzai

## RESUMO

da Silva-Neto, P.V. **Avaliação do potencial de TREM-1 como biomarcador da Covid-19 e sua correlação com a patogenicidade da doença.** 2022. Tese (Doutorado). Universidade Federal do Amazonas - UFAM. Manaus, Amazonas, 2022

Coronavirus 2019 (Covid-19) é uma doença causada pelo coronavírus da síndrome respiratória aguda grave 2 (SARS-CoV-2) que afeta principalmente o sistema respiratório, caracterizada por quadros inflamatórios graves. Nesse contexto, o receptor expresso nas células mieloides-1 (TREM-1) é considerado um amplificador intrínseco de sinais inflamatórios. Assim, para elucidar como esse receptor contribui para a imunopatologia ou desregulação da resposta imune observada na Covid-19, determinamos a expressão de TREM-1 e de seu correspondente solúvel (sTREM-1) durante a gravidade da doença e no microambiente pulmonar, correlacionando estes dados com outros parâmetros clínicos, moleculares e celulares. Com base em escores clínicos, incluímos em nossa coorte pacientes positivos para Covid-19 classificados em grupos específicos de gravidade de sintomas (leve, moderado, grave e crítico). No sangue periférico observamos que a produção de sTREM-1 foi significativamente maior entre os pacientes com doença grave, apresentando correlações positivas com parâmetros inflamatórios, progressão do quadro clínico e mortalidade. Além disso, seus níveis sistêmicos foram correlacionados positivamente com a expressão elevada de MMP-8, sugerindo um mecanismo de liberação de TREM-1 da superfície das células sanguíneas periféricas. No microambiente pulmonar relacionamos a alta diversidade de MMPs à gravidade da Covid-19. Nossos resultados indicaram uma forte atividade enzimática de MMP-2 em amostras de fluido traqueal aspirado (TAF) de pacientes que vieram a óbito. Além disso, observamos um aumento na infiltração de neutrófilos, produção de espécies reativas de oxigênio (ROS) e peroxidação lipídica, em cooperação com a expressão de MMP-8 e MMP-2, também favoráveis para liberação de sHLA-G e sTREM-1 no tecido pulmonar. A análise de redes mostrou que, miRNAs relacionados com a via de TREM-1 podem favorecer processos de regulação durante a gravidade da doença. Em conjunto, sugerimos que TREM-1 pode ser usado como uma ferramenta preditiva para progressão e desfecho da Covid-19, como também participar do mecanismo de patogenicidade aumentando a hiperinflamação na covid-19, por via de ação de endoproteínases e na regulação da resposta imune por miRNA.

**Palavras-chaves:** Covid-19; sTREM-1; biomarcador; mortalidade, metaloproteinases.

## **ABSTRACT**

da Silva-Neto, P.V. **Assessment of the potential of TREM-1 as a biomarker of Covid-19 and its correlation with the pathogenicity of the disease.**2022. Thesis (Doctorate). University Federal of Amazonas -UFAM. Manaus, Amazonas, 2022

Coronavirus 2019 (Covid-19) is a disease caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) that mainly affects the respiratory system, characterized by severe inflammatory conditions. In this context, the receptor expressed in myeloid cells-1 (TREM-1) is considered an intrinsic amplifier of inflammatory signals. Thus, to elucidate how this receptor contributes to the immunopathology or dysregulation of the immune response observed in Covid-19, we determined the expression of TREM-1 and its soluble counterpart (sTREM-1) during disease severity and in the pulmonary microenvironment, correlating these data with other clinical, molecular and cellular parameters. Based on clinical scores, we included Covid-19-positive patients classified into specific symptom severity groups (mild, moderate, severe, and critical) in our cohort. In peripheral blood, we observed that the production of sTREM-1 was significantly higher among patients with severe disease, showing positive correlations with inflammatory parameters, clinical progression and mortality. Furthermore, their systemic levels were positively correlated with elevated MMP-8 expression, suggesting a mechanism for TREM-1 release from the surface of peripheral blood cells. In the lung microenvironment, we related the high diversity of MMPs to the severity of Covid-19. Our results indicated a strong enzymatic activity of MMP-2 in aspirated tracheal fluid (TAF) samples from patients who died. Furthermore, we observed an increase in neutrophil infiltration, production of reactive oxygen species (ROS) and lipid peroxidation, in cooperation with the expression of MMP-8 and MMP-2, also favorable for the release of sHLA-G and sTREM-1 in lung tissue. Network analysis showed that miRNAs related to the TREM-1 pathway may favor regulatory processes during disease severity. Together, we suggest that TREM-1 can be used as a predictive tool for the progression and outcome of Covid-19, as well as participating in the pathogenicity mechanism by increasing hyperinflammation in Covid-19, via the action of endoproteases and in the regulation of the response. miRNA immune.

**Keywords:** Covid-19; sTREM-1; biomarker; mortality, metalloproteinases.

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## LISTA DE SIGLAS

OMS	Organização mundial da saúde
Covid-19	Coronavírus 19
ACE2	Enzima conversora de angiotensina
AT 1-2	Células alveolares tipo I e II
Calu-3	Células epiteliais pulmonares humanas
CBA	Cytometric bead array
CD	Domínio conector
CDS	Sequência de codificação
CH	Hélice central
CoV <sub>s</sub>	Coronavírus
COX-2	Ciclooxigenase 2
DAP12	Proteína ativadora de DNAX
DCs	Células dendríticas
DCV	Doença cardiovascular
DPOC	Doença pulmonar obstrutiva crônica
ER	Retículo endoplasmático
EVs	Vesículas extracelulares
G-CSF	Fator estimulador de colônia de granulócitos
GI	Gastrointestinais
HR1-2	Repetição do heptal
ICTV	Comitê internacional de taxonomia de vírus
IKK $\epsilon$	Quinase- $\epsilon$
IL	Interleucina
ISGs	Ligados a sequência ativada por gama
I $\kappa$ B	Inibidor do fator nuclear $\kappa$ b
kb	Kilo base
LPS	Lipopolissacarídeo
MAVS	Adaptador de proteína de sinalização antiviral mitocondrial
MERS-CoV	Coronavírus da síndrome respiratória do Oriente Médio
miRISC	Complexo de silenciamento induzido por RNA

MPO	Mieloperoxidase
MVB	Corpos multivesiculares
NOD	Receptores do tipo NOD
NTD	Domínio N-terminal
ORF	Região de leitura Aberta
PAMPs	Padrões moleculares associados a patógenos
PCR	Proteína C reativa
PGE	Prostaglandinas
PMN	Polimorfonucleares
PRRs	Receptores de Reconhecimento de Padrões
RBD	Domínio de ligação ao receptor
RdRP	RNA polimerase dependente de RNA
RIG-I	Receptor de ácido retinóico induzível 1
ROS	Espécies reativas de oxigênio
RTC	Complexo de replicação-transcricional
S1	Subunidade de superfície 1
sHLH	Linfo-histiocitose hemofagocítica secundária
ssRNA+	RNA de fita simples de polaridade positiva
sTREM-1	Receptor desencadeador expresso em células mieloides 1 solúvel
TLRs	Receptores do tipo Toll
TMPRSS2	Protease transmembrana serina 2
TRAF3	Receptor do fator de necrose tumoral
TREM-1	Receptor desencadeador expresso em células mieloides 1
Tyk2	Tirosina quinase 2



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# ***1.Introdução***

Segundo a Organização Mundial de Saúde (OMS) entre dezembro de 2019 e o início de 2020, o número crescente de mortes por pneumonia grave na província de Wuhan, China, levou à identificação de um novo coronavírus, denominado Coronavírus da Síndrome Respiratória Aguda Grave 2 (SARS-CoV-2), como causa da doença do Coronavírus 2019 (Covid-19) (1,2).

Cerca de 80% das pessoas infectados com SARS-CoV-2 desenvolvem uma forma leve de Covid-19, os ~20% restantes desenvolvem formas mais graves da doença e requerem atenção hospitalar acompanhada de cuidados intensivos e ventilação mecânica. Aproximadamente 5% desses pacientes podem evoluir para quadros de sepse em condições clínicas críticas com desfechos desfavoráveis até o óbito, o que pode estar associado à hiperinflamação pulmonar e insuficiência respiratória(3–5).

Os pacientes infectados podem ser caracterizados como assintomático ou sintomático, podendo apresentar sinais clínicos como tosse seca, dor de garganta intensa e febre, acompanhada por cefaleia, diarreia e insuficiência respiratória leve, moderada ou grave (6). A gravidade da Covid-19 está associada à comorbidades que incluem: senescência, obesidade, hipertensão, doenças cardiovasculares, doenças pulmonares crônicas dentre outras (7).

Durante a infecção pelo SARS-CoV-2, a resposta imune inata desregulada induz a liberação acentuada de citocinas inflamatórias (tempestade de citocinas), que favorecerem os sintomas críticos da doença (8,9). Células da imunidade inata desempenham papel importante orquestrando a resposta imune adaptativa, de modo a favorecer os mecanismos efetores contra patógenos. O reconhecimento antigênico através dos receptores de reconhecimento de padrão (PRRs) e a liberação citocinas induzidas no microambiente infeccioso são fundamentais na ativação dos diferentes tipos de respostas que serão desenvolvidas pelo hospedeiro (10)

Os monócitos e macrófagos, são tipos celulares imunes encontrados nos pulmões de pacientes infectados pelo SARS-CoV-2, ao qual desempenham um papel importante na imunopatogênese da Covid-19 (11,12). Uma vez que estas células são os principais componentes da imunidade inata capazes de desenvolver fenótipos distintos através de polarização inflamatória (13).

Estudos de mecanismos imunológicos são fundamentais para avaliação de vias de sinalização celular, tendo como alvo a identificação de agentes imunorregulatórios durante a inflamação. Os miRNAs são reconhecidos como reguladores-chave de muitos processos biológicos e fisiopatológico como proliferação celular, metabolismo e apoptose (14,15). A expressão desregulada destas moléculas tem sido associada ao desenvolvimento de diversas doenças, uma vez que a estimulação de receptores induz a ativação de vias de sinalização, resultando na indução transcricional de múltiplos genes, responsáveis pela regulação da resposta imune (16), polarização de células (17,18) e replicação viral (19)

No contexto de inflamação e dano de órgãos, as metaloproteinases da matriz extracelular (MMPs) agem como as principais enzimas reguladoras da homeostase do tecido. No entanto, durante processos inflamatórios exacerbados, sua atividade é drasticamente elevada, fazendo com que as células aumentem sua expressão e ação de clivagem em substratos relacionados com a resposta imune, como receptores de membranas, citocinas e quimiocinas (20,21)

O TREM-1 (receptor expresso nas células mieloides-1) é um receptor membro da família de imunoglobulinas expresso em células mieloides, principalmente em neutrófilos, monócitos e macrófagos. Após a ativação celular, este receptor pode amplificar a resposta inflamatória em sinergismo com a sinalização de receptores do tipo *Toll* (TLRs) (22,23). A variante solúvel de TREM-1 (sTREM-1) é proveniente da clivagem proteolítica de TREM-1 por MMPs (24) já foi detectado no plasma de camundongos e humanos em condições patológicas inflamatórias (25,26)

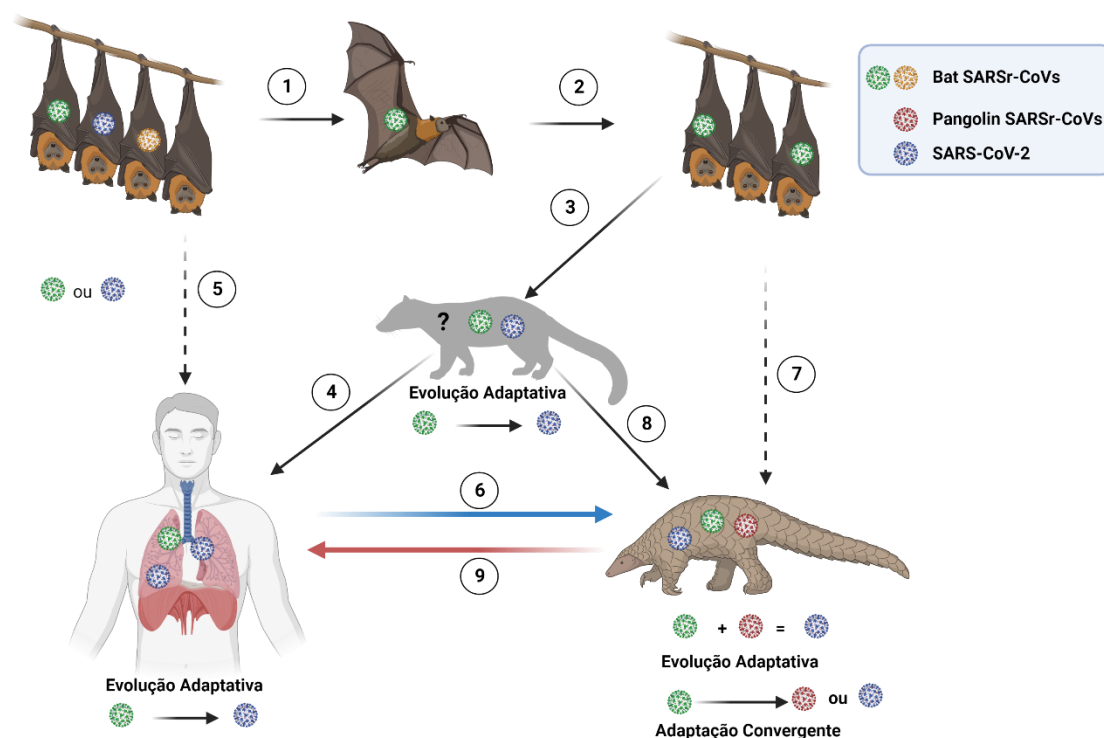
Dados publicados anteriormente, reforçam que quantidades elevadas de sTREM-1 plasmático podem representar um indicativo de progressão clínica, sendo um importante marcador de prognóstico em pacientes com choque séptico (27) e outras infecções bacterianas e virais (28–30). Visto que o SARS-CoV-2 induz uma resposta hiperinflamatória, sugerimos que durante a infecção, o vírus poderia desencadear uma ativação e regulação positiva da via de TREM-1, induzindo a liberação da forma solúvel (sTREM-1) de acordo com a gravidade da doença mediante a cooperação pela atividade proteolítica de MMPs e regulação dos sistema imune por microRNAs.

## ***2. Revisão Bibliográfica***

## 2.1. Histórico pandêmico e possível origem do SARS-CoV-2

Os coronavírus (CoVs) têm sido o agente causador de duas pandemias de grande escala nas últimas duas décadas. No final de 2002, o primeiro caso conhecido de Síndrome Respiratória Aguda Grave (SARS), ocorreu na província de Guangdong, China China (31,32), seguidas de novos relatados em Hong Kong (33), Vietnã, Canadá e outros países (34).

Em março de 2003, a Organização Mundial de Saúde (OMS) instituiu esforços para identificar o agente causador da “síndrome respiratória aguda grave” (SARS). No corrente ano, uma rede de laboratórios internacionais levou à identificação e caracterização inicial de um novo coronavírus associados aos casos de SARS (32,35–37). Casos adicionais de SARS, resultantes de transmissão zoonótica, ocorreram em 2003 e 2004 (38), porém nenhum caso humano de SARS foi detectado desde então. No entanto, alguns vírus semelhantes ao SARS-CoV encontrados em morcegos demonstraram capacidade de infectar células humanas sem adaptação prévia (39,40), indicando que a SARS poderia ressurgir (**Figura 1**).



**Figura 1.** Cenários possíveis para a origem do SARS-CoV-2.

**Fonte:** Adaptado de Banerjee *et al.*, 2021 (41)

Em 13 junho de 2012, 10 anos após o primeiro surgimento do SARS-CoV, um novo coronavírus, o Coronavírus da Síndrome Respiratória do Oriente Médio (MERS-CoV) foi

isolado de escarro de um homem de 60 anos internado na Arábia Saudita com sintomas de pneumonia aguda, que faleceu por insuficiência respiratória e renal progressiva (42). Após esse relato, casos de doença respiratória grave ocorreram na Jordânia (MERS), Reino Unido e países fora da Península Arábica (43).

Após esses dois eventos pandêmicos, estudos enfatizaram o risco em potencial de ressurgimento de SARS-CoV, uma vez que os CoVs poderiam sofrer recombinação genética e gerar novos genótipos, além da presença de um grande reservatório em morcegos (96%). Outros estudos sugerem possíveis evoluções e combinações adaptativas em pangolins (92,4%) e civetas de palmas (99,8%) com grande similaridade genética ao SARS-CoV com potencial de infectar seres humanos (44,45)

No final de dezembro de 2019, a OMS foi comunicada sobre um surto de pneumonia grave de etiologia desconhecida em Wuhan, província de Hubei na China, (46–48). Em 30 de janeiro de 2020 devido aos números crescentes de casos e óbitos, foi então declarado Estado de Emergência de Saúde Pública de Importância Internacional (ESPII), como o mais alto nível de alerta, conforme previsto no Regulamento Sanitário Internacional (49).

Uma semana depois, em 7 de janeiro de 2020, as autoridades chinesas confirmaram que haviam identificado um novo tipo de coronavírus (2019-nCoV) não identificada antes em seres humanos, sugerindo o  $\beta$ -Coronavírus como o agente etiológico responsável. Em 11 de fevereiro de 2020, foi então nomeado de Coronavírus da Síndrome Respiratória Aguda Grave 2 (SARS-CoV-2) como causador da Doença do Coronavírus 2019 (Covid-19) (50).

## **2.2. Característica genômica, estrutural e replicação do SARS-CoV-2**

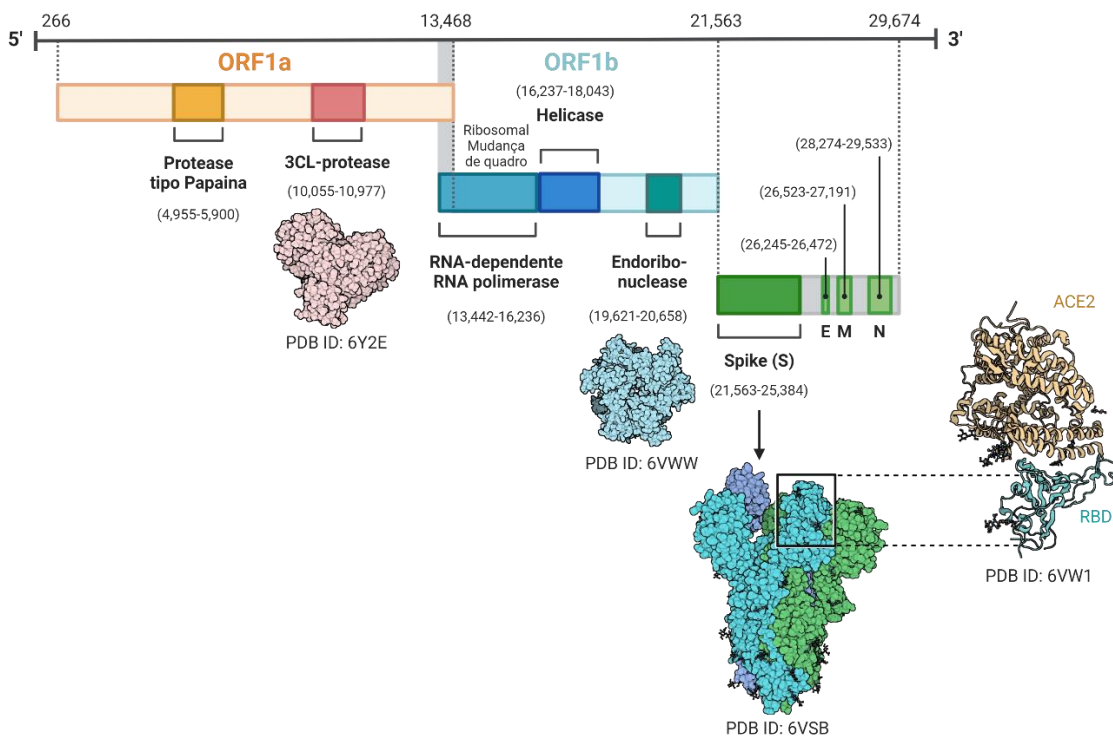
Segundo o comitê internacional de taxonomia de vírus (ICTV), os coronavírus (CoVs) são um grupo de vírus envelopados, pertencentes à ordem *Nidovirales*, família *Coronaviridae* e subfamília *Coronavirinae*. Com base em suas sequências de proteínas os membros da subfamília *Coronavirinae* podem ser classificados em quatro gêneros: *Alphacoronavírus*, *Betacoronavírus*, *Deltacoronavírus* e *Gammacoronavírus* (51,52).

Pertencente ao gênero *Betacoronavírus* ((47,53), o SARS-CoV-2 compartilha aproximadamente 80% de similaridade de sequência com o SARS-CoV (2002) e em média 50% com o coronavírus da síndrome respiratória do Oriente Médio (MERS-CoV) (2013) (2). Os CoVs são patógenos zoonóticos originários de animais e podem ser transmitidos a humanos por contato direto. Análises evolutivas de estudos genômicos sugerem a



semelhança do SARS-CoV-2 com o SARS de morcego ou pangolins como o reservatório intermediário (**Figura 1**) (54,55). No entanto, mais estudos estão sendo realizados para definição do principal hospedeiro intermediário.

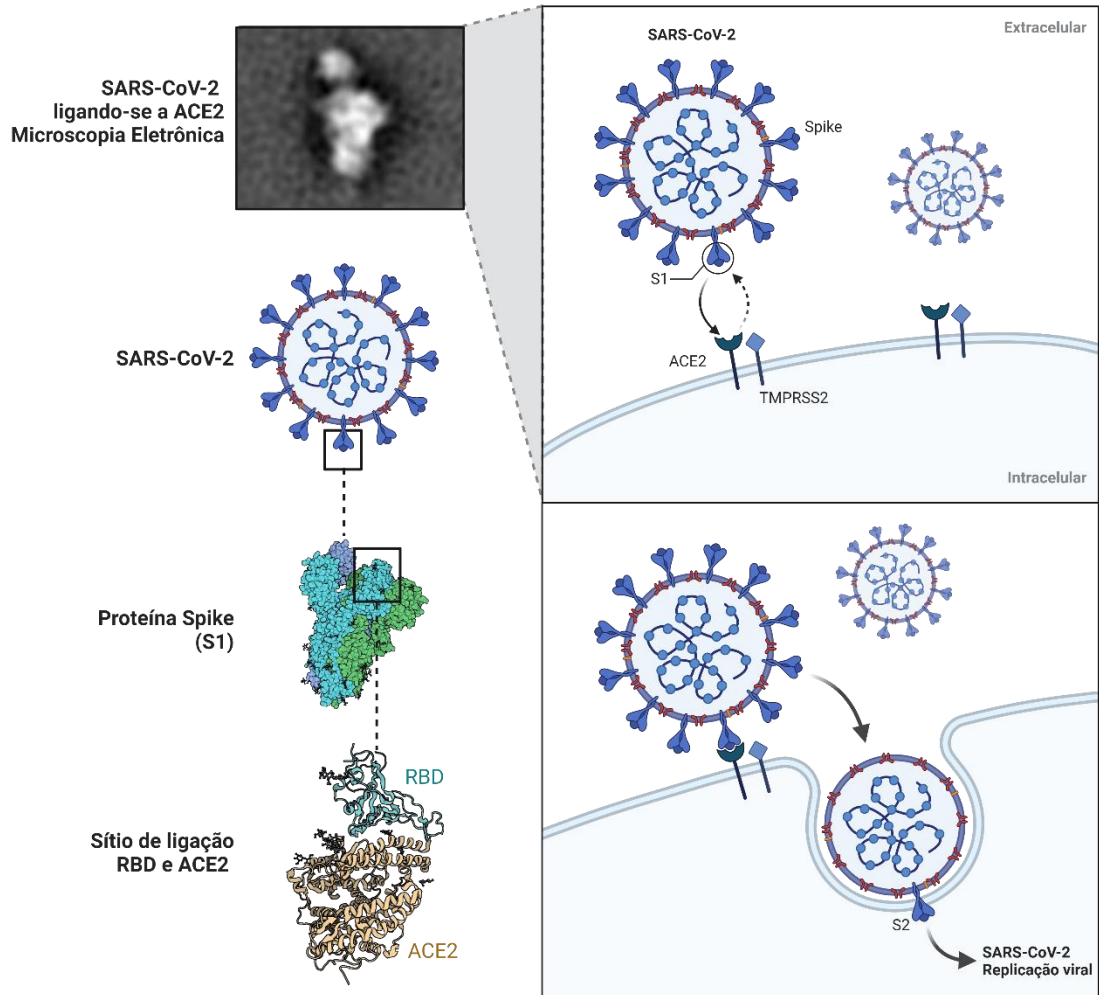
Como um microrganismo intracelular obrigatório, os coronavírus usam a maquinaria da célula hospedeira para sua própria replicação e disseminação. Composto por uma RNA de fita simples de polaridade positiva (+ssRNA) (~30 kb) com estrutura 5' e cauda 3'-poli-A (**Figura 2**) (56) Após a liberação do RNA genômico viral no citoplasma da célula-alvo, o RNA é codificado por duas poliproteínas ORF1a, b (pp1a, pp1b) localizadas na extremidade 5', que codificam 16 proteínas não estruturais (NSP1– NSP16) que compõem o complexo replicase (57,58). As NSPs são processadas para formar um complexo de replicação-transcricional (RTC) envolvido na transcrição e replicação do genoma (58).



**Figura 2.** Organização genômica do SARS-CoV-2. Spike (S), envelope (E), membrana (M) e nucleocapsídeo (N), domínio de ligação ao receptor (RBD), fase de leitura aberta (ORF), RNA polimerase dependente de RNA (RdRP). **Fonte.** Adaptado de V'kovski *et al.*, 2021 (59)

O terço restante localizadas na extremidade 3' codifica nove proteínas acessórias (ORF) e quatro proteínas estruturais: glicoproteína de envelope (S), responsável por reconhecer os receptores da célula hospedeira (60). Proteínas de membrana (M),

responsáveis pela formação dos *vírions* (61), como também, proteínas do envelope (E), responsáveis pela montagem e liberação dos *vírions* ((62)).



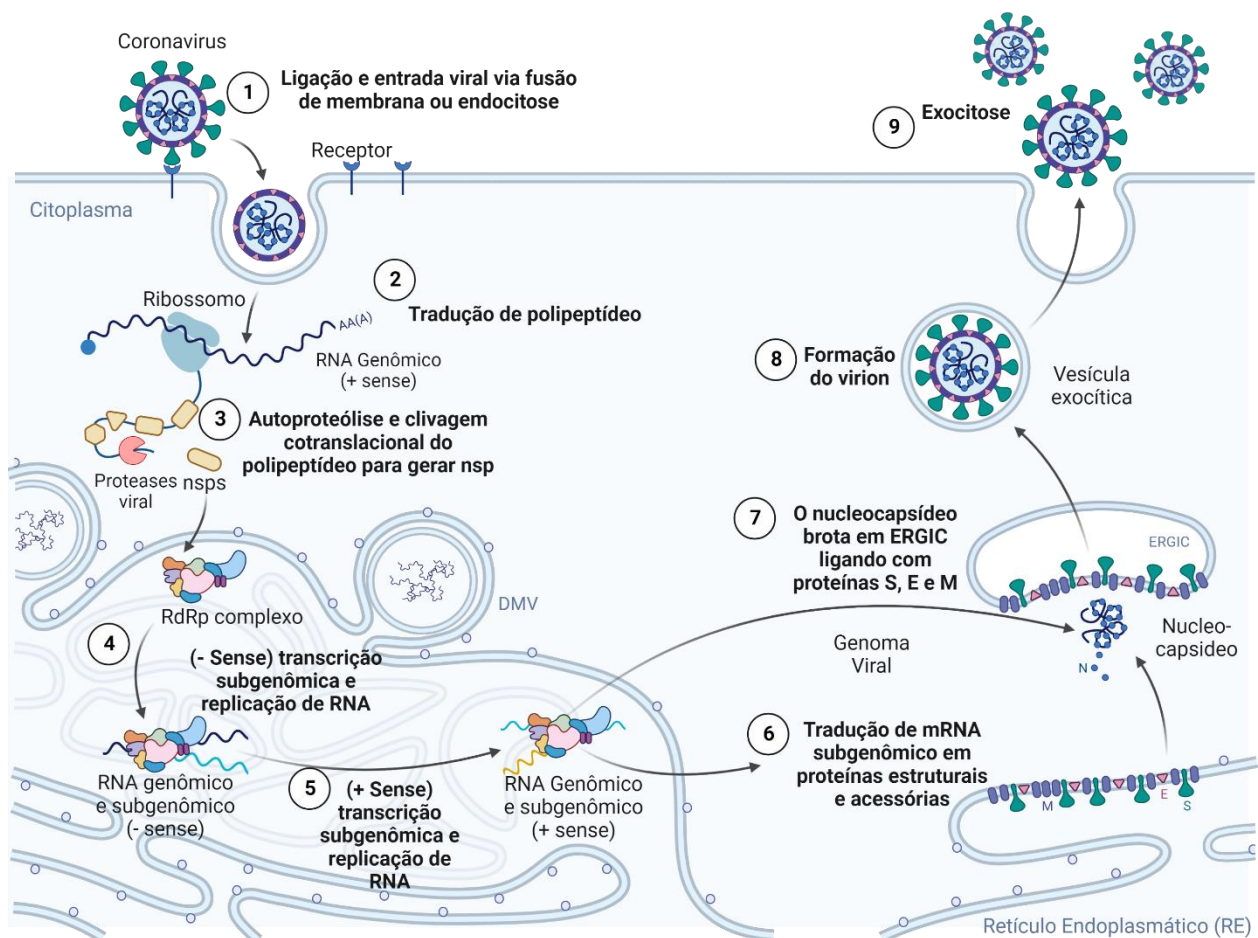
**Figura 3.** Interação SARS-CoV-2 e ACE2 na infecção celular.  
**Fonte:** Adaptado de Ryan *et al.*, 2020 (63).

As proteínas do nucleocapsídeo (N) envolvidas no empacotamento do genoma do RNA e nos *vírions* desempenham papéis na patogenicidade como um inibidor do interferon (IFN) (64). Além dessas quatro proteínas estruturais principais, diferentes CoVs codificam proteínas estruturais e acessórias especiais, como proteína HE, proteína 3a/b e proteína 4a/b. O gene S do SARS-CoV-2 é altamente variável compartilhando <75% de similaridade com os nucleotídeos do SARS-CoV (2).

A proteína S (Spike) é composta por duas subunidades funcionais: subunidades S1 e S2. A subunidade de superfície S1 contém um domínio N-terminal (NTD) e o domínio de ligação ao receptor (RBD) responsável pela ligação do vírus ao receptor da enzima

conversora de angiotensina 2 (ACE2) na superfície da célula hospedeira (65,66). A subunidade S2 apresenta o peptídeo de fusão (FP), a repetição do heptal 1 (HR1), a hélice central (CH), o domínio conector (CD), a repetição do heptado 2 (HR2), o domínio transmembrana (TM) e a cauda citoplasmática (CT) atuando como proteína de fusão, clivado proteoliticamente pela catepsina L celular e a protease transmembrana serina 2 (TMPRSS2), que por sua vez facilita a entrada do vírus na superfície da membrana plasmática (**Figura 4**) (67,68)

A transmissão ocorre de pessoa para pessoa, sendo o principal modo de propagação. Isso ocorre por meio de gotículas respiratórias liberadas por tosse ou espirro, aerossol e contato da membrana mucosa com fômites (69). A transmissão fecal-oral tem sido especulada uma vez que a detecção de RNA viral nas fezes, sintomas gastrointestinais (GI) e expressão de ACE2 ao longo do trato GI tem sido observada (70,71)



**Figura 4.** Ciclo de replicação do SARS-CoV-2 nas células hospedeiras.  
**Fonte:** Adaptado de V'kovski *et al.*, 2021 (59)

As células epiteliais do trato respiratório expressam tanto ACE2 quanto TMPRSS2 em sua superfície, e esta via de entrada direta parece ser o modo predominante de acesso do SARS-CoV-2 no tecido respiratório. Alternativamente, o SARS-CoV-2 também pode usar a via endossômica, pela qual o complexo ACE2-vírus é translocado para endossomos e a iniciação da proteína S é realizada pelas proteases de cisteína endossômica cathepsina B e cathepsina L. Após, o vírus é liberado do endossomo no citoplasma das células epiteliais brônquicas ciliadas e pneumócitos tipo II (72).

Uma vez que o genoma é liberado no citosol da célula, as ORF1a e ORF1b são traduzidas em proteínas replicase viral, que são clivadas em NSPs individuais (via hospedeiro e/ou proteases virais); estes formam a RNA polimerase dependente de RNA (nsp12 derivado de ORF1b) (73). Os componentes da replicase reorganizam o retículo endoplasmático (ER) em vesículas de membrana dupla (DMVs) que facilitam a replicação viral de RNAs genômicos e subgenômicos (sgRNA); os últimos são traduzidos em proteínas estruturais acessórias e virais que facilitam a formação de partículas virais (74).

Assim como outros vírus, o SARS-CoV-2 evolui com o tempo e variações ocorrem através de mudanças de nucleotídeos no genoma viral durante a replicação. Alterações genômicas vantajosas em relação a replicação viral, transmissão e evasão imune, tornam a resposta imune ineficaz devido à sua incapacidade de reconhecer e eliminar o vírus (75). Até o momento sabe-se que o SARS-CoV-2 originou-se da recombinação entre espécies entre coronavírus de morcego e pangolim (41) e é provável que as variantes estejam surgindo como resultado da recombinação (76,77).

A variante  $\alpha$  (B.1.1.7) foi detectada pela primeira vez no final de setembro de 2020 e rapidamente se tornou a cepa predominante no Reino Unido (78). A variante  $\beta$  (B.1.351), detectada pela primeira vez em outubro de 2020, tornou-se a cepa dominante resultando na segunda onda na África do Sul (79). Da mesma forma, a variante  $\gamma$  (P.1) foi detectada em quatro indivíduos brasileiros que viajaram ao Japão em janeiro de 2021 (80) e foi responsável pelo ressurgimento de infecções em Manaus (Amazonas, Brasil (81,82). Variante  $\delta$  (B.1.617.2), detectada em dezembro de 2020, foi responsável pelo aumento maciço de casos, causando uma segunda onda na Índia (83,84) e infecções em vários locais nos Estados Unidos (85).

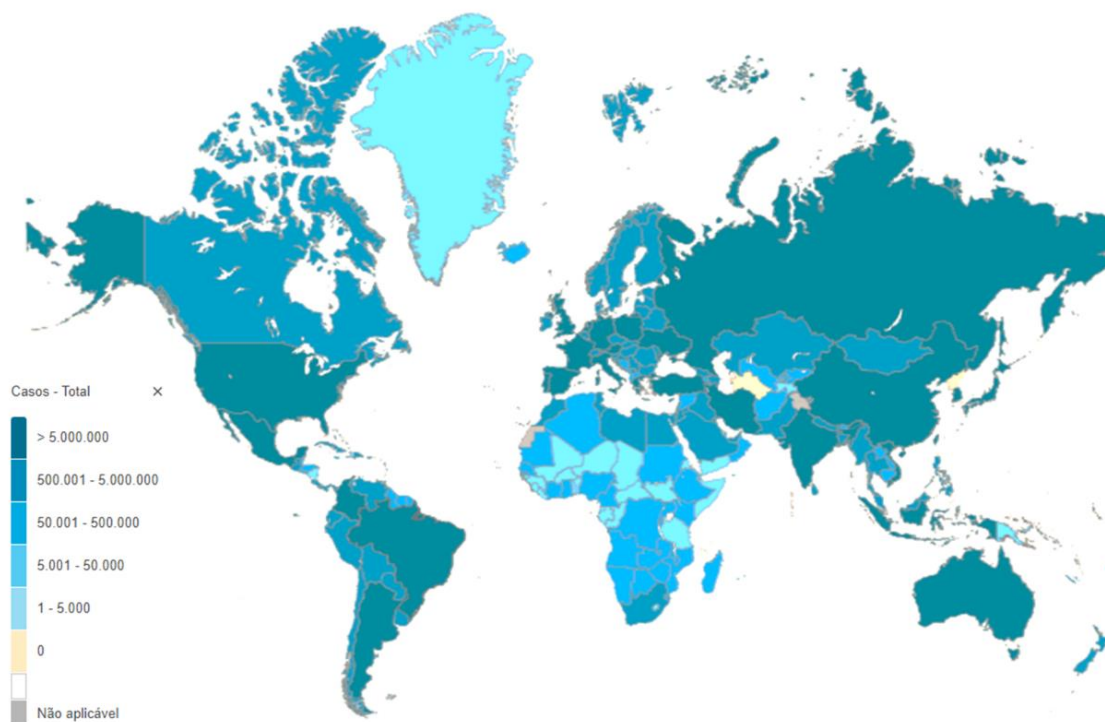
A variante O (B.1.1.529), a última variante de preocupação anunciada pela OMS, foi detectada pela primeira vez em novembro de 2021 por laboratórios de vigilância genômica de classe mundial na África do Sul e encontrada em outros países ao redor do mundo (86).

O surgimento dessas variantes torna-se preocupante, pois podem afetar a transmissibilidade viral, virulência e quadros de reinfecção por escapar da imunidade natural ou induzida por vacina (87)

### 2.3. Epidemiologia da infecção pelo SARS-CoV-2

Apesar dos esforços globais em conter a doença, em 11 de março de 2020 a OMS declarou Estado Pandêmico para a Covid-19 (88). Desde sua identificação, o vírus se espalhou rapidamente em escala global, mais de 415 milhões de casos, com mais de 5,84 milhões de mortes decorrentes da doença causando uma pandemia sem precedentes que sobrecarregou muitos sistemas de saúde (89). Em poucos dias a Europa se tornou o epicentro da pandemia (90), seguido dos Estados Unidos e posteriormente países da América Latina, incluindo o Brasil (**Figura 5**) (91).

No Brasil, em 3 de fevereiro de 2020 foi declarado Estado de Emergência em Saúde Pública de Importância Nacional pelo Ministério da Saúde (92). No dia 26 de fevereiro de 2020, foi confirmado o primeiro caso de Covid-19 no estado de São Paulo em um viajante retornando da Itália. Em 17 de março de 2020, a primeira morte relacionada à Covid-19 foi confirmada (93).



**Figura 5.** Incidência de casos novos de Covid-19.  
Fonte: adaptado da WHO (89)

O governo brasileiro introduziu medidas de restrição para limitar a propagação viral como: isolamento social, fechamento de escolas, universidades e comércios não essenciais, além das medidas de obrigatoriedade, como o uso de máscaras de proteção individual e quarentena (94). As medidas de restrição foram progressivamente flexibilizadas devido os impactos negativos na economia imposta pelo governo atual (95) e as viagens entre os estados brasileiros permaneceram amplamente possíveis possibilitando o surgimento de novas linhagens contagiosas (82,96,97).

No dia 7 de janeiro de 2021, o Brasil registrou o maior número de casos novos (87.843 casos), desta forma, tornando-se o segundo epicentro da pandemia com um total de 21,2 milhões de casos e um número de mortos superior a 591.000 casos até o final de setembro de 2021 (98). Após dois anos de pandemia, até 28 de outubro de 2022, segundo a OMS havia 626.337.158 casos confirmados de Covid-19, incluindo 6.566.610 mortes mundiais. Por outro lado, até 26 de outubro de 2022, um total de 12.830.378.906 doses de vacina foram administradas na população mundial. No Brasil, de 3 de janeiro de 2020 a 28 de outubro de 2022, houve 34.807.075 casos confirmados de Covid-19 com 687.907 óbitos relatados.

Em 17 de janeiro de 2021 a Agência Nacional de Vigilância Sanitária (ANVISA) aprovou o uso emergencial de vacinas contra a Covid-19, e iniciou-se imediatamente a Campanha Nacional de Imunização. O Instituto Butantan (São Paulo) e a fundação Oswaldo Cruz (Fiocruz) (Rio de Janeiro, Brasil), importaram as primeiras 6 milhões de doses de CoronaVac e Astrazeneca em colaboração com a Sinovac Biotech (Pequim, China e Oxford (Inglaterra), até 21 de outubro de 2022, um total de 486.682.379 doses de vacina foram administradas e aproximadamente 71,8% da população brasileira está vacinada com a primeira dose de qualquer uma das vacinas atualmente disponíveis.

#### **2.4. Características clínicas da infecção pelo SARS-CoV-2**

O tempo entre a exposição ao SARS-CoV-2 e o momento em que os primeiros sintomas da Covid-19 começam a surgir (período de incubação) são de aproximadamente 5 a 7 dias em média, podendo variar de 1 a 14 dias. Pacientes infectados podem desenvolver a doença com sintomas leves, como febre, tosse seca ou produtiva, mialgia, cefaleia, hemoptise, diarreia, dispneia; como também, em alguns casos, sintomas graves, que evoluem desde síndrome do desconforto respiratório agudo (SDRA), lesão cardíaca aguda, infecções secundárias e falência de múltiplos órgãos (99–102)

Em média 80% dos pacientes que apresentam apenas sintomas leves da doença se recuperam sem precisar de tratamento hospitalar. No entanto, um menor número apresenta uma rápida progressão dos sintomas, evoluindo para quadros críticos. Dentre as manifestações mais graves, evidencia-se a pneumonia e os infiltrado bilaterais que podem ser identificados através de imagens radiográficas do tórax (103).

Segundo Cheng et al., 2020, 17% dos pacientes de Covid-19 são acometidos pela Síndrome do Desconforto Respiratório Agudo (SDRA) e, entre esses, 65% pioraram rapidamente e morreram de falência de múltiplos órgãos (104). O risco heterogêneo para o desenvolvimento de formas grave da doença causada pelo SARS-CoV-2 é um dos aspectos mais relevantes na patogênese e no curso clínico da Covid-19. Neste sentido, alguns preditores clínicos importantes foram identificados em diferentes populações, principalmente abrangendo fatores de risco relacionado ao sexo masculino, idade superior a 60 anos e obesidade (105,106).

A maioria dos casos graves de pacientes com Covid-19, geralmente apresentam comorbidades, como hipertensão arterial (HA), doença cardiovascular (DCV), doença pulmonar obstrutiva crônica (DPOC), obesidade, diabetes tipo II e doença renal e hepática (107,108), sugerindo que estas comorbidades representam um fator de risco adicional para o desenvolvimento de Covid-19 grave, com evoluções para disfunções multiorgânicas, choque séptico e óbito (109,110).

## **2.5. Imunopatogênese da infecção pelo SARS-CoV-2**

O estabelecimento do tropismo viral depende da suscetibilidade e permissividade de uma célula hospedeira específica. O SARS-CoV-2 apresenta tropismo primário pelo pulmão (111), infectando células epiteliais alveolares, células endoteliais vasculares e macrófagos (112,113). Visto que além de estar expresso em células epiteliais alveolares tipo II dos tecidos pulmonares (114), os receptores ACE2 já foram descritos em outros tecidos extrapulmonares, como coração, endotélio, rins e intestinos (115,116). Processos inflamatórios recorrentes que podem ser desencadeados pela presença de comorbidades e pela própria infecção do SARS-CoV-2 desempenham um papel chave na patogênese da Covid-19 e na expressão de ACE2.

A resposta imune é um dos principais determinantes da suscetibilidade e gravidade da doença. O epitélio pulmonar constitui uma barreira de defesa do hospedeiro contra patógenos invasores. Os alvéolos são revestidos pelo epitélio alveolar formado por uma

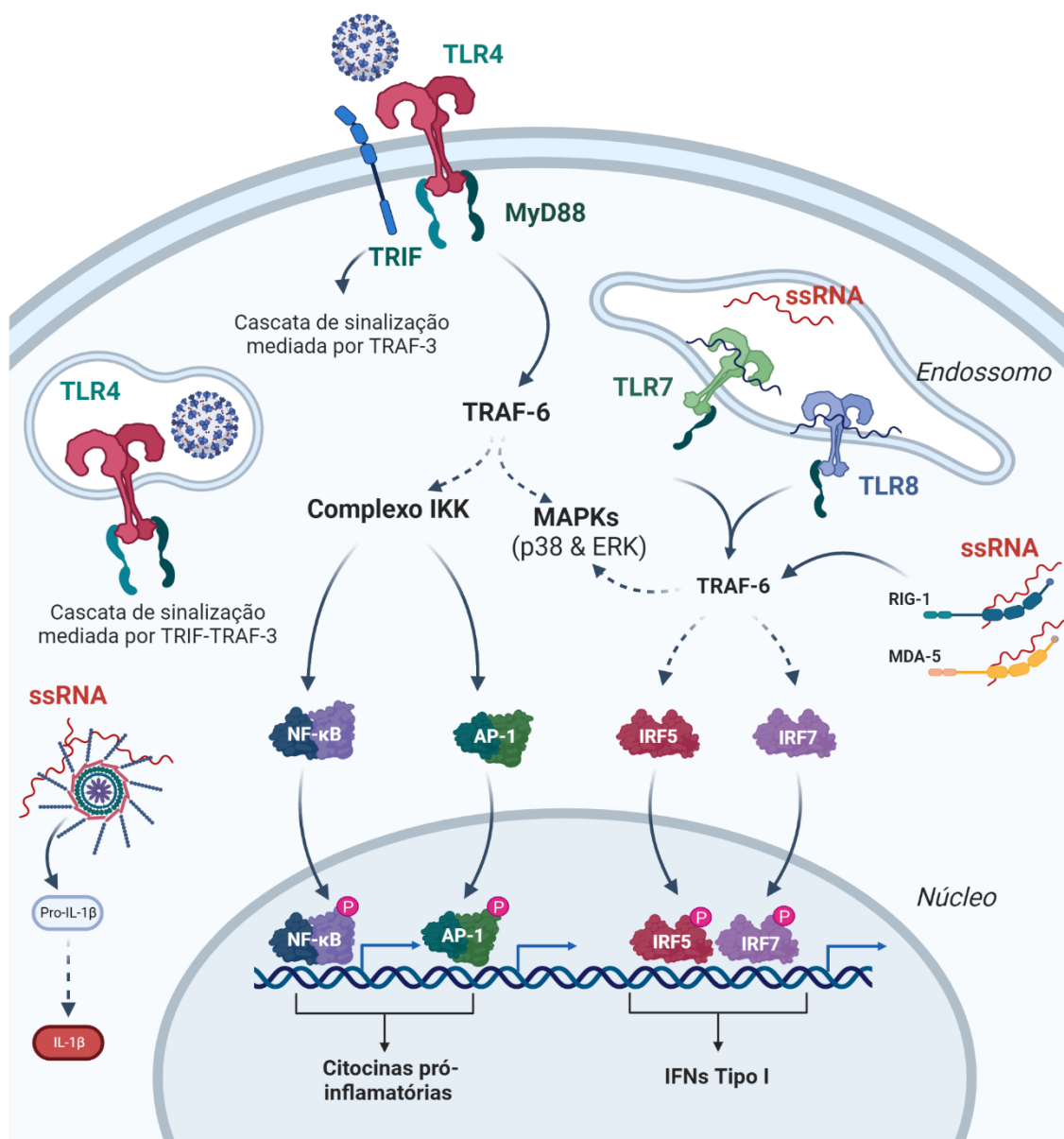
monocamada de células alveolares tipo I (AT1) e células alveolares tipo II (AT2). Em condições homeostáticas, as AT2 têm a função de secretar líquido surfactante cobrindo todo o epitélio de revestimento interno facilitando a expansão do alvéolo (117). Tem sido descrito que AT1, AT2 e células endoteliais dos capilares sanguíneos encontram-se fortemente conectadas através de junções estreitas, que controlam o fluxo de íons, fluídos e o infiltrado de células inflamatórias no espaço intersticial entre os alvéolos e através do epitélio pulmonar (118).

Adicionalmente, as células AT2 expressam de maneira constitutiva o receptor ACE2, componente do sistema renina-angiotensina (SRA) responsável pelo aumento da atividade simpática, vasoconstrição, inflamação, liberação de aldosterona e vasopressina. Além das células AT2, os macrófagos alveolares residentes são as principais células-alvo da infecção pelo vírus (67). O reconhecimento do vírus pelas células induz ativação de vias de sinalização que levam a liberação de citocinas e quimiocinas, favorecendo o recrutamento de novas células com fenótipos inflamatórios para os alvéolos infectados, gerando uma resposta imunológica exacerbada (119)

A resposta imune inata constitui uma linha de defesa contra infecções virais, desencadeada pelo reconhecimento de padrões moleculares associados a patógenos e danos (PAMPs e DAMPs) em toda superfície celular e pelos PRRs intracelulares (120). A produção de IFN-I é resultante do reconhecimento de ácidos nucleicos virais do SARS-CoV-2 pelas células infectadas. A sinalização induzida por IFN-I converge em fatores de transcrição, o que induz rapidamente a expressão de genes estimulados por esta citocina (121).

O RNA viral pode ser detectado por sensores citosólicos, os quais incluem o gene 1 induzível por ácido retinóico (RIG-I) e o gene 5 associado à diferenciação de melanoma (MDA5) (122,123). Após a ativação, o RIG-I e o MDA5 interagem com o adaptador de proteína de sinalização antiviral mitocondrial (MAVS), favorecendo o recrutamento do fator 3 associado ao receptor do fator de necrose tumoral (TRAF3), além do ativador de NF- $\kappa$ B associado à família TRAF (TANK) cinase 1 de ligação (TBK1), ao inibidor do fator nuclear  $\kappa$ B (I $\kappa$ B) e quinase- $\epsilon$  (IKK $\epsilon$ ), responsáveis pela fosforilação do fator regulador do gene de IFN (IRF) 3 e IRF7 (124) (**Figura 6**).



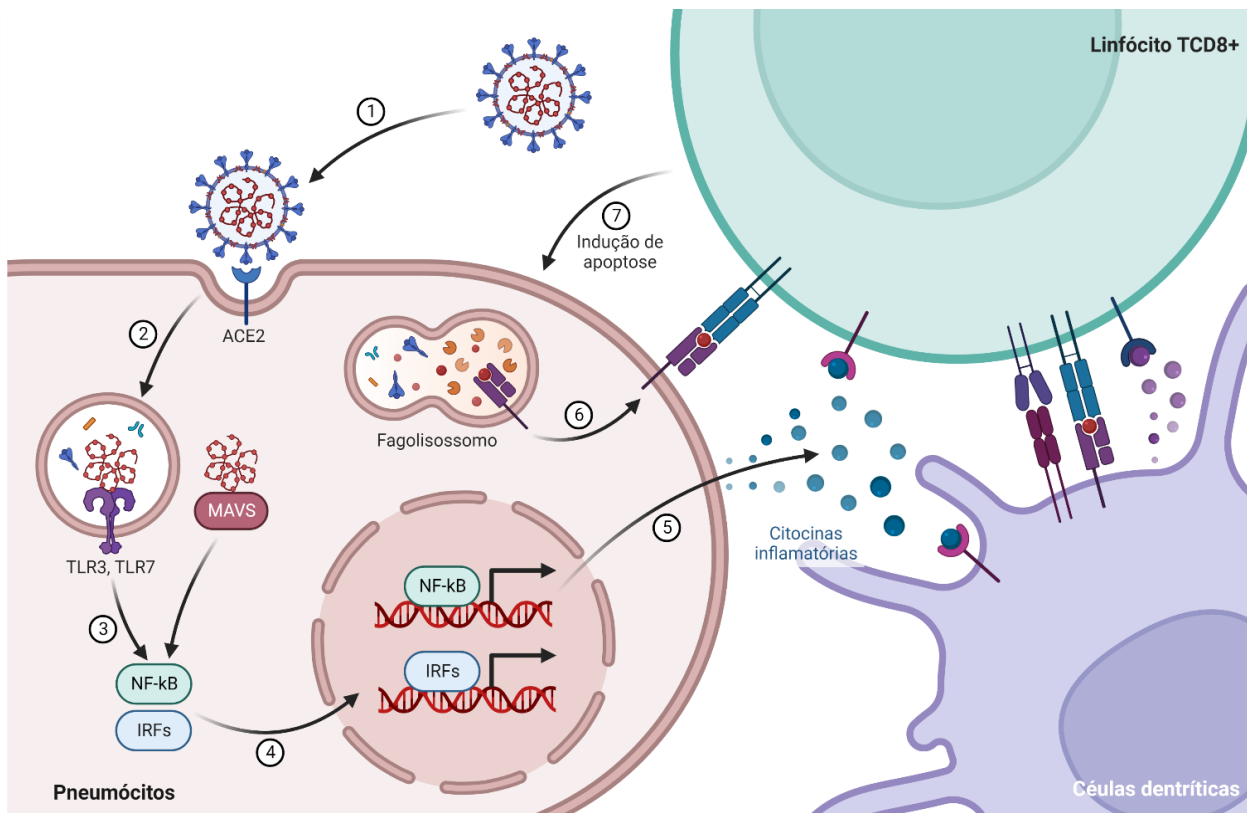


**Figura 6.** Reconhecimento de SARS-CoV-2 por receptor de reconhecimento de padrões (PRRs) e via de fatores de transcrição. O SARS-CoV-2 apresenta afinidade com TLR-4 (membrana e endossomal), sugerindo que o vírus pode ser reconhecido por este receptor. O ssRNA SARS-CoV-2 é reconhecido principalmente por TLR-7 endossomal e 8, 3) e receptores citoplasmáticos RIG-1 e MDA5. As vias de sinalização ativam NF-κB, AP1 e fatores de transcrição de IRFs levando a produção de citocinas pró-inflamatórias. **Fonte:** Adaptada de Luiz Boechat *et al.* 2020 (119)

Após a fosforilação de IRF3 e IRF7 esses migram para o núcleo, induzindo a expressão de IFN-I ligados a sequência ativada por gama (ISGs) (125). O IFN-I secretado se liga ao receptor do interferon alfa e beta, promovendo à ativação das tirosinas quinase Jak tirosina quinase 2 (Tyk2) e Janus quinase 1 (JAK1), responsáveis por fosforilar o transdutor de sinal e o ativador da transcrição (STAT)1 e STAT2 induzindo assim a expressão de produtos ISG que estabelecem o estado antiviral no local da infecção viral (126).

Segundo a literatura, o SARS-CoV-2 é um indutor fraco da resposta do IFN-I, que além de sua ação antiviral, atuam também na ativação de células residentes e no recrutamento de células imunes para o local da infecção, de modo a torná-las ativadas para que desempenhem suas funções efetoras. A inflamação local persistente leva à ativação de células como NK (*Natural Killer*), monócitos, macrófagos, células dendríticas (DCs) e polimorfonucleares (PMN) (125,127,128).

As células DCs plasmocitoides (pDCs), por sua vez, reconhecem o RNA viral através dos PRRs tais como receptores *Toll-like* receptors (TLRs), receptores para RNA helicase RIG-I e receptores *NOD-like* receptors (NLRs), presentes também em células do sistema imunológico. O TLR-3 expresso na membrana endossomal detecta o dsRNA (double stranded RNA), enquanto ssRNA (single stranded RNA) são detectadas pelo TLR-7 e TLR-8 (10,129) (Figura 7).



**Figura 7.** Células de apresentação de antígeno (APC) e interação de células T e imunopatogênese da Covid-19 durante a infecção por SARS-CoV-2. Apresentação de antígeno para linfócitos T CD4<sup>+</sup> via MHC Classe II e apresentação cruzada para T CD8<sup>+</sup> linfócitos via MHC classe I. Fonte: Adaptada de Yang *et al.* 2020 (130)

O reconhecimento desencadeia a ativação de fatores de transcrição, tais como IRFs (IRF3/7) e NF-kB, que convergem para ativação de genes alvo do IFN tipo I ( $\alpha$  e  $\beta$ ) resultando na inibição da replicação viral e na produção de uma variedade de citocinas pró-

inflamatórias TNF- $\alpha$ , IL-12, IL-1 e IL-6 (131,132). Dessa forma, este reconhecimento leva à ativação de cascatas de sinalização, culminando na liberação de citocinas e quimiocinas, que direcionam o recrutamento de células imunes para o local da infecção; essas células imunes com base em seu estado de ativação, estão envolvidas na eliminação do patógeno por meio de vários mecanismos celulares.

A tempestade de citocinas na circulação e no dano alveolar difuso na pneumonia de pacientes graves com Covid-19 são características compartilhadas com a SDRA (133). A Covid-19 é caracterizada por um aumento sistêmico de inúmeras citocinas, incluindo interleucina (IL)-1 $\alpha$ , IL-1 $\beta$ , IL-6, IL-7, IL-10, fator de necrose tumoral (TNF), IFN tipo I e II e as quimiocinas inflamatórias CCL2/MCP-1, CCL3/MIP-1a, CXCL10/IP-10 o que pode ser causado por uma resposta imune disfuncional, levando a tempestade de citocinas periféricas (134,135).

Evidências mostraram que pacientes com Covid-19 apresentam uma desregulação da resposta imune que leva ao desenvolvimento de hiperinflamação periférica e viral (136). Podendo ser uma consequência da linfo-histiocitose hemofagocítica secundária (sHLH), síndrome rara que causa hiperativação imunológica, associada a infecções virais, caracterizada por altos níveis de citocinas, quimiocinas circulantes, redução nos linfócitos TCD8+ e células NK, casos graves de Covid-19 são acompanhados por uma redução em todas as populações de linfócitos: células B, TCD4+, TCD8 +, NK e por neutrofilia (135).

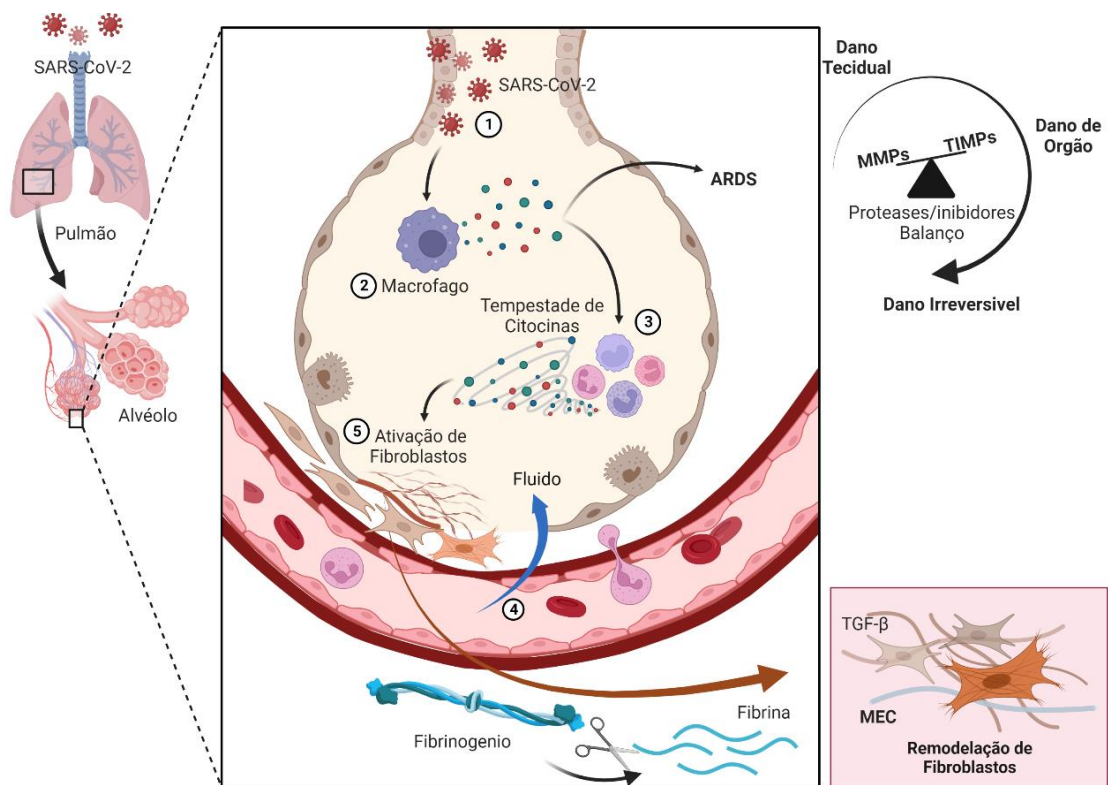
## **2.6. Metaloproteinases e o processo inflamatório da Covid-19**

As MMPs são uma família de 23 membros de endoproteinases secretadas, dependentes de zinco e contendo Ca<sup>2+</sup>, que clivam um amplo espectro de substratos, que incluem componentes da matriz extracelular (colágenos, fibronectina e elastina), mediadores metabólicos solúveis e fatores de crescimento ancorados na matriz extracelular (137,138).

Além disso, durante o processo inflamatório, as MMPs surgem como potentes enzimas que medeiam a clivagem de receptores, citocinas e quimiocinas; como também participam da remodelação de tecidos, migração celular e apoptose (139). O processamento descontrolado de MMP em componentes da matriz extracelular e citocinas pode ser altamente pró-inflamatório e afetar a fisiologia do pulmão e de outros órgãos (140). Em condições de homeostasia, as MMPs são pouco expressas nos tecidos. No

entanto, após lesão, inflamação, renovação da matriz e reparo, sua expressão é aumentada. Curiosamente, pacientes graves com Covid-19 apresentam ruptura da barreira alveolar em resposta à infecção por SARS-CoV-2, intensificando a permeabilidade a fluidos e o extravasamento de leucócitos (141,142). Estas lesões pulmonares podem ser produzidas diretamente pelo vírus, células inflamatórias, hipóxia e/ou outros fatores moleculares, causando dissociação das junções intracelulares (141)

Em estudo comparativo de pacientes criticamente doentes com Covid-19 e influenza A, demonstrou-se que fatores moleculares observados apenas na Covid-19 envolviam concentrações aumentadas de MMP-1 e -3, sugerindo um possível papel dessas MMPs no dano tecidual associado à infecção grave por SARS-CoV-2 (143). Além disso, é sabido que a expressão epitelial pulmonar de MMP-1 correlaciona-se com a redução na função mitocondrial, aumentando a expressão de HIF-1 $\alpha$ , diminuindo a produção de espécies reativas de oxigênio (ROS) e promovendo um fenótipo proliferativo-migratório antiapoptótico em células epiteliais alveolares (**Figura 8**) (144–146).



**Figura 8.** Interação entre mediadores hiperinflamatórios, lesão tecidual e desenvolvimento da fibrose pulmonar. Figura esquemática ilustrando os mecanismos propostos durante a infecção por SARS-CoV-2 e resposta imune desregulada via liberação acentuada de citocinas, quimiocinas, fatores de crescimento e proteases, como metaloproteínas (MMPs) e seus inibidores (TIMPs). Fatores de crescimento, especialmente TGF- $\beta$ , podem ser ativados por citocinas e MMPs, promovendo proliferação de fibroblastos e diferenciação de miofibroblastos. **Fonte:** Adaptado de Ramírez-Martínez *et al.*, 2022 (147)

Durante a infecção pelo SARS-COV-2 ocorre uma resposta imune desregulada sustentada no pulmão, resultando no recrutamento excessivo de neutrófilos para o sítio de infecção e a consequente liberação exacerbada de proteases, espécies reativas de oxigênio e armadilhas extracelulares de neutrófilos (NETs), que podem acarretar danos teciduais graves aos pacientes, uma vez que a resposta à infecção induz células imunes a liberarem MMPs como estímulos fisiológicos que fazem com que todas as células alterem sua produção e liberem MMPs favoráveis para modular a clivagem de citocinas e quimiocinas (148–150).

O papel de MMPs na patogênese de sequelas graves de infecção por SARS-CoV-2 torna-se de particular interesse, uma vez que favorecem à remodelação da matriz extracelular e danos teciduais evidentes. Pouco se sabe sobre a origem celular e os mecanismos implicados na produção e liberação de MMPs nas configurações da infecção por SARS-CoV-2 (21).

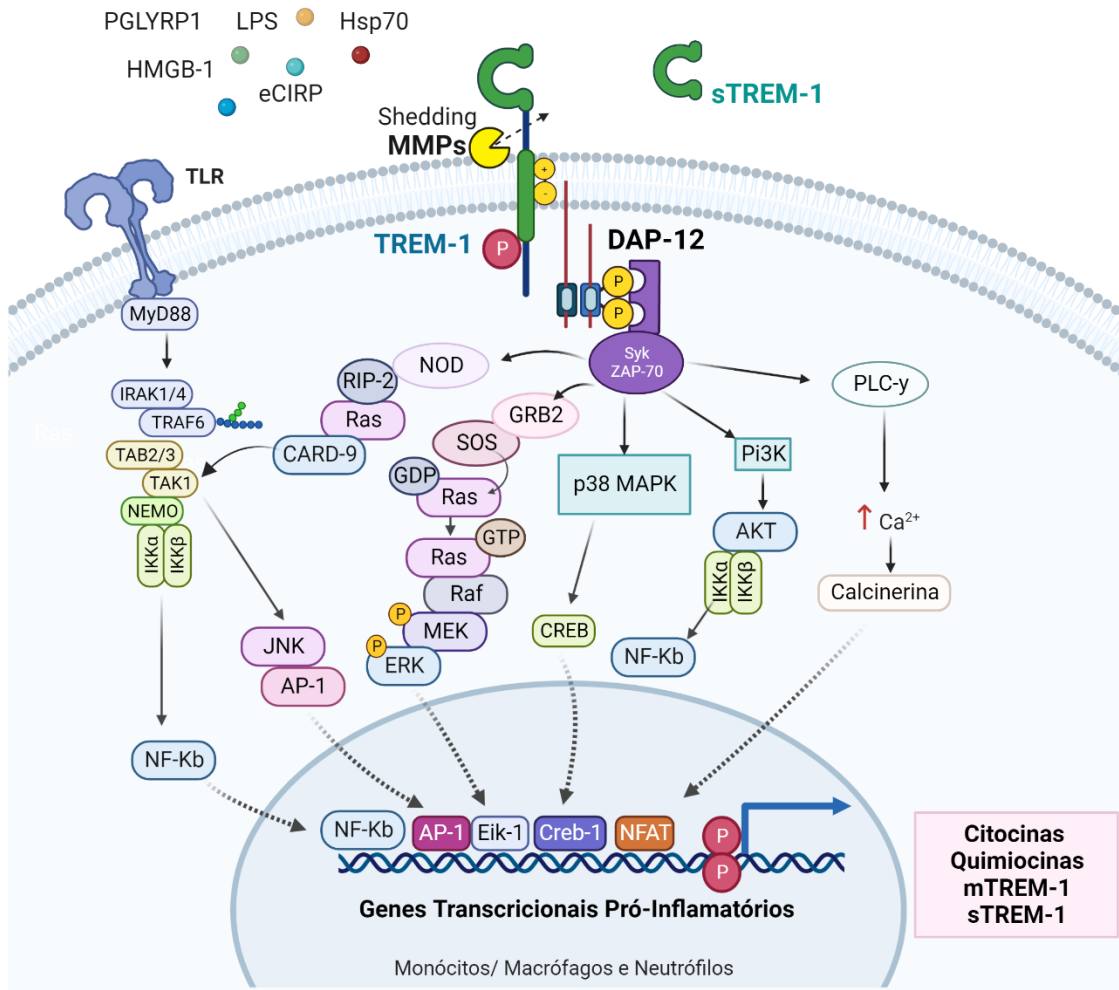
## **2.7. Correlações do TREM-1 com a regulação da resposta inflamatória e atividade de MMPs.**

O sistema imune é constantemente estimulado por agentes inflamatórios infecciosos e não infecciosos. Vários receptores celulares desempenham importantes funções na modulação da respostas imune, sendo responsáveis pelo reconhecimento, adesão e ativação celular, originado o processo inflamatório (151)

O TREM-1 é uma glicoproteína transmembrana de 30kDa, reconhecida como membro da superfamília de imunoglobulinas altamente expresso em células mieloides como neutrófilos, monócitos/macrófagos (152–154). No entanto, a expressão de TREM-1 não é exclusiva de células mieloides, uma vez que já foi descrita sua expressão em células parenquimatosas, epiteliais brônquicas, córneas, gástricas e células endoteliais hepáticas (153–156). Os genes que codificam a família de receptores TREM estão localizados no cromossomo 6p21, composto por TREM-1, TREM-2 e TREM-3 sendo este último descritos apenas em camundongos (152).

Estruturalmente, TREM-1 possui um domínio extracelular tipo imunoglobulina do tipo V, um domínio intramembranas com aminoácidos de lisina carregados positivamente e um domínio intracelular ausente de domínio ITAM (Imunoreceptor motivo de ativação baseado em tirosina). O domínio intracelular de TREM-1 associa-se à proteína de ativação DAP12 (Proteína ativadora de DNAX) necessária para a expressão e sinalização via

domínio intracitoplasmático de ITAM, recrutando um domínio imunorreceptor de tirosina quinase Syk e ativa a sinalização de PI3K, resultando no início da cascata de sinalização e aumento da expressão de citocinas e quimiocina, como IL-6, IL-10, IL-12, TNF- $\alpha$ , GM-CSF, IL-8, MPO, MIP-1 e MCP-1, provenientes de neutrófilos, monócitos/macrófagos (152,157) (**Figura 9**).



**Figura 9.** Organização das proteínas transmembranais e complexo de ativação TREM-1/DAP12. Abreviaturas: ITAM = motivo de ativação baseado em tirosina de imunorreceptor; ITIM = motivo inibitório baseado em tirosina do imunorreceptor. **Fonte:** Adaptado de Cao *et al.*, 2017 (158)

Como um importante coativador das vias de sinalização celulares o receptor TREM-1 age em sinergismo com os receptores Toll e NOD, favorecendo a sincronização dos ligantes intracitoplasmáticos do receptor TREM-1, induzindo aumento de fluxo de cálcio intracelular, secreção de citocinas pró-inflamatórias, sobrevivência celular via Bcl-2 e ativação de neutrófilos (23). A inflamação é um importante mecanismo para a eliminação de patógenos, porém, a amplificação mediada pela via de TREM-1 pode causar uma desregulação celular (159).

Durante a infecção pelo SARS-CoV-2, células pulmonares infectadas ativam macrófagos derivados de monócitos por meio da via do receptor *Toll-like* 4 (TLR4) e sinalização celular por TRIF-TRAF6-NF- $\kappa$ B levando a exacerbação da inflamação (160). Portanto, esses sinergismos podem contribuir para a indução da tempestade de citocinas no contexto da Covid-19.

A expressão de TREM-1 na superfície celular é regulada positivamente após estímulos com lipopolissacarídeo (LPS) e outros constituintes microbianos (161,162). A transcrição do gene TREM-1 é regulada pela proteína ativadora 1 (AP-1), cAMP, NF- $\kappa$ B, receptor de vitamina D e elementos de resposta à hipóxia (156,163). Além disso, a expressão do receptor induzida pelo LPS parece ser mediada por prostaglandina (PG) $E_2$  endógena ao qual desencadeia mecanismos dependentes de EP4, como cAMP/PKA, seguidos pela ativação de MAPK p38 e PI3K (164).

Em infecções virais ocasionadas pelo vírus da hepatite C ou B (165,166) a ciclooxigenase 2 (COX-2) está envolvida na síntese de mediadores inflamatórios lipídicos, onde a PGE $_2$  promove a expressão de TREM-1, enquanto a PGD $_2$  e PGJ $_2$  apresentam funções contrárias, suprimindo a expressão deste receptor (167,168).

Outros estudos investigaram a interação molecular da expressão do TREM-1 em monócitos/macrófagos associados com cânceres colorretal, pulmonar e carcinoma hepatocelular. Tais estudos concluíram que a expressão de TREM-1 apresenta um efeito direto na progressão tumoral e está associada com o aumento da recorrência e à baixa sobrevivência de pacientes (155,169,170).

Além da forma ligada à membrana, uma variante de TREM-1 solúvel (sTREM-1) foi determinada em soro de camundongos e humanos (25,26). Proveniente da clivagem proteolítica do TREM-1 da superfície de membranas, através da ação de MMPs (24) As quantidades elevadas de sTREM-1 circulantes apresentaram valor prognóstico no plasma de pacientes durante episódios de choque séptico (27) e em outros processos infecciosos (28–30). Assim, evidências sugerem um papel importante de sTREM-1 na evolução de doenças infecciosas e a variação da produção do receptor solúvel pode ser um marcador confiável de inflamação durante sepse e pneumonia (171,172). No entanto, apesar de várias investigações, o ligante de TREM-1 ainda não foi determinado, como também, ainda não foi determinado a validade de sTREM-1 como um biomarcador de gravidade da Covid-19.

## **2.8. Função dos miRNAs na patogenicidade do SARS-CoV-2**

Diferentes classes de RNAs regulatórios já foram identificadas, se diferem em sua biogênese, comprimento e distribuição tecidual. Entre os pequenos RNAs estão os microRNAs (miRNAs) (173,174). Estes são RNAs de fita simples não codificantes com 19–28 nucleotídeos, que regulam a expressão gênica e a síntese de proteínas ao patamar de tradução ou degradação do RNAm alvo, considerados reguladores chave da expressão gênica e proteica (175,176).

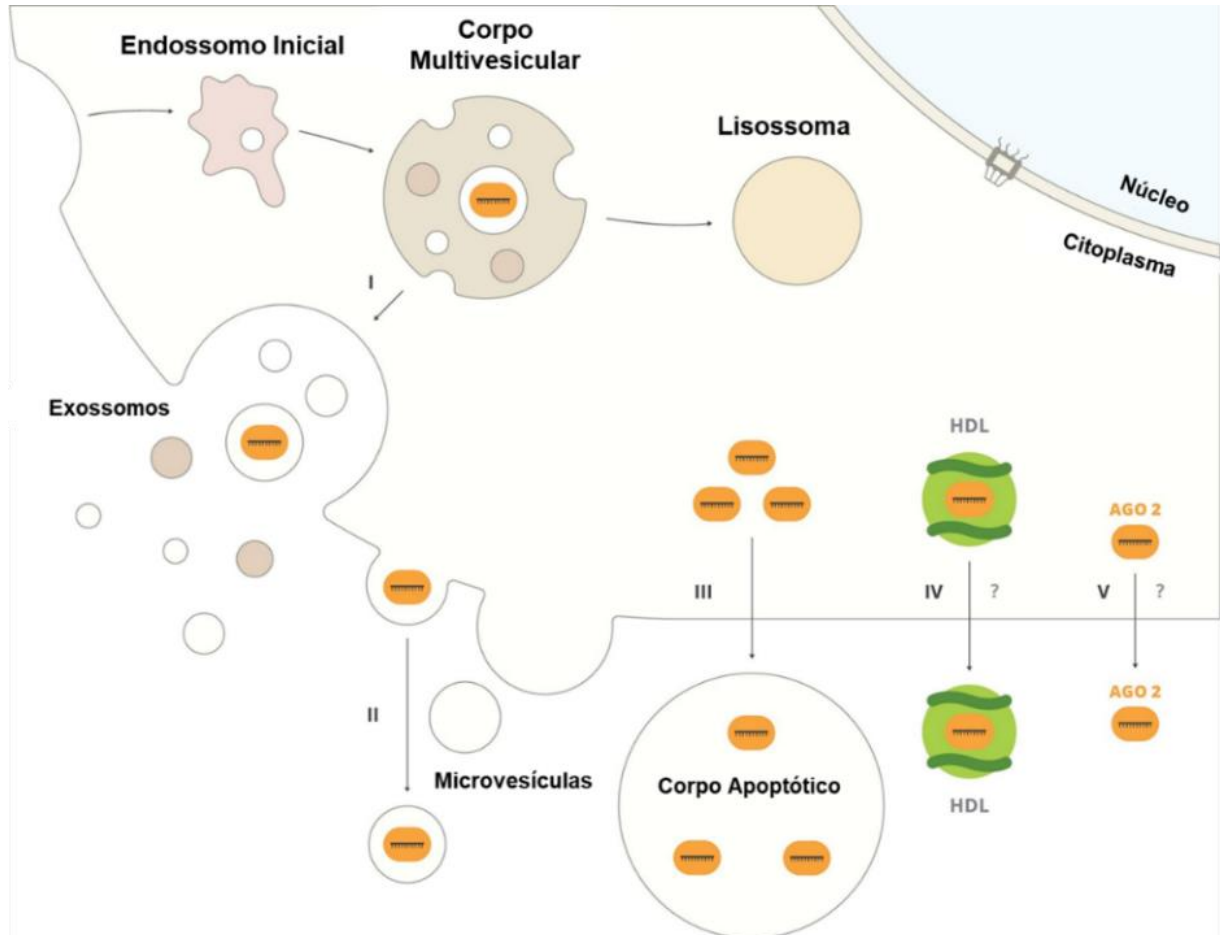
Aproximadamente 2.000 miRNAs humanos já foram identificados (miRBase 22), e previstos para regular mais de 60% de todos os genes codificadores de proteínas humanas (177). Os miRNAs maduros são carregados em proteínas argonaute (AGO2) para formar o complexo de silenciamento induzido por RNA (miRISC) que o direciona para o RNAm alvo por meio de pareamento de bases (178). Uma fita do miRNA maduro tem como alvo os mRNAs, responsáveis em promover a degradação ou bloqueio translacional nos corpos de processamento (179).

Como um regulador negativo de mRNA, o silenciamento do gene é realizado por miRNAs que têm como alvo a região 3' não traduzida (3'-UTR) do mRNA, induzindo a degradação do mRNA ou supressão da tradução. Além disso os miRNAs também podem interagir em outras regiões da sequência de codificação (CDS) de mRNA, como emparelhamento de bases da extremidade 5' não traduzida (5'-UTR) e promotores de genes (177,180,181). Observa-se que os miRNAs estão envolvidos em processos fisiológicos e estágios fisiopatológicos, como proliferação, metabolismo, diferenciação, apoptose, modulam a imunidade inata e adaptativa (182–184).

Os miRNA apresentam um papel importante em numerosos processos biológicos, o desequilíbrio na expressão destas moléculas tem sido associado a doenças humanas, uma vez que os miRNAs têm papel nas interações vírus-hospedeiro, sendo que os vírus induzem microambientes favoráveis que facilitam o ciclo de vida viral por meio de alterações na expressão do miRNA do hospedeiro, atuando como reguladores críticos da patogênese viral (185–187) Estudos recentes sugerem que os miRNAs são transportados entre diferentes compartimentos subcelulares para controlar a taxa de tradução e transcrição (188). Os miRNAs extracelulares têm sido amplamente relatados como potenciais biomarcadores para uma variedade de doenças e servem como moléculas de sinalização para mediar as comunicações célula-célula através de vesículas extracelulares (189–191)



Exossomos são vesículas extracelulares (EVs), que junto com as microvesículas e corpos apoptóticos, ajudam na remoção de componentes celulares indesejados (192) responsáveis pelo transporte de biomoléculas e outros constituintes celulares (193–195) (Figura 10).



**Figura 10.** Mecanismos intracelulares de biogênese, secreção de exossomos e miRNA.  
**Fonte:** Adaptado de Makarova *et al.*, 2016 (188)

Formados por invaginações de membranas de endossomos e corpos multivesiculares (MVB). Os MVB se fundem com os lisossomos ou com a membrana plasmática promovendo a liberação de exossomos, desencadeando uma série de eventos como sinalização celular, desregulação imune, alteração metabólica e expressão gênica (196–198).

Estudos demonstram que os exossomos são capazes de carregar mRNAs e miRNAs funcionais com capacidade de regular a expressão gênica em células receptoras (199–201). Tem sido relatado que exossomos podem ser responsáveis por transferir o receptor ACE2 para células saudáveis facilitando a entrada do vírus e aumentando a disseminação da doença no organismo (202,203). Nas infecções virais, a expressão de miRNAs em células

epiteliais podem ter função na patogênese através da modulação do sistema imunológico (204,205) (**Tabela 1**).

**Tabela 1.** miRNAs na imunidade Inata

<b>miRNA</b>	<b>Alvos</b>	<b>Efeito</b>
<b>miR-9</b>	NF- $\kappa$ B1	Regulador negativo de sinalização TLR4
<b>miR-19</b>	TLR2	Diminui a inflamação mediada por TLR2
<b>miR-21</b>	PDCD4, IL-12 p35	Regulador negativo de sinalização TLR4
<b>miR-27b</b>	PPAR- $\gamma$	Melhora a resposta ao LPS
<b>miR-105</b>	TLR2	Diminui a inflamação mediada por TLR2
<b>miR-106a</b>	IL-10	Diminui IL-10
<b>miR-125b</b>	TNF- $\alpha$ ; IRF4	Diminui a inflamação; aumenta a ativação de macrófagos
<b>miR-145</b>	TIRAP	Inibe a sinalização TLR
<b>miR-146a</b>	TRAF6, IRAK1, IRAK2	Regulador negativo de sinalização TLR
<b>miR-155</b>	MyD88; TAB2; Pellino-1; IKK $\epsilon$ ; NAVIO-1; SOCS1; C / EBP- $\beta$	Aumenta a inflamação; regulamento de feedback negativo
<b>miR-223</b>	IKK $\alpha$ ; Pknx1	Ativação pró-inflamatória de macrófagos
<b>Let-7i, let-7e</b>	TLR4	Regular baixo a sinalização inflamatória

**Fonte:** Adaptado de Liu *et al.*, 2013 (18)

Até o momento, o papel dos miRNAs na infectividade dos coronavírus não foi examinado em estudos *in vivo*. Sabe-se que células infectadas pelo coronavírus ativam uma cascata de sinalização, resultando no aumento da expressão de miRNA permitindo a tradução de NF- $\kappa$ B e produção de citocinas pró-inflamatórias (206). Um número limitado de estudos *in vitro* realizado no coronavírus OC43, e análises *in silico* conduzidas no SARS-CoV e MERS-CoV (207), demonstraram que os miRNAs interagem com mRNAs do coronavírus, influenciando a inibição da replicação viral através da regulação positiva de miR-214, miR-574-5p e miR-17, miR-223, miR-98, miR-146a-5p, miR-21-5p, miR-126-3p, miR-200c, miR-98-5p, miR-32, miR-218-5p, let-7g-5p, miR-506-3p, miR-133b, miR-124-3p, miR-22-3p e miR-133a-3p (205,208). O perfil de miRNAs examinados em transcriptomas mostraram o aumento significativo da expressão do miR-155 em células

infectadas com SARS-CoV-2 gerando um aumento na expressão dos genes da resposta imune estimulado por IFN e citocinas inflamatórias (209).

Assim, os miRNAs tornam-se candidatos promissores como biomarcadores e alvos terapêuticos. Os miRNAs podem regular uma ampla variedade de infecções virais, incluindo os CoVs, uma vez que proteínas virais do SARS-CoV regularam negativamente os miR-223 e miR-98, para modular a diferenciação da célula hospedeira e induzir respostas pró-inflamatórias (210).

Sabe-se que o desenvolvimento e a função das células mieloides fornecem processos bem caracterizados para a regulação de miRNAs, os macrófagos apresentam dois principais miRNAs (miR-155 e miR-146a) que participam da regulação e desenvolvimento destas células (211–213) Macrófagos e células dendríticas induzem fortemente a expressão de miR-155 via NF- $\kappa$ B e proteína ativadora 1 (AP-1) em resposta a uma ampla gama de receptores TLRs e citocinas (211). A ligação ao receptor TLR4, favorece a ativação de macrófagos induzindo a produção de miRNA-155, por sua vez, amplifica a sinalização inflamatória ao diminuir o domínio SH2 contendo inositol 5'-fosfatase 1 (SHIP1) e supressor de sinalização de citocina 1 (SOCS1) (214). O miR-146a também é induzido por NF- $\kappa$ B e regula negativamente a sinalização de TLR ao direcionar TRAF6 e IRAK1 (215).

### ***3. Justificativa***

A infecção por SARS-CoV-2 resulta em uma doença leve na maioria dos pacientes. Porém, alguns desenvolvem insuficiência respiratória aguda, levando à internação em Unidade de Terapia Intensiva (UTI) e sem critérios preditivos aceitos para agravamento. As formas graves induzidas na Covid-19 são consequência do estado hiperinflamatório e pró-coagulante (3–5). Sabe-se que além do dano viral, a inflamação descontrolada também contribui para a gravidade da doença (13). Nesse contexto, altas quantidades de marcadores inflamatórios, como citocinas IL-6, IL-8, quimiocinas (216), proteína C reativa (PCR), ferritina, dímero D e proporção de neutrófilos/linfócitos (217,218) foram propostos para fins de estratificação de risco, monitoramento e prognóstico em pacientes com Covid-19 (219). Entretanto, o vírus possui estratégias para evadir da resposta imune, favorecendo o estabelecimento da infecção. Durante processos infecciosos, alterações no perfil de expressão dos miRNAs do hospedeiro podem induzir modulação de mecanismos de defesa do hospedeiro contra o patógeno. TREM-1 é um imunorreceptor que atua como um amplificador da resposta inflamatória. Altamente expresso na superfície de células mielóides como neutrófilos e monócitos/macrófagos. Sua ativação leva ao dano tecidual endotelial e ao estado hiperinflamatório, já associados com a gravidade da Covid-19 (13,220). TREM-1 na sua forma solúvel (sTREM-1) apresenta valor prognóstico durante o choque séptico e outras doenças infecciosas (29,30,221) No contexto da infecção causada pelo vírus SARS-CoV-2, a via do receptor TREM-1 estaria altamente modulada, principalmente na expressão do receptor em monócitos/macrófagos, podendo ter efeito no aumento da expressão de fatores de transcrição, miRNAs, mediadores inflamatórios e liberação da sua forma solúvel em pacientes graves. Com isso, o presente estudo estabelece uma estratégia para avaliar a expressão de TREM-1 como um biomarcador de estratificação de risco, além de identificar a atividade e função de MMPs e miRNAs envolvidos na via de sinalização de TREM-1, evidenciando um novo mecanismo de patogenicidade da Covid-19.

## ***4. Objetivos***

#### **4.1 Objetivo Geral**

Determinar o potencial de TREM-1 como biomarcador associado a progressão clínica durante a infecção pelo SARS-CoV-2 e a sua correlação com a atividade de metaloproteinases e função de microRNAs na patogênese da doença.

#### **4.2 Objetivos Específicos**

- Avaliar a associação plasmática de sTREM-1 e a expressão de mediadores inflamatórios na gravidade da Covid-19;
- Avaliar o valor preditivo de morte em razão da expressão de sTREM-1 em pacientes com Covid-19;
- Identificar a expressão e atividade de MMPs no plasma, tecido pulmonar (biópsias) e fluido do aspirado traqueal de pacientes críticos infectados por SARS-CoV-2;
- Identificar a expressão de microRNAs e fatores de transcrição, utilizando metadados públicos de biópsias de pulmão de pacientes infectados por SARS-CoV-2 e fazer a associação de rede com a via de TREM-1.
- Avaliar a modulação da resposta imune após tratamento com antagonista de TREM-1 em monócitos/macrófagos *in vitro*.

## ***5. Pacientes e Métodos***



### **5.1. Aspectos Éticos**

O presente estudo constitui um subprojeto derivado de um consórcio de pesquisa “Avaliação prospectiva de expressão gênica e resposta humoral em Covid-19 grave: busca de potenciais biomarcadores para evolução da doença em pacientes infectados por SARS-CoV-2” (ImunoCovid), realizado em pacientes positivos para Covid-19 na cidade de Ribeirão Preto/SP. O projeto de pesquisa foi registrado no sistema CEP/CONEP sob o número do Certificado de Apresentação de Apreciação Ética (CAAE) 30525920.7.0000.5403. Após verificar a elegibilidade e a aptidão para participar do estudo, todos os voluntários foram convidados a disponibilizarem seu consentimento por escrito de acordo com os regulamentos do Conselho Nacional de Pesquisa em Humanos (CONEP) e do Comitê de Ética Humana da Faculdade de Ciências Farmacêuticas de Ribeirão Preto (CEP-FCFRP-USP). O tamanho da amostra foi determinado pela conveniência da amostragem, disponibilidade em hospitais parceiros, acordo para participação e condições da pandemia.

### **5.2. Casuística do Estudo**

Trata-se de um estudo observacional, analítico e transversal, com coletas em pacientes realizadas entre maio e dezembro de 2020, em pacientes infectados pelo vírus SARS-CoV-2 na cidade de Ribeirão Preto/SP, sem vacinação contra Covid-19. A distribuição geral segundo a idade dos participantes da pesquisa, mostrou-se não-gaussiana, portanto, não paramétrica e não normal, devido a fatores como livre-demanda de amostras, agravamento da doença no grupo de pessoas idosas, em relação aos mais jovens e colapso do sistema de saúde.

### **5.3. Recrutamento de indivíduos para coorte experimental**

Os indivíduos conhecidamente negativos para SARS-CoV-2 foram recrutados no Parque Tecnológico Supera (Supera Parque), onde foram devidamente testados e o resultado negativo confirmado.

Para pacientes positivos para SARS-CoV-2 em isolamento domiciliar, estabilizamos um acordo com a Secretaria Municipal de Saúde de Ribeirão Preto e via formulários eletrônicos, amplamente divulgados em mídias sociais, fizemos o recrutamento ativo dos pacientes que receberam atendimento médico oferecido pelo Sistema Único de Saúde. Estes foram enquadrados na classificação de indivíduos assintomático/leve e moderados da doença, que não necessitavam de internação hospitalar.

Adicionalmente, realizamos acordo de parceria com 2 grandes importantes centros hospitalares da cidade de Ribeirão Preto: Hospital São Paulo de Ribeirão Preto e Hospital da Irmandade da Santa Casa de Misericórdia de Ribeirão Preto, para o recrutamento de pacientes em isolamento Hospitalar em quadros clínicos graves da covid-19.

#### 5.4. Classificação dos pacientes do estudo

Inicialmente, analisamos os pacientes somente com base na presença ou ausência de infecção por SARS-CoV-2, resultando em dois grandes grupos:

- ❖ **Controle:** pacientes saudáveis, ou com doenças de base não predisponentes e controladas, e sabidamente negativos para SARS-CoV-2.
- ❖ **Covid-19:** todos os indivíduos positivos para SARS-CoV-2, independentes da gravidade e local de isolamento.

Ao notarmos agrupamentos de resultados dispersos graficamente, nas mais variadas formas, realizamos outra estratificação dos pacientes para melhor caracterização do grupo, sendo:

- **Domiciliares:** indivíduos positivos para SARS-CoV-2 que não necessitavam de suporte e cuidados hospitalar;
- **Hospitalizados:** indivíduos positivos para SARS-CoV-2 que precisavam de suporte e manejo hospitalar.

Contudo, outras variações foram descritas entre os indivíduos hospitalizados, e para melhor caracterizar estes pacientes, dicotomizamos mais uma vez o grupo, conforme a gravidade da doença, sendo:

- Assintomáticos / Leve;
- Moderado;
- Grave;
- Crítico;

Com essa maior dicotomização dos grupos, tivemos a necessidade de estabelecer mais um grupo controle, que pudesse atender as características de pacientes internados em condições críticas de sintomas. Assim, estabelecemos:

- ❖ **Controle crítico:** pacientes internados em UTI, portadores de doença de base, comprovadamente negativos para SARS-CoV-2, e necessariamente entubados.

## 5.5. Sinais, sintomas e parâmetros para classificação geral dos participantes

Os participantes foram classificados em seis grupos e foram dicotomizados com base na gravidade da doença, parâmetros clínicos, manejo do paciente e resultados laboratoriais, seguindo as recomendações da OMS (222–224). Além disso, baseamos nossa classificação em vários ensaios clínicos e *pré-prints* (225–230). Estas classificações foram utilizadas para definir a escala da progressão clínica dos pacientes. Os critérios e os grupos podem ser visualizados conforme mostrado na **Tabela 2**.

**Tabela 2.** Classificação dos participantes do estudo.

Classificação do participante	Sintomas, sinais e parâmetros
<b>Voluntários saudáveis</b>	<ul style="list-style-type: none"><li>- Negativo para ácido nucleico SARS-CoV-2</li><li>- Sem sinais clínicos</li></ul>
<b>Leve</b>	<ul style="list-style-type: none"><li>- Positivo para ácido nucleico SARS-CoV-2 e / ou teste sorológico</li><li>- Com ou sem os seguintes sintomas: diarreia, tosse, febre, dor de cabeça, perda do paladar (ageusia)/ cheiro (anosmia), mialgia, náusea e vômito</li><li>- Saturação de oxigênio 94-99% no ar ambiente</li></ul>
<b>Moderado</b>	<ul style="list-style-type: none"><li>- Positivo para ácido nucleico SARS-CoV-2 e / ou teste sorológico</li><li>- Manifestação de sintomas leves de doença, incluindo dispneia</li><li>- Saturação de oxigênio <math>\geq</math> 93-99% no ar ambiente</li><li>- PaO<sub>2</sub>/FiO<sub>2</sub> 250-300 mmHg</li><li>- Não necessidade de ventilação mecânica invasiva; uso de cateter de baixo fluxo (oxigênio 2-4L/min), ou reservatório de oxigênio (4-10L/min)</li></ul>
<b>Grave</b>	<ul style="list-style-type: none"><li>- Positivo para ácido nucleico SARS-CoV-2 e / ou teste sorológico</li><li>- Possível admissão em unidades de terapia intensiva</li><li>- Desconforto respiratório grave</li><li>- Saturação de oxigênio &lt;93% em ar ambiente</li><li>- PaO<sub>2</sub>/FiO<sub>2</sub> &lt;250 mmHg</li><li>- Não necessidade de ventilação mecânica inva-siva: reservatório</li></ul>

	de oxigênio (4-10L/min); ou máscara/cateter de oxigênio de alto fluxo (10-15L/min).
<b>Crítico</b>	<ul style="list-style-type: none"> <li>- Positivo para ácido nucleico SARS-CoV-2 e / ou teste sorológico</li> <li>- Admissão (preferencialmente), ou não em UTI</li> <li>- Desconforto respiratório agudo grave</li> <li>- Necessidade de ventilação mecânica</li> <li>- PaO<sub>2</sub>/FiO<sub>2</sub> &lt; 200 mmHg</li> <li>- Com ou sem um ou mais parâmetros adicionais: necessidade de hemodiálise, sepse, choque séptico e disfunção de órgãos múltiplos</li> </ul>
<b>Controle crítico</b>	<ul style="list-style-type: none"> <li>- Negativo para SARS-CoV-2</li> <li>- Admissão em UTI</li> <li>- Necessidade de ventilação mecânica invasiva</li> <li>- PaO<sub>2</sub>/FiO<sub>2</sub> &lt;200mmHg</li> <li>- Com ou sem parâmetros adicionais: necessidade de hemodiálise, septicemia, choque séptico e disfunção multiórgãos.</li> </ul>

**Abreviaturas:** FiO<sub>2</sub>, fração inspirada de oxigênio; PaO<sub>2</sub>, pressão parcial de oxigênio.

Entretanto, para fazer essa classificação dos pacientes conforme seus estágios clínicos, desenvolvemos um sistema de pontuações, onde ponderamos com diferentes valores, as diversas condições clínicas apresentadas pelos pacientes. Deste modo, cada item avaliado recebia um ponto e ao final somava-se os valores para determinar seu escore clínico. A **Tabela 3**, apresenta as pontuações e as respectivas classificações dos pacientes:

**Tabela 3.** Score clínico para classificação de pacientes no estudo

<b>Pontuação</b>	<b>Classificação</b>
<b>&lt; 2 pontos</b>	Leve
<b>≥ 2 a ≤ 4 pontos</b>	Moderado
<b>≥ 5 a ≤ 9 pontos</b>	Grave
<b>≥ 10 a ≤ 15 pontos</b>	Crítico

A **Tabela 4** apresenta as pontuações e os pesos sobre uma variável clínica avaliada nos pacientes:

**Tabela 4.** Sistema de pontos para classificação de pacientes

Item avaliado	Pontuação recebida	Observação
Apresenta algum sintoma gripal	1	
Necessidade de UTI	4	Imediatamente já estava incluso no grupo grave caso pontuasse mais algum item.
Dispneia	1	
Sat.O2 ≤93%	1	
$\frac{PaO_2}{FiO_2} = \geq 250\text{mmHg}$	1	
$\frac{PaO_2}{FiO_2} = \geq 200 \text{ a } \leq 250\text{mmHg}$	2	Pontuação única, ou seja, paciente poderia pontuar somente em uma das 3 relações apresentadas
$\frac{PaO_2}{FiO_2} = <200\text{mmHg}$	3	
O <sub>2</sub> ofertado ≥ 2 a ≤ 4 L/min	1	
O <sub>2</sub> ofertado ≥ 5 a ≤ 10 L/min	2	Pontuação única, ou seja, paciente poderia pontuar somente em uma das 3 ofertas de O <sub>2</sub> apresentadas
O <sub>2</sub> ofertado ≥11 a ≤ 15 L/min	3	
Necessidade de IOT	5	Somado ao ponto da UTI, imediatamente o paciente já estava no classificado como crítico
Hemodiálise; e/ou choque; e/ou septicemia; e/ou CID; e/ou falência multiórgãos	1	

**5.5.1. Critérios de inclusão**

Indivíduos de ambos os sexos, idade igual ou superior a 18 anos, com diagnóstico molecular ou sorológico positivo para o SARS-CoV-2, atendidos nas Unidades Básicas de Saúde com quadros leves que foram encaminhados para isolamento domiciliar e acompanhados via Sistema Único de Saúde – SUS, Hospital São Paulo e Hospital Santa Casa de Misericórdia de Ribeirão Preto em Ribeirão Preto/SP.

**5.5.2. Critério de exclusão:**

Foram excluídas as grávidas, lactantes e menores de idade.

## **5.6. Procedimentos**

### **5.6.1. Coleta e processamento do sangue periférico**

As amostras analisadas neste estudo foram coletadas através de punção venosa dos pacientes em ponto único, apenas uma coleta ou em seguimento. Aproximadamente 20 mL de volume total de sangue periférico foi obtido em sistema de coleta à vácuo, distribuído em tubos Vacutainer® contendo: 1) EDTA (BD Vacutainer® EDTA K2); 2) tubo PPT (Plasma Preparation Tube, Vacutainer BD); 3) tubos contendo Heparina (BD Vacutainer® PST™).

Os sangues dos participantes controles ou infectados pelo SARS-CoV-2 hospitalizados ou não, foram processadas em uma Instalação de Biossegurança Nível 2 (NB2), localizado no Departamento de Análises Clínicas, Toxicológicas e Bromatológicas da Faculdade de Ciências Farmacêuticas de Ribeirão Preto, Universidade de São Paulo, Ribeirão Preto, São Paulo (DACTB-FCFRP-USP). Os exames laboratoriais de sangue dos participantes saudáveis e pacientes infectados não hospitalizados foram realizados pelo Serviço de Análises Clínicas (SAC) - DACTB-FCFRP-USP, as investigações laboratoriais clínicas incluíram hemograma, testes bioquímicos séricos (incluindo função hepática e renal), enzimas miocárdicas e de fatores de coagulação. Posteriormente, as amostras processadas e separadas em plasma/soro, *buffy coat* de células ou sangue total foram armazenadas em freezers - 80°C. Para pacientes hospitalizados, os exames laboratoriais de sangue foram realizados pelos laboratórios de análises clínicas em seus respectivos hospitais.

### **5.6.2. Coleta e processamento de Fluido de Aspirado Traqueal**

Os fluidos de aspirados traqueais (TAF) foram coletados de pacientes hospitalizados em UTI: 1) positivos para SARS-CoV-2, entubados, nos estágios graves ou críticos da doença (n= 39); 2) pacientes negativos para SARS-CoV-2, entubados, referidos como pacientes Controle Crítico (n= 13).

O TAF foi coletado com o auxílio de um cateter de sucção brônquica endotraqueal de vinila siliconado (Mark Med, Porto Alegre, Rio Grande do Sul, Brasil), instilando no paciente de 10-20 mL de solução fisiológica 0.9% (*m/v*) e recuperando com o auxílio do mesmo cateter em estado de sucção em um sistema Trach Care fechado (Bioteque Corporation, Cirurgic Fernandes LTDA, Santana Parnaíba, São Paulo, Brasil), em um frasco estéril de polipropileno de 120mL (Biomeg-Biotec Hospital Products LTDA, Mairiporã, São Paulo, Brasil) sob condições assépticas. Aproximadamente 5-10 mL de fluido traqueal foi recuperado, com rendimento médio

de 60% após instilação. As amostras de TAF foram colocadas em gelo para transporte, e processadas dentro de no máximo 4h, em Laboratório de Biossegurança Nível 3, (NB3), no Departamento de Bioquímica e Imunologia da Faculdade de Medicina de Ribeirão Preto - FMRP-USP. Os aspirados foram colocados em tubos cônicos de polipropileno de 15 mL e receberam adição de solução salina tamponada com fosfato (PBS) 0,1M (2:1 v/v). Após a centrifugação (700 g/10 min/4°C), os sobrenadantes do TAF foram recuperados e armazenados à -80°C.

Posteriormente, o aspirado restante foi diluído em 10 mL de PBS, gentilmente filtrado em filtro de 100µm (Falcon Cell Strainer, Corning Inc., Corning, Nova York, EUA) usando um êmbolo de seringa. O aspirado de filtragem primária foi submetido a mais uma etapa de purificação de impurezas, desta vez, utilizando um filtro de 0,20 µm (Minisart®, Sartorius Stedim Biotech, Otto-Brenner-Straße, Göttingen, Alemanha) acoplado a uma seringa. O material resultante foi utilizado para quantificação de mediadores inflamatórios e proteínas/enzimas.

O precipitado resultante da centrifugação do TAF foi utilizado para outras análises. Então, os eritrócitos foram lisados usando 1mL de tampão de cloreto de amônio (NH<sub>4</sub>Cl) 0,16 M durante 5 min. As células restantes foram lavadas com 10 mL de PBS, ressuspendidas em PBS adicionado de 2% de soro fetal de bovino (SFB) inativado por calor, e posteriormente contadas com Azul de Trypan usando um contador automático de células (Condessa, ThermoFisher Scientific, Waltham, MA, EUA). Os números de leucócitos foram ajustados para 1x10<sup>9</sup> células/L e feitas contagens diferenciais, e 1x10<sup>6</sup> células/mL foram destinadas para análise por citometria de fluxo. Todos os procedimentos foram realizados em condições de biossegurança NB3.

### **5.6.3. Citometria de fluxo**

Amostras de sangue contendo EDTA (BD Vacutainer® EDTA K2) foram processadas para análise de citometria de fluxo de leucócitos circulantes. O sangue total (1 mL) foi separado e os glóbulos vermelhos foram lisados usando tampão de lise RBC (Roche Diagnostics GmbH, Mannheim, GR). Os leucócitos foram lavados em PBS contendo 5% de SFB (Gibco™, EUA), centrifugados e ressuspensos em solução salina balanceada de Hank (Sigma-Aldrich, Merck, Darmstadt, DE) contendo 5% de SFB, seguido de coloração com antígeno de superfície. Resumidamente, as células foram coradas com *Fixable Viability Stain 620* (BD Bioscience, 1: 1000) e incubadas com anticorpos monoclonais específicos para CD14 (clone: M5E2, Biolegend, 1: 100), HLA-DR (clone: G46-6, BD Bioscience, 1: 100), CD16 (clone: 3G8, Biolegend, 1:

100) e TREM-1 (clone: CB38, BD Bioscience, 1: 100), por 30 min à 4 °C. As células coradas foram lavadas e fixadas com BD Cytotfix™ Fixation Buffer (554655, BD Bioscience - San Diego, CA, EUA). A aquisição de dados foi realizada usando um citômetro de fluxo BD LSR-Fortessa™ (BD Bioscience - San Jose, CA, EUA) e software FACS-Diva (Versão 8.0.1, BD Biosciences, Franklin Lakes, NJ, EUA). Para análise, 300.000 eventos foram adquiridos para cada amostra. Os dados foram avaliados com o software FlowJo®, versão 10.7.0 (Tree Star, Ashland, OR, EUA) para o cálculo da frequência celular, redução da dimensionalidade e Análise de incorporação estocástica de vizinhança distribuída por T (t-SNE). Estratégia feita conforme descrito antes (231)

#### **5.6.4. Dosagem de citocinas por *Cytometric Bead Array* (CBA)**

As citocinas IL-6, IL-8, IL-1 $\beta$ , IL-10 e TNF foram quantificados em plasma heparinizado e TAF usando kit BD *Cytometric Bead Array* (CBA) *Human Inflammatory* (BD Biosciences, San Jose, CA, EUA), de acordo com as especificações do fabricante. Resumidamente, após o processamento da amostra, as citocinas foram determinadas em um citômetro de fluxo através de *beads* (FACS Canto™ II, BD Biosciences, San Diego, CA, EUA) e as análises foram realizadas usando o software FCAP Array 3.0 (BD Biosciences, San Jose, CA), EUA). As concentrações de citocinas foram expressas em pg/mL.

#### **5.6.5. Quantificação de sTREM-1 e MMPs**

As amostras de plasma e de TAF foram analisadas em placas de 96 poços. A concentração de sTREM-1 e MMP-2 e -8 foram determinadas utilizando kit de ELISA (DuoSet-Human TREM-1, DuoSet-Human Total MMP-8 e DuoSet-Human Total MMP-2, R&D System, Minneapolis, MN, EUA), de acordo com as especificações do fabricante. As amostras que excederam o limite de quantificação foram devidamente diluídas e após corrigidas pelo fator de diluição.

#### **5.6.6. Quantificação de HLA-G Solúvel**

A isoforma solúvel de HLA-G no TAF, foi detectada usando ensaio de ELISA. As moléculas de sHLA-G foram capturadas incubando as amostras de TAF em uma placa de monocamada de anticorpo monoclonal imobilizado (MEM-G/9) (Exbio, Praga, República Tcheca) por 18 h à 4°C. As ligações não específicas foram bloqueadas usando albumina 2% (*m/v*) em PBS por 30 min. Após a lavagem das placas (três vezes com PBS contendo 0,05% de Tween 20), foram incubadas por 1 h com o anticorpo de detecção conjugado com a HRP-anti-b2-microglobulina peroxidase e com o substrato



(orto-fenil-n-diamino-dihidroxi cloreto) (DAKO, Santa Clara, CA). A reação foi interrompida pela adição de  $\text{H}_2\text{SO}_4$  (1M). A leitura de absorbância foi realizada imediatamente em 490 nm usando um espectrofotômetro ELISA (AgileReader™ ELISA Plate Readers, Avans Biotechnology, Taipei City, Taiwan). As quantidades de sHLA-G foram estimadas por uma curva padrão de cinco pontos (12,5 - 200 ng/mL), usando sobrenadante de cultura de linha celular expressando o hibridoma M8-HLA-G5.

#### **5.6.7. Avaliação de estresse oxidativo por formação de peróxido lipídico (MDA).**

Os concentrações de peróxido lipídico em amostras de TAF foram determinados pela medida indireta de substâncias reativas ao ácido tiobarbitúrico (TBARS) usando método fluorimétrico descrito anteriormente (232,233). Resumidamente, o malondialdeído (MDA) reage com o ácido tiobarbitúrico (TBA) sob altas temperaturas (90 – 100°C), em condições ácidas, gerando o aduto MDA-TBA. O aduto MDA-TBA é determinado fluorometricamente em um comprimento de onda de excitação 515 nm e emissão em 553 nm, utilizando 1,1,3,3-tetrametoxipropano como padrão para curva de quantificação. Todas as análises foram realizadas usando um leitor de microplacas multimodo Synergy 2 e o software Gen5 (BioTek, Winooski, VT, EUA). As amostras de TAF foram transferidas para um volume igual de 20% (v/v) ácido tricloroacético frio em HCl 0,6 M, misturado e centrifugado a 1200 g por 15 min. Em um volume de sobrenadante, um volume de 0,2 volume de ácido tiobarbitúrico 0,12 M/Tris 0,26 M, pH 7,0 foi adicionado e imerso em banho de água fervente por 1 h. As quantidades de peróxido lipídico foram expressas em referência a MDA (nmol/mL).

#### **5.6.8 Quantificação da atividade de MMPs por Zimografia**

As atividades de MMP-2 e MMP-9 no TAF foram determinadas por meio de um ensaio de protease de zimograma em gelatina como previamente descrito (234,235). Resumidamente, alíquotas de TAF (15  $\mu\text{L}$ ) foram misturadas com um tampão de amostra (0,5 m Tris-HCl, pH = 6,8, glicerol, SDS 10% e azul de bromofenol 0,1%) e carregadas no gel. Em seguida, as amostras preparadas foram submetidas à eletroforese em géis de dodecilsulfato de sódio (SDS) a 10% - poliacrilamida contendo 0,1% de gelatina. Após a eletroforese, os géis foram lavados duas vezes em 2,5% Triton X-100 (Sigma-Aldrich) durante 1 h à temperatura ambiente para remover o SDS. Os géis foram então incubados à 37°C por 15 h em tampão de substrato contendo 50 mmol/L de Tris-HCl e 10 mmol/L de  $\text{CaCl}_2$  a pH 8,0 e corados com 0,5% de azul de Coomassie R250 em 50% de metanol e 10% ácido acético glacial. Os padrões de proteína (Bio-Rad) foram executados simultaneamente e os pesos moleculares aproximados foram

determinados traçando as mobilidades relativas de proteínas conhecidas. As atividades gelatinolíticas foram detectadas como bandas não coradas contra o fundo da gelatina corada com azul de Coomassie. A atividade da enzima foi avaliada por densitometria usando um Sistema de Análise e Documentação de Eletroforese Kodak (Kodak, Rochester, NY).

#### **5.6.9. Reanálise dos dados de transcriptoma sanguíneo e proteômica de biópsias pulmonares de pacientes com Covid-19**

Os dados de expressão de proteínas para biópsias pulmonares de amostras Covid-19 e não-Covid-19, foram obtidos de um estudo proteômico (236). Apenas proteínas com valores de expressão em pelo menos três amostras para cada grupo foram utilizadas na análise estatística. O teste de *Student t* entre os grupos Covid-19 e não-Covid-19 foi realizado para todas as proteínas selecionadas após a transformação dos valores de expressão usando a escala Log2. Os resultados das MMPs foram extraídos de estatísticas usando valores de *p* nominais e ajustados com resultados significativos ( $p < 0,05$ ). A análise estatística foi realizada em R, e as figuras foram produzidas usando o pacote ggplot2.

Como dado preliminar, realizamos uma nova análise reutilizando um conjunto de dados públicos abertos de transcriptoma (237), depositado no repositório Gene Expression Omnibus (GEO) sob o número de acesso GSE15031619. A estratégia de reanálise do transcriptoma foi implementada de acordo com três etapas consecutivas: (i) análise de co-expressão, (ii) análise de expressão diferencial e (iii) construção da rede biológica. Inicialmente, para o estudo de co-expressão, os dados do transcriptoma normalizado em log2 de leituras por milhão (RPM) foram filtrados excluindo contagens diferentes de zero em pelo menos 20% das amostras. Em seguida, os genes e miRNA selecionados foram explorados na ferramenta de identificação de módulos de co-expressão do pacote R (CEMITool).

#### **5.6.10. Quantificação de RNA viral por qRT-PCR**

O RNA total foi extraído de 20 uL de amostras de TAF utilizando o reagente Trizol (Thermo, EUA) de acordo com recomendações do fabricante. O ensaio qPCR foi realizado para a região do gene E e região N2 no gene N (238,239). Para quantificação do RNA viral, foi realizado a reação de transcriptase reversa seguida da reação quantitativa em tempo real de polimerização em cadeia (qRT-PCR) utilizando-se o kit *TaqMan® Fast Virus 1-Step Master Mix* (Applied Biosystems, Foster City, CA, EUA), a reação amplifica uma região de 100pb do gene *RdRp* de SARS-CoV-2 (*Foward*: ‘5–

GTGAAATGGTCATGTGTGGCGG-3’; Reverse: ‘5-  
CAAATGTTAAAAACACTATTAGCATA-3’ and Sonda: ‘5-FAM-  
CAGGTGGAACCTCATCAGGAGATGC – BHQ1-3’). com os seguintes parâmetros:  
25°C por 2 min, 50°C por 15 min, 95°C por 2 min, seguido de 45 ciclos de 94°C por 5  
se 60°C por 30 s. A reação foi realizada em equipamento *StepOnePlus Real-Time PCR  
system* (Applied Biosystems, EUA). As cargas virais do SARS-CoV-2 foram  
determinadas usando uma curva padrão preparada com um plasmídeo contendo todos os  
três alvos para os conjuntos de primers/sondas projetados pelo protocolo do CDC (N1,  
N2 e N3) (240)

#### **5.6.11. Cultura de células humanas.**

Linhagem celular de monócitos de sangue periférico humano (THP-1, BCRJ 0234) ( $1 \times 10^5$  células/poço), foram cultivadas em placas de 96 poços e mantidas à 37°C, 5% de CO<sub>2</sub> em DMEM (1 g/L D-glicose, GIBCO) suplementado com 20% de SFB (FBS, Gibco) e 1% de penicilina/estreptomicina (Gibco). As células THP-1 foram diferenciadas em um fenótipo semelhante a macrófagos (TDM, macrófagos derivados de THP-1) usando forbol-12-miristato-13-acetato (PMA, 5 ng/mL) por 24 h e 5 dias para período de descanso em meio livre de PMA, como descrito por Baxter et al. (241). Após este período, os TDM foram estimulados com 100 ng/mL de LPS (Sigma-Aldrich, EUA) por 24h à 37°C, com 5% de CO<sub>2</sub>. Células tratadas apenas com DMEM-C foram utilizadas como controle. Em seguida, os sobrenadantes dos tratamentos foram obtidos e armazenados à -20°C, para análise de produção de mediadores inflamatórios.

#### **5.6.12. Peptídeo antagonista de TREM-1**

As células THP-1 (TDM) foram tratados com um peptídeo TREM-1 antagonista, LP17 (LQVTDSGLYRCVIYHPP) ou um peptídeo controle de sequência codificada (TDSRCVIGLYHPPLQVY), conforme descrito anteriormente por (242). Os peptídeos foram sintetizados quimicamente (Pepscan Systems, Lelystad, Holanda). As células foram tratadas com 100 ng/mL de peptídeo.

#### **5.6.13. Imunofluorescência e microscopia confocal**

As lâminas de monócitos THP-1 (TDM) foram fixados e posteriormente bloqueados com 2% de soro de cabra em tampão PBS por 1 h à temperatura ambiente. Após o bloqueio, as lâminas foram incubadas com anticorpo anti-TREM-1 (HPA005563, Sigma) na diluição de 1:500 durante 18 h à 4°C, após três lavagens em PBS frio, foi adicionado anticorpo secundário (anti-coelho IgG, 1:500) durante 1h à

temperatura ambiente. O meio de montagem contendo DAPI (Molecular Probes) foi usado para coloração nuclear.

#### **5.6.14. Análise estatística**

Os resultados obtidos foram analisados através do programa GraphPad Prism 9 (Graph Pad Software Inc., San Diego, CA, EUA). Levando em consideração a distribuição não paramétrica dos dados, as análises comparativas entre os grupos foram realizadas por meio dos testes de *Mann-Whitney* ou *Kruskal-Wallis* seguido de *Dunn's*. Para as comparações entre três ou mais grupos não pareados foram realizadas análises de variância *ANOVA one way* seguido do teste de *Tukey* para múltiplas comparações. Para as correlações, os dados foram analisados pela correlação de *Spearman r*, visto que os dados não obedeceram à distribuição normal. Para as análises e precisões de biomarcadores, utilizamos a área sob a curva (AUC) da característica operacional do receptor (ROC). As AUCs, com intervalos de confiança de 95% e  $AUC > 0,70$  foi considerada clinicamente relevante. A dependência de múltiplas variáveis foi calculada pelo teste de correlação de *Spearman r*, e as diferenças foram consideradas estatisticamente significativas com  $p < 0,05$ . A matriz de correlação calculada foi apresentada graficamente usando o pacote R *qgraph*, e a análise de componentes principais (PCA) com *PCATools*. Um modelo de regressão de *Poisson* multivariado com variância robusta foi aplicado para ajustar a razão da taxa de incidência (IRR) de óbitos para as principais variáveis de risco, realizado por meio do STATA 15 (Stata Corp, College Station, TX, EUA).

## ***6. Resultados***

1           **6.1. Capítulo I: *sTREM-1* Predicts Disease Severity and Mortality in Covid-19**  
2 ***Patients: Involvement of Peripheral Blood Leukocytes and MMP-8 Activity***

3  
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35

36 **Abstract:** Uncontrolled inflammatory responses play a critical role in coronavirus disease  
37 (Covid-19). In this context, because the triggering-receptor expressed on myeloid cells-1  
38 (TREM-1) is considered an intrinsic amplifier of inflammatory signals, this study  
39 investigated the role of soluble TREM-1 (sTREM-1) as a biomarker of the severity and  
40 mortality of Covid-19. Based on their clinical scores, we enrolled Covid-19 positive  
41 patients ( $n = 237$ ) classified into mild, moderate, severe, and critical groups. Clinical data  
42 and patient characteristics were obtained from medical records, and their plasma  
43 inflammatory mediator profiles were evaluated with immunoassays. Plasma levels of  
44 sTREM-1 were significantly higher among patients with severe disease compared to all  
45 other groups. Additionally, levels of sTREM-1 showed a significant positive correlation  
46 with other inflammatory parameters, such as IL-6, IL-10, IL-8, and neutrophil counts, and  
47 a significant negative correlation was observed with lymphocyte counts. Most  
48 interestingly, sTREM-1 was found to be a strong predictive biomarker of the severity of  
49 Covid-19 and was related to the worst outcome and death. Systemic levels of sTREM-1  
50 were significantly correlated with the expression of matrix metalloproteinases (MMP)-8,  
51 which can release TREM-1 from the surface of peripheral blood cells. Our findings  
52 indicated that quantification of sTREM-1 could be used as a predictive tool for disease  
53 outcome, thus improving the timing of clinical and pharmacological interventions in  
54 patients with Covid-19.

55 **Keywords:** Covid-19; sTREM-1; biomarker; inflammation; MMP-8

## 56 **1. Introduction**

57 Coronavirus disease (Covid-19), which is caused by the severe acute respiratory  
58 syndrome coronavirus 2 (SARS-CoV-2), became a global public health problem due to the  
59 important frequencies of mortality. Approximately 80% of patients infected with SARS-  
60 CoV-2 will exhibit mild symptoms or remain asymptomatic. However, this infection may  
61 lead to serious clinical conditions, such as acute respiratory distress syndrome (ARDS),  
62 cardiovascular disorders, coagulopathy, and shock, which can result in multiorgan system  
63 dysfunction in certain patients [1–3]. Elderly, male, and obese patients, or those with  
64 chronic comorbidities, are more likely to develop the worst outcomes [4]. Moreover,  
65 patients with Covid-19 were found to exhibit multiple hematological abnormalities,  
66 including lymphopenia and thrombocytopenia as the most prominent manifestations, in  
67 addition to developing neutrophilia [5].

68 Similarly to SARS, inflammation plays an important role in the pathophysiology of  
69 Covid-19, and patients with severe disease may have high serum concentrations of  
70 inflammatory markers such as IL-6, TNF, C-reactive protein (CRP), and D-dimer [6,7].  
71 Triggering receptor expressed on myeloid cells 1 (TREM-1) is a member of the  
72 immunoglobulin superfamily expressed on myeloid and epithelial cells. Activation of  
73 TREM-1 induces the increased secretion of TNF- $\alpha$ , IL-6, IL-1 $\beta$ , IL-2, and IL-12p40 by  
74 monocytes, macrophages, and dendritic cells, which enhances inflammation during  
75 infection caused by different pathogens, such as influenza A virus, dengue virus, hepatitis  
76 C virus, *Plasmodium falciparum*, *Staphylococcus aureus*, and *Pseudomonas aeruginosa*  
77 [8–11]. The immune responses to viral and bacterial infections are modulated by the  
78 activation of TREM-1 in macrophages [12]. Furthermore, infection with hepatitis C virus  
79 and the human immunodeficiency virus (HIV), or even exposure to the HIV proteins Tat or  
80 gp120 without infection [13], induces the expression of TREM-1. The soluble form of  
81 TREM-1 (sTREM-1) has been detected in biological samples, such as plasma, during  
82 infection and inflammation processes [14,15]. sTREM-1 is a 27 kDa polypeptide  
83 consisting of the extracellular domain of TREM-1, which is released from the cell surface  
84 by metalloproteinase [16]. Furthermore, sTREM-1 is an important prognostic marker in  
85 diseases that also develop severe inflammatory pathways, such as sepsis and AIDS  
86 [10,15,17,18]. Recently, our group [19] and other works [20,21] suggested that sTREM-1  
87 levels are related to Covid-19 severity and may serve as early triage tools for patients with  
88 adverse outcomes.

89 Given that SARS-CoV-2 induces a hyper-inflammatory response, we hypothesized  
90 that in Covid-19 patients, the virus could trigger activation and up-regulation of TREM-1  
91 in the cell surface and, subsequently, shedding in the soluble form (sTREM-1) by  
92 metalloproteinase activity. Therefore, we conducted a prospective study to investigate the  
93 usefulness of sTREM-1 as a biomarker that can predict severity and mortality in Covid-19,  
94 in addition to confirming its risk stratification performance, thus guiding the development  
95 of effective interventions in patients who require intensive care after hospitalization.

## 96 **2. Materials and Methods**

### 97 *2.1. Study Design and Participants*

98 This prospective study was conducted at the Hospital Santa Casa de Misericórdia of  
99 Ribeirão Preto and Hospital São Paulo of Ribeirão Preto, Brazil from June to December of  
100 2020, using stringent and reasonable inclusion and exclusion criteria: adults who tested



101 positive for Covid-19 and controls (healthy volunteers) who tested negative for Covid-19;  
102 and exclusion for children under 18 years of age, and pregnant or lactating women. In total,  
103 50 control subjects were included, along with 237 patients who tested positive for Covid-  
104 19, as determined by analyzing nasopharyngeal swabs using a genomic RNA assay with  
105 RT-PCR (Biomol OneStep Kit/Covid-19-Instituto de Molecular Biology of Paraná-IBMP  
106 Curitiba/PR, Brazil) or using serology-specific IgM and IgG antibodies tests (SARS-CoV-  
107 2 antibody test<sup>®</sup>, Guangzhou Wondfo Biotech Co., Ltd., Guangzhou, China).

## 108 *2.2. Study Design and Participants*

109 The data were collected from the electronic medical record systems. We included  
110 socio-demographic information, comorbidities, medical history, clinical symptoms, routine  
111 laboratory tests, immunological tests, chest computed tomography scan results, clinical  
112 interventions, and outcomes. Data collection from laboratory results was defined by  
113 considering the first examination at admission (within 24 h of admission) with an estimate  
114 of  $6.1 \pm 2.8$  (mean  $\pm$  SD) days after the onset of the symptom as the primary endpoint and  
115 the clinical outcome (death or recovery) as the secondary endpoint.

## 116 *2.3. Severity Assessment*

117 For assessment of clinical severity, patients with Covid-19 were classified into mild,  
118 moderate, severe, and critical groups, based on the modified statement in the Novel  
119 Coronavirus Pneumonia Diagnosis and Treatment Guideline (7th ed.) [22,23], shown in  
120 Table S1.

## 121 *2.4. Laboratory Methods*

122 Blood samples were collected by venipuncture in tubes with a vacuum collection  
123 system. Two tubes (5 mL capacity) were collected from each patient: one tube containing  
124 EDTA anticoagulant (BD Vacutainer<sup>®</sup> EDTA K2, Franklin Lakes, NJ, USA) to perform  
125 hematological tests, and a tube containing heparin anticoagulant (BD SST<sup>®</sup> Gel Advance<sup>®</sup>,  
126 Franklin Lakes, NJ, USA) to obtain plasma used to quantify levels of circulating protein  
127 mediators by flow cytometry or ELISA assay. The samples were stored in a  $-80$  °C  
128 freezer.

## 129 *2.5. Cytokine Measurements*

130 The levels of cytokines IL-1 $\beta$ , IL-6, IL-8, IL-10, IL-12, and TNF were measured  
131 using a Cytometric Bead Array kit according to the manufacturer's specifications (BD<sup>™</sup>  
132 Human Inflammatory Cytokine CBA Kit, Catalog No. 551811, Lot: 9341655). The  
133 detection range of each cytokine was 5 to 5000 pg/mL. Data were acquired using a

134 FACSCanto II flow cytometer and FACSDiva software (BD Biosciences, Franklin Lakes,  
135 NJ, USA). Data are presented as mean fluorescence intensity for each serum cytokine.

#### 136 2.6. *Detection of Plasma sTREM-1 and MMP-8*

137 Systemic levels of sTREM-1 and matrix metalloproteinases (MMP)-8 were measured  
138 in plasma using an ELISA kit (DuoSet-Human TREM-1 and DuoSet-Human Total MMP-  
139 8, R&D System, Minneapolis, MN, USA) according to the manufacturer's specifications.

#### 140 2.7. *Analysis of TREM-1-Positive Cells*

141 Expression of TREM-1 in peripheral blood cells was determined by flow cytometry.  
142 Blood (1 mL) was used, and red blood cells were lysed using RBC lysis buffer (Roche  
143 Diagnostics GmbH, Mannheim, GR). Leukocytes were washed in PBS containing 5% fetal  
144 bovine serum (FBS) (Gibco™, Thermo Fisher Scientific, Waltham, MA, USA),  
145 centrifuged, and resuspended in Hank's balanced salt solution (Sigma-Aldrich, Merck,  
146 Darmstadt, Germany) containing 5% FBS, followed by surface antigen staining. Briefly,  
147 cells were stained with fixable viability stain (1:1000) (BD Biosciences, San Diego, CA,  
148 USA) and incubated with monoclonal antibodies specific for CD14 (1:100) (M5E2;  
149 Biolegend, San Diego, CA USA), CD16 (1:100) (BV510-3G8; Biolegend, San Diego, CA  
150 USA), and TREM-1 (1:100) (FAB1278P; R&D Systems, Minneapolis, MN, USA) for 30  
151 min at 4 °C. The stained cells were washed and fixed with BD Cytotfix™ fixation buffer  
152 (554655; BD Biosciences, San Diego, CA, USA). Data acquisition was performed using  
153 the Fortessa™ LSR flow cytometer (BD Biosciences, San Jose, CA, USA) and the FACS-  
154 Diva software (version 8.0.1) (BD Biosciences, Franklin Lakes, NJ, USA). For the  
155 analysis, 100,000 events were acquired for each sample. CD14 and CD16 positive cells  
156 were gated, and TREM-1 expression analysis was performed using FlowJo® software  
157 (version 10.7.0-Tree Star, Ashland, OR, USA) (Figure S1).

#### 158 2.8. *Statistical Analysis*

159 Data are presented in tables and graphs, using GraphPad Prism™ software (version  
160 9) (San Diego, CA, USA). Taking into account the nonparametric distribution of the data,  
161 comparative analysis between groups were performed using the Mann–Whitney or  
162 Kruskal–Wallis tests, with significance of  $p < 0.05$ . The accuracy of the predictor was  
163 determined by the area under the curve (AUC) of the receiver operating characteristic  
164 (ROC). The AUCs, with 95% confidence intervals, were calculated to assess the diagnostic  
165 value of sTREM-1; AUC > 0.70 was considered clinically relevant. The dependence on  
166 multiple variables was calculated using Spearman's correlation test, and the differences

167 were considered statistically significant with  $p < 0.05$ . The calculated correlation matrix  
168 was presented graphically using the R package qgraph [24], and the principal component  
169 analysis (PCA) with PCATools [25]. Correlation coefficients ( $r$ ) and  $p$ -values of the  
170 correlation matrix were formatted and tabulated, as seen in Table S2. A ROC curve was  
171 applied to select the cutoff value for the sTREM-1 level to the best classification of death  
172 as an event. A multivariate Poisson regression model with robust variance was applied to  
173 adjust the incidence rate ratio (IRR) of deaths for the main risk variables such as sex, age,  
174 comorbidities, disease severity, days of disease, and laboratory markers, performed using  
175 STATA 15 (Stata Corp, College Station, TX, USA).

## 176 2.9. Ethical Approval

177 The procedures performed in this study were approved by the institutional ethics  
178 board of *Faculdade de Ciências Farmacêuticas de Ribeirão Preto–Universidade de São*  
179 *Paulo* and Brazil National Ethics Committee (CAAE: 30525920.7.0000.5403). Written  
180 informed consent was obtained from the participants.

## 181 3. Results

### 182 3.1. Demographic Data, Clinical and Laboratory Characteristics

183 In total, 237 patients with laboratory-confirmed Covid-19 were recruited for this  
184 study, categorized into residential care (60 subjects) and hospital care (177 subjects), in  
185 addition to 50 healthy volunteers (controls). The mean age was 35 years for healthy  
186 volunteers and 57 years for Covid-19 patients. The mean age of hospitalized patients was  
187 higher than that of domiciliary patients. The number of comorbidities was higher among  
188 patients with Covid-19 compared to controls, and among hospitalized patients compared to  
189 those with home care (Table 1).

190 The most common initial symptoms in patients were cough, dyspnea, and dysgeusia,  
191 followed by diarrhea, fever, muscle soreness, and hyperactive delirium. Moreover, the  
192 absolute counts of erythrocytes, hemoglobin, neutrophils, lymphocytes, and monocytes in  
193 the Covid-19 patient group were different from those in the control group (Table 1).

194 The median time of hospitalization for Covid-19 patients was 9 days, and almost half  
195 of them required intensive care (46.3%). Additionally, these hospitalized patients received  
196 the following respiratory support: nasal-cannula oxygen (36.7%), oxygen mask ventilation  
197 (16.9%), and invasive mechanical ventilation (39.5%). Oxygen saturation was significantly  
198 lower among hospitalized patients compared to residential care patients upon evaluation of  
199 our investigations (Table 1). The most common pharmacological treatments for the

200 patients with Covid-19 were glucocorticoid, azithromycin, ceftriaxone, oseltamivir, and  
201 colchicine, followed by chloroquine/hydroxychloroquine, anticoagulants, and ivermectin  
202 (Table 1)

203 **Table 1.** Clinical and demographic data of Covid-19 patients enrolled in this study.

Baseline Variable	Healthy Controls N = 50	All patients N = 237	Covid-19 care		p-Value
			Residential N = 60	Hospitalized N = 177	
<b>Demographic characteristics</b>					
Age mean ± SD, (IQR)	35 ± 14.7 (19–80)	57 ± 19 (16–96)	37 ± 12 (16–71)	63 ± 16.4 (20–96)	<sup>a</sup> <0.0001 <sup>c,d</sup> <0.0001
<b>Sex, No. (%)</b>					
Male	22 (44)	84 (35.4)	21 (35)	63 (36)	<sup>d</sup> 0.006
Female	28 (56)	153 (64.6)	39 (65)	114 (64)	
<b>Comorbidities or coexisting disorders, No. (%)</b>					
Hypertension	7 (14)	116 (48.9)	4 (3.4)	112 (96.6)	<sup>a,c,d</sup> <0.0001
Cardiovascular diseases	5 (18.5)	22 (81.5)	8 (36.4)	14 (63.6)	<sup>a</sup> 0.7947 <sup>b</sup> 0.7685 <sup>c</sup> 0.5760 <sup>d</sup> 0.2084
Diabetes mellitus	4 (5.8)	65 (94.2)	5 (7.7)	60 (92.3)	<sup>a</sup> 0.0031 <sup>c</sup> 0.0002 <sup>d</sup> <0.0001
History of smoking	3 (10)	27 (90)	6 (22.2)	21 (77.8)	<sup>a</sup> 0.2189 <sup>b</sup> >0.1 <sup>c</sup> 0.1227 <sup>d</sup> 0.0692
History of stroke	-	10 (4.2)	-	10 (5.6)	-
Neurological diseases	-	12 (5.0)	2 (16.7)	10 (83.3)	<sup>d</sup> 0.7353
Cancer	-	7 (2.9)	-	7 (3.9)	-
BMI (kg/m <sup>2</sup> )	26.5 ± 5.2	28.4 ± 7.0	27.2 ± 5.6	29.4 ± 7.3	<sup>a</sup> 0.0053

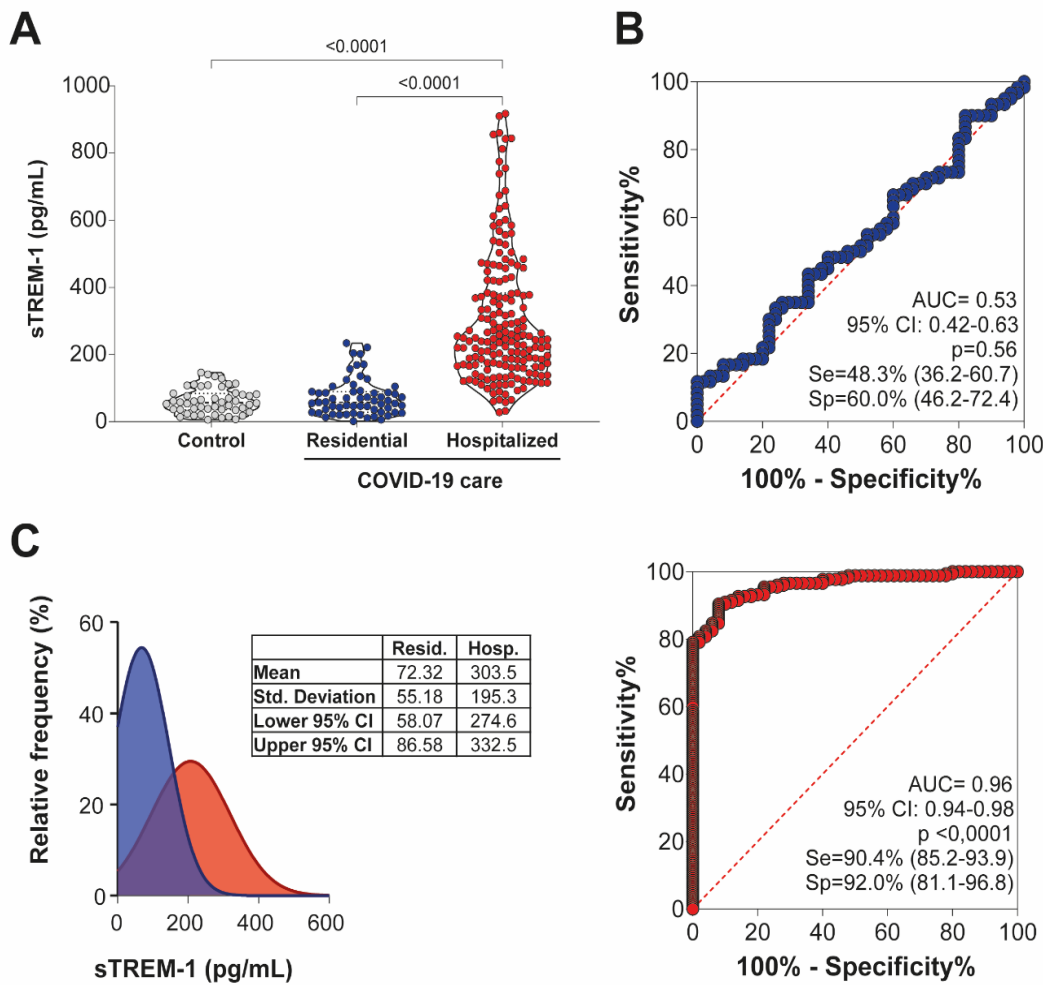
	(15.4–43.2)	(15.7–65.7)	(17.7–43.8)	(18.4–65.7)	<sup>c</sup> <b>0.0008</b> <sup>d</sup> <b>0.0480</b>
<b>Presenting symptoms, No. (%)</b>					
Dyspnea	-	137 (57.8)	19 (31.6)	118 (66.6)	<sup>a,b,c,d</sup> <b>&lt;0.0001</b>
Fever	-	78 (32.9)	1 (1.7)	77 (43.5)	<sup>a,c,d</sup> <b>&lt;0.0001</b>
Myalgia	-	52 (21.9)	-	52 (29.4)	-
Diarrhea	-	56 (23.6)	22 (36.7)	34 (19.21)	<sup>d</sup> <b>0.0082</b>
Cough	-	161 (67.9)	43 (71.7)	118 (66.7)	<sup>d</sup> <b>&lt;0.0001</b>
Hyperactive delirium	-	15 (6.3)	-	15 (8.5)	-
Dysgeusia	-	60 (25.3)	40 (66.7)	20 (11.3)	<sup>d</sup> <b>&lt;0.0001</b>
Anosmia	-	67 (28.3)	41 (68.3)	26 (14.7)	<sup>d</sup> <b>&lt;0.0001</b>
<b>Laboratory findings, mean ± SD, (IQR)</b>					
Erythrocytes × 10 <sup>9</sup> /L	4.6 ± 0.6 (3.6–5.8)	4.4 ± 0.8 (2.0–5.9)	4.8 ± 0.4 (3.7–5.8)	4.2 ± 0.8 (2.0–5.9)	<sup>a</sup> <b>0.0078</b> <sup>c,d</sup> <b>&lt;0.0001</b>
Hemoglobin (g/dL)	14.5 ± 1.6 (10.5–17.5)	13.1 ± 2.6 (6.6–18.2)	14.5 ± 1.3 (12.0–17.7)	12.4 ± 2.6 (6.6–18.2)	<sup>a,c,d</sup> <b>&lt;0.0001</b>
Leukocytes × 10 <sup>9</sup> /L	7.5 ± 1.8 (4.1–13.2)	9.0 ± 5.6 (1.6–33)	7.3 ± 2.1 (3.2–13.5)	10.2 ± 6.0 (1.6–33)	<sup>c,d</sup> <b>&lt;0.0001</b> <sup>a</sup> <b>0.0088</b>
Neutrophils × 10 <sup>9</sup> /L	4.2 ± 1.4 (2.4–9.8)	7.0 ± 5.0 (1.4–26.1)	4.1 ± 1.9 (1.6–11.0)	8.3 ± 5.0 (1.4–26.1)	<sup>a,c,d</sup> <b>&lt;0.0001</b>
Lymphocytes × 10 <sup>9</sup> /L	1.2 ± 0.7 (1.2–5.0)	1.3 ± 0.9 (0.1–4.2)	2.3 ± 0.6 (1.1–4.3)	1.0 ± 0.7 (0.1–4.1)	<sup>a,c,d</sup> <b>&lt;0.0001</b>
RNL	1.8 ± 1.0 (0.9–6.3)	5.6 ± 6.4 (0.1–30.7)	1.7 ± 1.0 (0.5–7.9)	7.3 ± 6.4 (0.2–30.7)	<sup>a,c,d</sup> <b>&lt;0.0001</b>
Monocytes × 10 <sup>9</sup> /L	0.5 ± 0.2 (0.1–1.4)	0.5 ± 0.3 (0.1–1.9)	0.5 ± 0.1 (0.2–0.9)	0.5 ± 0.4 (0.1–1.9)	<sup>a,b,c,d</sup> <b>&gt;0.1</b>
Platelets × 10 <sup>9</sup> /L	214 ± 51.8 (129–370)	244 ± 98.1 (50–635)	227 ± 66.3 (119–474)	245 ± 105.8 (50–635)	<sup>a,b,d</sup> <b>&gt;0.1</b> <sup>c</sup> <b>0.0775</b>
<b>Hospital support, No. (%)</b>					

Infirmary	-	95 (40)	-	95 (53.7)	-
Intensive care unit (ICU)	-	82 (34.6)	-	82 (46.3)	-
<b>Hospitalization data, No.</b>					
Hospitalization days, mean (IQR)	-	9 (1–30)	-	9 (1–30)	-
<b>Respiratory support upon assessment (%)</b>					
Nasal-cannula oxygen	-	65 (27.4)	-	65 (36.7)	-
Oxygen masks/noninvasive	-	30 (12.6)	-	30 (16.9)	-
Invasive mechanical ventilation	-	70 (29.5)	-	70 (39.5)	-
Oxygen saturation mean $\pm$ SD (IQR)	99 $\pm$ 2.4 (89–99)	93 $\pm$ 8.7 (54–99)	98 $\pm$ 1.8 (92–99)	91 $\pm$ 8.9 (54–99)	<sup>a, c, d</sup> <0.0001
<b>Medications No. (%)</b>					
Glucocorticoid	-	156 (61.6)	10 (16.7)	146 (82.5)	<sup>d</sup> <0.0001
Azithromycin	-	149 (68.6)	18 (30.0)	127 (71.7)	<sup>d</sup> <0.0001
Ceftriaxone	-	84 (33.7)	04 (6.7)	80 (45.2)	<sup>d</sup> <0.0001
Oseltamivir	-	80 (30.4)	08 (13.3)	72 (40.7)	<sup>d</sup> <0.0001
Colchicine	-	05 (2.1)	-	05 (2.8)	-
Chloroquine/hydroxychloroquine	-	18 (7.6)	-	26 (14.7)	-
Anticoagulant	-	34 (14.3)	-	34 (19.2)	-
Ivermectin	-	11 (4.6)	11 (18.3)	-	-

204           <sup>a</sup>Comparisons between healthy controls and Covid-19 patients; <sup>b</sup> healthy controls versus non-hospitalized Covid-19 patients; <sup>c</sup> healthy controls  
205   versus hospitalized Covid-19 patients; <sup>d</sup> non-hospitalized versus hospitalized Covid-19 patients. Patient data were compared using the chi-square test, or  
206   Fisher’s exact test for categorical variables and one-way analysis of variance (ANOVA). Mann–Whitney, nonparametric t-test was used for continuous  
207   variables.  $p < 0.05$  was considered statistically significant. Abbreviations: standard deviation (SD); data are median (IQR),  $n$  (%), or n/N.

208 3.2. Significant Association between sTREM-1 Release and Disease Severity

209 We compared plasma levels of sTREM-1 in control patients and Covid-19, further  
 210 determining their correlation with the severity of the illness. As shown in Figure 1A, the  
 211 sTREM-1 levels were higher in patients with Covid-19, especially in hospitalized subjects,  
 212 with the lowest levels in healthy controls, indicating that this mediator could be a  
 213 consequence of the infection or be involved in the activation of the inflammatory response  
 214 against SARS-CoV-2.



215

216 **Figure 1.** Elevated levels of sTREM-1 in patients with Covid-19. (A) The plasma concentration of  
 217 sTREM-1 in Covid-19 patients under residential care ( $n = 60$ ), hospital care ( $n = 177$ ), or healthy controls  
 218 ( $n = 50$ ) were analyzed and compared. Data are presented as mean values plus ranges. The Kruskal–Wallis  
 219 test was used to perform multiple comparisons when the data followed a non-normal distribution. The  
 220 differences between the groups are indicated by the  $p$ -value in the graph above the diagram. (B) Receiver  
 221 operating characteristic (ROC) curves of sTREM-1 concentrations to predict disease among patients with  
 222 Covid-19 in residential care (blue) and hospital care (red). The area under the curve (AUC) and the  $p$ -  
 223 values for significant differences between patients with Covid-19 and controls are depicted in the graphic.  
 224 (C) Relative frequency of sTREM-1 between patients under residential care (blue) and hospital care (red)  
 225 with Covid-19. Mean, standard deviation, lower 95% CI, and upper 95% CI data for each group are  
 226 presented in the table between graphics.



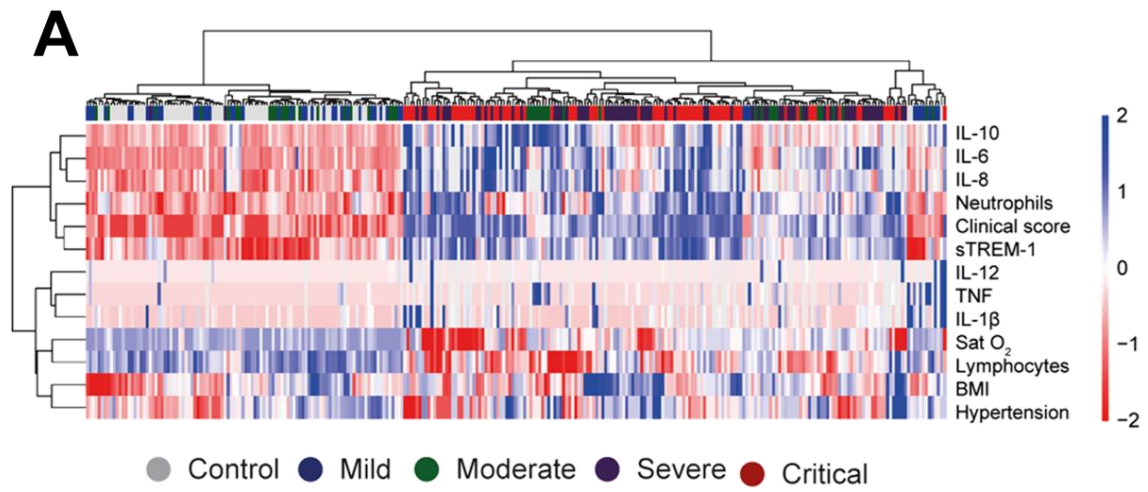
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228         The diagnostic value of sTREM-1 in patients with Covid-19 was evaluated by ROC  
229 curves. The AUC for sTREM-1 levels in patients under residential care, which represented  
230 mainly the mild form of the disease, was close to the value considered clinically relevant  
231 (AUC = 0.53). However, the AUC of sTREM-1 levels in patients under hospital care, who  
232 presented the severe form of Covid-19, was higher than the value considered to be  
233 clinically relevant (AUC = 0.96), thus distinguishing the disease severity among patients  
234 with Covid-19 (Figure 1B).

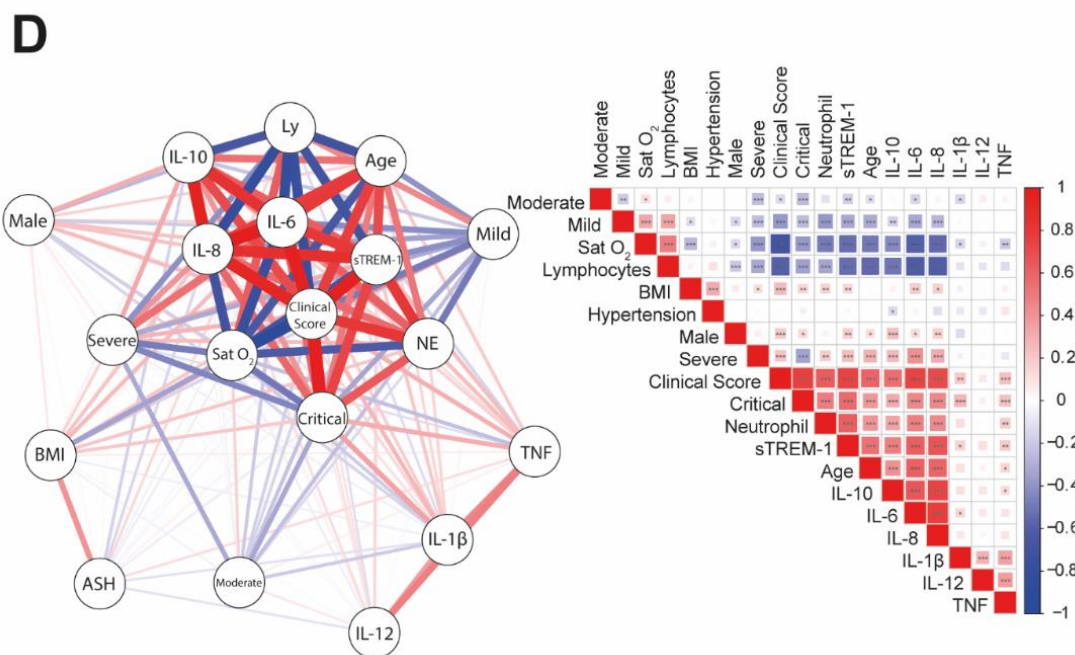
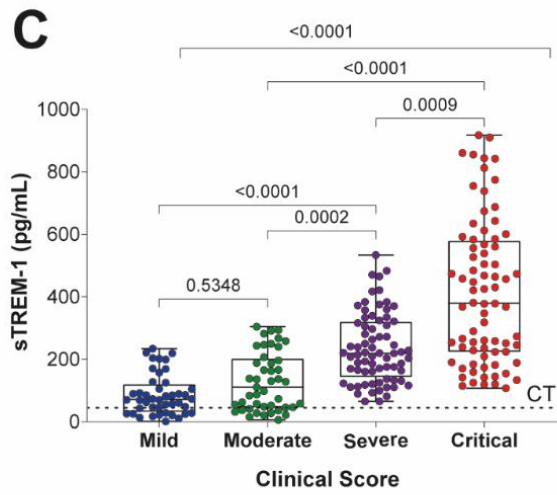
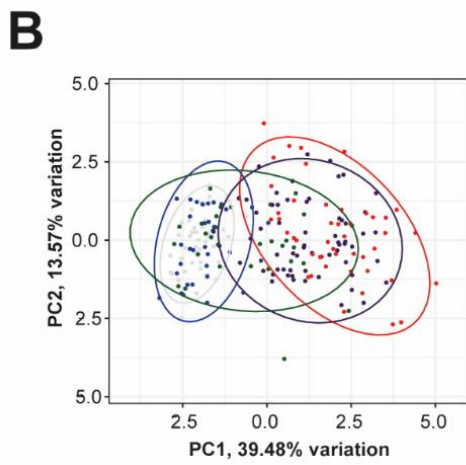
235         The plotted relative frequency of patients with sTREM-1 showed a high number of  
236 events for low levels of sTREM-1 among patients under residential care (mean = 72.32  
237 pg/mL), and a greater number of events for high sTREM-1 levels among hospitalized  
238 patients (mean = 303.5 pg/mL), considering an intersection phase between residential and  
239 hospitalized care patients with Covid-19 (Figure 1C). These results demonstrated that  
240 sTREM-1 could be considered a potential predictive marker of the severity of Covid-19.

241 *3.3. sTREM-1 Levels Increase with Clinical Disease Severity and Correlate with*  
242 *Comorbidities and the Production of Inflammatory Mediators in Patients with Covid-19*

243         Next, we performed a subgroup analysis of sTREM-1, based on different severities  
244 of the disease in admission care. In this case, Sat O<sub>2</sub>, lymphocyte and neutrophil counts,  
245 BMI, hypertension, and IL-1 $\beta$ , IL-6, IL-8, IL-10, IL-12, and TNF production, were  
246 identified as independent risk factors or markers of adverse outcomes in patients with  
247 Covid-19 (Figure 2A—Heat map). However, when these parameters of inflammation or  
248 comorbidities were evaluated in the absence of sTREM-1 values, we were unable to  
249 specifically distinguish the subgroups of patients with Covid-19, but we observed a  
250 tendency to cluster between patients with critical and severe versus patients with mild and  
251 moderate Covid-19, using PCA (Figure 2B—PCA graphic).



252



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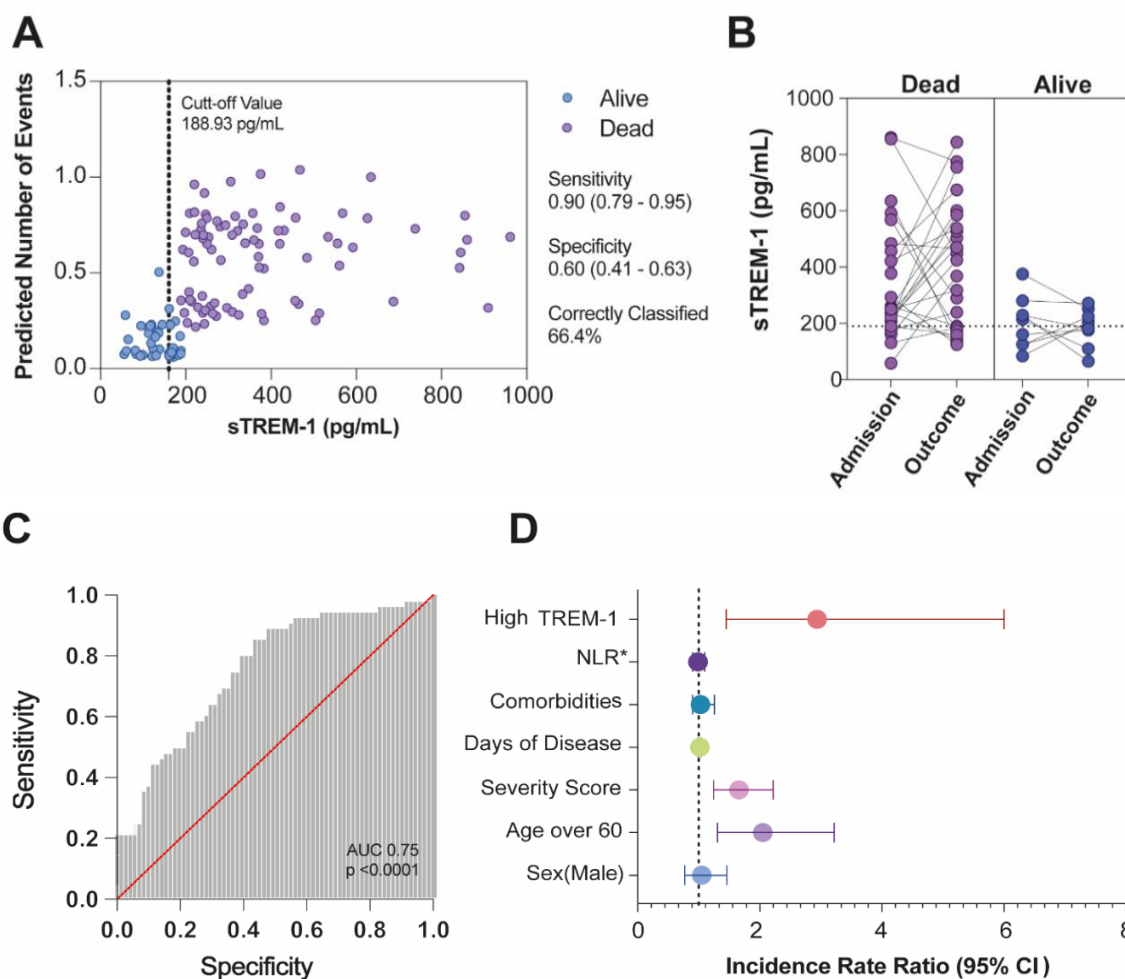
254 **Figure 2.** sTREM-1 levels were correlated with inflammatory cytokine production, comorbidities, and  
255 clinical severity of Covid-19 patients. (A) Unsupervised hierarchical cluster heat map showing the  
256 expression of markers and clinical parameters (Sat O<sub>2</sub>, lymphocytes (Ly), neutrophil (NE) counts, BMI,  
257 hypertension (ASH), sTREM-1, IL-1 $\beta$ , IL-6, IL-8, IL-10, IL-12, and TNF levels) for different groups of  
258 patients, according to the disease score (control, mild, moderate, severe, and critical) data are colored by  
259 row normalized value for each sample. (B) The PCA graphic shows the clusterization of those subgroups  
260 of patients (95% confidence interval), including all markers exhibited in the heat map, except for the  
261 levels of sTREM-1, performed with the base R functions. The continuous variables were transformed to  
262 log<sub>2</sub> scale, and in the case of the heat map and PCA, the data used were transformed to z-scores (centered  
263 and scaled). (C) Levels of sTREM-1 in patients with different severity forms of Covid-19: mild ( $n = 44$ ),  
264 moderate ( $n = 45$ ), severe ( $n = 72$ ), and critical ( $n = 76$ ), as well as control (CT line,  $n = 50$ ). Median  
265 values are presented with ranges. The Kruskal–Wallis test was used for multiple comparisons in data with  
266 non-normal distribution. The differences between each group are indicated by the  $p$ -value in the graphic  
267 above the diagram. (D) The color scale sidebar indicates the correlation coefficients ( $r$ ), where red  
268 represents positive correlation, and blue represents negative correlation. The square size and color  
269 intensity are proportional to the correlation coefficients,  $p$  values were represented by \*  $<0.05$ , \*\*  $<0.01$ ,  
270 and \*\*\*  $<0.001$ . A network-based on Spearman’s correlation ( $p < 0.05$ ) was constructed, analyzed, and  
271 graphically represented using the R packages.

272 As shown in Figure 2C, sTREM-1 levels in Covid-19 patients increased with higher-  
273 severity forms of Covid-19 and significantly segregated subgroups of patients, as well as  
274 compared to control values.

275 In Figure 2D, the correlation matrix for Spearman’s test showed the significant  
276 positive association among sTREM-1 levels with severity and critical form of the disease,  
277 neutrophil count, BMI, age, sex male, and levels of IL-1 $\beta$ , IL-6, IL-8, and TNF. However,  
278 we also observed a significant negative association between sTREM-1 levels with the mild  
279 and moderate form of the disease, Sat O<sub>2</sub>, and lymphocyte counts. A network based on  
280 these data was constructed, analyzed, and graphically represented (Figure 2D). The  
281 complete data on  $r$  and  $p$ -values of this correlation matrix are available in Table S2.

#### 282 3.4. Multivariate Regression Analysis for the Prediction of Death and the Incidence 283 Rate Ratio Value of sTREM-1 for the Expectation of Mortality Risk in Covid-19 Patients

284 To evaluate longitudinal changes, sTREM-1 levels in Covid-19 patients were  
285 monitored regularly during hospitalization. A cut-off value for a TREM-1 level of 188.93  
286 pg/mL with the best-case classification rate of death (66.44%), with 0.90 (90%) of  
287 sensitivity (95% CI 0.79–0.95) and 0.60 (60%) of specificity (95% CI 0.41–0.63) was  
288 calculated (Figure 3A). To better see the overall changes in sTREM-1, plasma  
289 concentrations at admission (baseline level), and outcome (death or alive) were compared  
290 (Figure 3B).



29:

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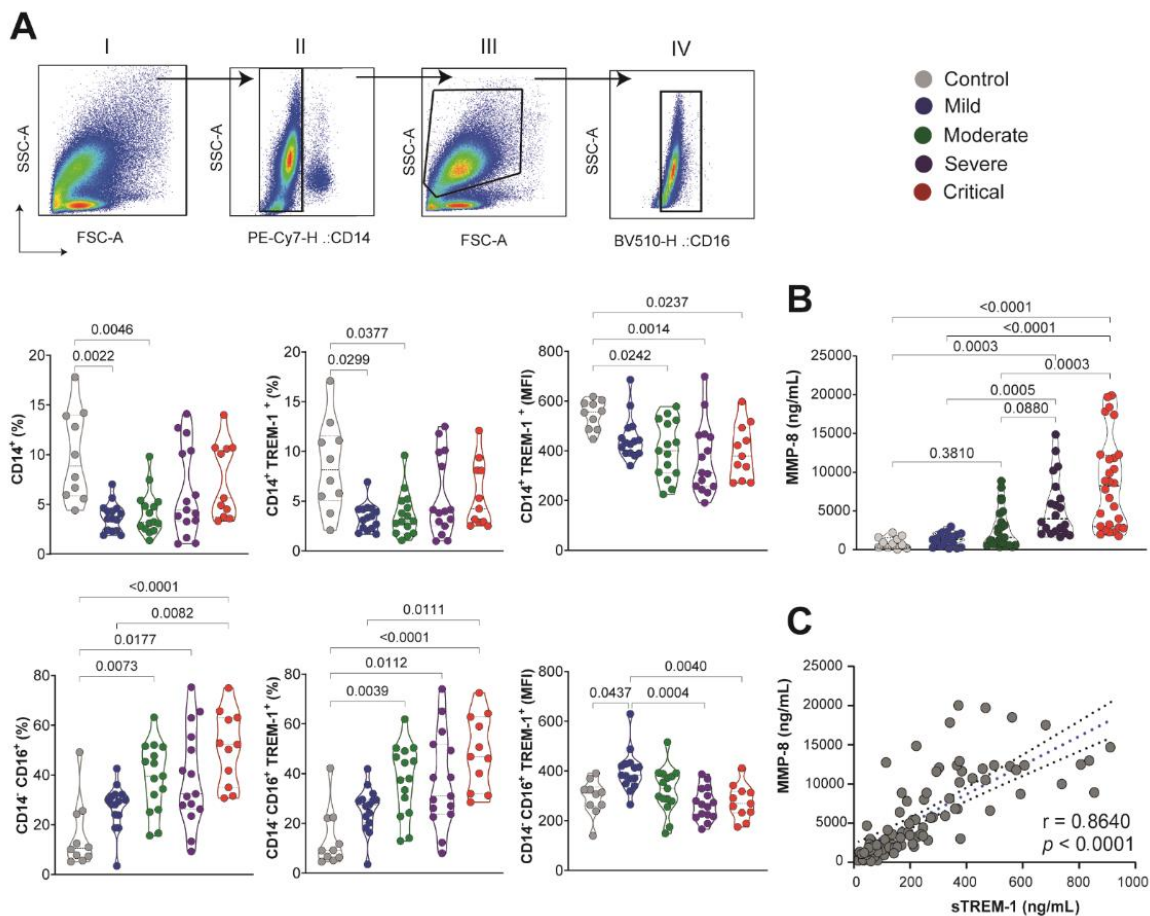
293 **Figure 3.** sTREM-1 levels in hospitalized patients with Covid-19 predicted mortality. (A) Adjusted predicted  
 294 number of events for sTREM-1 levels. (B) Plasmatic levels of sTREM-1 of severe/critical patients were  
 295 indicated for each individual on the day of hospital admission and after the outcome (dead or alive). The  
 296 Mann–Whitney test was used for analyzing data with non-normal distribution, and differences between  
 297 groups were established. (C) ROC and AUC assessing the discrimination capacity of sTREM-1 levels for  
 298 mortality in hospitalized patients with Covid-19. (D) High levels of sTREM-1 and adjusted incidence rate  
 299 ratio for the predictive variable of deaths.

300 The calculated area under the curve (AUC = 0.75, 95% CI 0.65–0.82,  $p < 0.0001$ )  
 301 from ROC showed that plasma levels of sTREM-1 achieved good discrimination ability for  
 302 death (Figure 3C). Additionally, the calculated positive likelihood ratio (LR+) was 1.81,  
 303 and the negative likelihood ratio (LR–) was 0.21. The Poisson multivariate regression  
 304 model was calculated by classifying patients with high or low serum TREM-1 levels  
 305 according to the cut-off value (Table S3). High levels of sTREM-1 were the preminent  
 306 predictive variable of deaths (IRR 2.94,  $p = 0.003$ ), even after adjusting the model for sex  
 307 (IRR 1.05,  $p = 0.290$ ), age over 60 years (IRR 2.05,  $p = 0.002$ ), number of comorbidities  
 308 (IRR 1.11,  $p = 0.069$ ), severity score (IRR 1.65,  $p = 0.001$ ), days of disease (IRR 1.01,  $p =$   
 309 0.174), and the neutrophil/ lymphocyte ratio (NLR) (IRR 0.99,  $p = 0.612$ ) (Figure 3D).

310 In Figure S2A, we demonstrated the various pharmacological therapies administered  
311 to the cohort of hospitalized Covid-19 patients. Although there are no approved treatments  
312 for Covid-19, antibiotics, antivirals, and anti-inflammatory drugs were generally  
313 administered to patients under hospital care in Brazil. A Venn diagram represented the  
314 combinatorial pharmacological approach for these patients. The changes in oxygen support  
315 levels from hospital admission to recovery or death are shown in Figure S2B, and the  
316 details of hospital support or supportive therapies for patients with Covid-19 are outlined  
317 in Table S4.

### 318 *3.5. sTREM-1 Was Released from the Surface of Peripheral Blood Leukocytes and Was* 319 *Correlated with MMP-8 Expression in the Covid-19 Patient*

320 Next, we determined the expression of TREM-1 on the peripheral blood cell surface  
321 of patients with Covid-19 [mild ( $n = 15$ ), moderate ( $n = 15$ ), severe ( $n = 15$ ), and critical ( $n$   
322  $= 11$ )] and healthy controls ( $n = 10$ ). In Figure 4A, we observe the sequential gating of  
323 SSC-A versus FSC-A; SSC-A versus CD14 antibody staining patterns; leukocytes gated  
324 according to their side scatter; and SSC-A versus CD16 antibody staining patterns. The  
325 percentage of CD14<sup>+</sup> cells decreased in the blood of Covid-19 patients compared to the  
326 control. Furthermore, the percentages of CD14<sup>+</sup>TREM-1<sup>+</sup> were lower in those Covid-19  
327 patients. Conversely, the percentage of CD14<sup>-</sup>CD16<sup>+</sup> cells was significantly increased by  
328 the Covid-19 severity. The frequency of CD14<sup>-</sup>CD16<sup>+</sup>TREM-1<sup>+</sup> also increased on blood  
329 cell surfaces from moderate to critical Covid-19 groups compared to control and mild  
330 disease. The amount of TREM-1 expression was obtained by quantification of MFI in both  
331 CD14<sup>+</sup> and CD14<sup>-</sup>CD16<sup>+</sup> leukocytes. Interestingly, the total expression of TREM-1  
332 decreased significantly according to the severity of the disease in the population of these  
333 cells. For example, these data indicated that the improved release of sTREM-1 matches the  
334 reduction in TREM-1 expression on the surface of the membrane of monocytes and  
335 polymorphonuclear leukocytes.

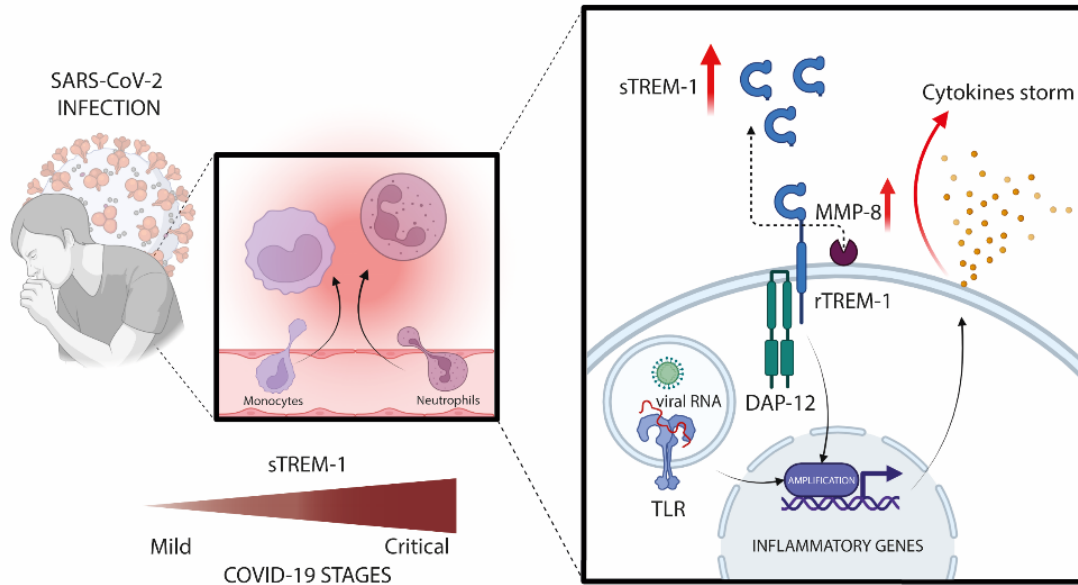


336

337 **Figure 4.** sTREM-1 was released from the surface of the peripheral blood leukocyte membrane,  
 338 correlated with the expression of MMP-8. (A) Sequential gating is shown: (I) SSC-A versus FSC-A; (II)  
 339 leukocytes gated according to their side scatter and CD14 antibody staining patterns; (III) light scatter  
 340 flow cytometry profile for cells based on forward scatter (FSC-A) related to size, and side scatters  
 341 (SSC-A) related to granularity; (IV) gated according to their side scatter and CD16 antibody staining  
 342 patterns. The percentage of CD14<sup>+</sup> and CD14<sup>-</sup> CD16<sup>+</sup> cells was evaluated for groups of Covid-19  
 343 patients, as well as the percentage of CD14<sup>+</sup>TREM-1<sup>+</sup> and CD14<sup>+</sup>CD16<sup>+</sup>TREM-1<sup>+</sup> in the cell surface.  
 344 The amount of TREM-1 expression was obtained by quantification of MFI in CD14<sup>+</sup> and CD14<sup>+</sup>CD16<sup>+</sup>  
 345 leukocytes. (B) MMP-8 quantification in subgroups of Covid-19 patients. Median values are presented  
 346 with ranges. The Kruskal–Wallis test was used for multiple comparisons in data with non-normal  
 347 distribution. Differences between groups are indicated by the *p*-values in the graphics. (C) Spearman  
 348 test correlation between MMP-8 and sTREM-1 levels. The correlation coefficients (*r*) and the *p*-value  
 349 are indicated in the graphic.

350 The proteolytic cleavage of TREM-1 anchored to mature membranes could be  
 351 influenced by metalloproteinase. The expression of MMP-8 in plasma from Covid-19  
 352 patients followed the same pattern of sTREM-1 levels with a significant increase in the  
 353 moderate (*n* = 23), severe (*n* = 20), and critical (*n* = 28) Covid-19 groups, compared to  
 354 controls (*n* = 11) and mild (*n* = 19) (Figure 4B). The correlation between MMP-8 and  
 355 sTREM-1 by the Spearman rank test was highly significant ( $r = 0.8640$ ,  $p < 0.0001$ ; Figure  
 356 4C). However, the direct effect of MMP-8 on TREM-1 cleavage is difficult to confirm in

357 our human model. A detailed scheme of a proposed TREM-1 mechanism during Covid-19  
358 is shown in Figure 5.



359

360 **Figure 5.** Schematic representation of the TREM-1/sTREM-1 pathway during the severity of Covid-19.  
361 SARS-CoV-2 activates innate immune receptors in infected cells and triggers the inflammatory transcription  
362 factor into the nucleus, where it induces several pro-inflammatory genes, including up-regulation of TREM-  
363 1. After binding to its ligand, TREM-1 associates with the adapter molecule DAP12, leading to a cascade of  
364 phosphorylation in a downstream kinase panel that, in turn, activates other transcription factors and amplifies  
365 the inflammatory response, as well as production of cytokines. It is speculated that high levels of circulating  
366 sTREM-1 were released from the surface of the peripheral blood leukocyte membrane related to MMP-8  
367 activity. Therefore, individuals with high levels of sTREM-1 can indicate a dysregulated immune response  
368 (Created with BioRender.com, Agreement number: AZ238AM20F).

#### 369 4. Discussion

370 To date, the most significant predictors of Covid-19 disease severity are related to  
371 the activation or suppression of host immune response [26,27]. This study reported that  
372 plasma sTREM-1 concentration might serve as a predictive factor or biomarker for disease  
373 severity and mortality in a population of patients with Covid-19 in Ribeirão Preto, São  
374 Paulo State, Brazil. The plasma levels of sTREM-1 gradually increased in patients with  
375 mild to moderate, severe, and critical forms of Covid-19. In fact, ROC analysis confirmed  
376 that sTREM-1 served as an important predictor of poor disease progression in patients with  
377 Covid-19.

378 TREM-1 amplifies the pro-inflammatory innate immune response, in synergy with  
379 Toll-like receptors, which recognize a wide range of bacterial, fungal, and viral  
380 components [28]. In addition to expression by neutrophils, monocytes, and macrophages,  
381 TREM-1 is also expressed by epithelial and endothelial cells [29]. Elevated sTREM-1

382 levels are indicative of acute and chronic conditions, including sepsis and pneumonia  
383 [30,31]. The enhanced inflammatory response in macrophages has been indicated as a  
384 mechanism by which TREM-1 signaling contributes to lung injury; therefore, inhibition of  
385 TREM-1 is a potential therapeutic strategy for neutrophil lung inflammation and ARDS  
386 [32]. Moreover, the levels in sepsis could reflect an essential immune dysfunction, where  
387 excessive cleavage of TREM-1 could contribute to immunosuppression and death during  
388 severe infection [33].

389         Since multiple microorganisms can cause severe infections, the broad prognostic  
390 value of sTREM-1 indicates its potential as a severity marker for all-cause febrile disease  
391 [34]. However, fever was not the most common sign among patients with Covid-19 in our  
392 cohort, especially in cases of mild and moderate disease. While the role of sTREM-1 in  
393 patients presenting severe Covid-19 remains unclear, a functional genomic analysis of  
394 PBMCs from individuals undergoing infection with enterovirus A71 (EV-A71) determined  
395 that activation of TREM-1 was correlated with clinical severity [35]. Interestingly, genetic  
396 variants within the gene encoding TREM-1 are associated with different levels of  
397 inflammation and with the development of sepsis [36] and severe malaria in which  
398 sTREM-1 levels were high [8].

399         The number of patients with Covid-19 has increased drastically worldwide, mainly  
400 due to the prevalence of more infective variants. In this context, the early identification of  
401 patients prone to developing severe Covid-19 is essential for the triage and subsequent  
402 interventions. Some modeling studies have shown that levels of IL-6 and CRP could be  
403 used as independent factors to predict the Covid-19 severity [37]. IL-6 is a multifunctional  
404 cytokine that exhibits a strong pro-inflammatory effect [38]. Other studies have suggested  
405 that predictive values of IL-10 and IL-6 should be preferentially evaluated for the early  
406 diagnosis of patients with severe forms of this disease [39]. IL-10 is highly abundant,  
407 especially during the adaptive immune response [40]. However, although certain studies  
408 have shown that plasma IL-6 and IL-10 could be used as factors to predict the progression  
409 of Covid-19, in our cohort, their values could not be used in isolation to distinguish  
410 between patient groups. IL-6 is also elevated in obesity [41] and cannot be specific for  
411 Covid-19 patient conditions. Patients with the severe form of Covid-19 also had increased  
412 leukocytosis, neutrophilia, lymphopenia, and thrombocytopenia compared to those with  
413 non-severe forms of this disease [42]. In this way, we observed a significant correlation



414 between plasma sTREM-1 and immunological parameters in patients with severe Covid-  
415 19.

416 We also inferred that sTREM-1 could be an independent discriminator of disease  
417 severity, in addition to predicting the poor outcome in patients with Covid-19. Therefore,  
418 significantly elevated plasma sTREM-1 levels in patients with Covid-19 might be  
419 indicative of an excessive inflammatory response and may contribute to severe illness or  
420 even death. Furthermore, other authors suggested that plasma sTREM-1 concentrations are  
421 related to Covid-19 severity and can discriminate between survivors and non-survivors,  
422 and sTREM-1 and IL-6 concentrations can be used as screening tools to decide treatment  
423 in patients with Covid-19 [20,21]. Generally, the most common therapeutic options for  
424 viral infections are directed at blocking viral replication or modulating immune responses.  
425 Dexamethasone exerts broad-spectrum anti-inflammatory effects. Among patients  
426 hospitalized with Covid-19, dexamethasone treatment resulted in lower mortality [43]. We  
427 evaluated the longitudinal sTREM-1 detection in patient admission and outcome under  
428 hospital care. Independent of dexamethasone treatment, sTREM-1 levels increased in most  
429 cases after admission and were correlated with the death outcome, but patients treated with  
430 dexamethasone, which was more common, tended to stabilize sTREM-1 production.  
431 However, this phenomenon did not correlate with better recovery. This represents a point  
432 of no return with anti-inflammatory treatment in Covid-19, and sTREM-1 levels could  
433 indicate the inflammatory state. Likely, the beneficial effect of glucocorticoids in patients  
434 with severe forms of Covid-19 can be dependent on the dosage and time.

435 In order for sTREM-1 to be a reliable marker of disease, a better understanding of  
436 the cells relevant to its release and mechanisms of shedding in Covid-19 are required.  
437 Another study reports a matrix metalloproteinase cleavage site within the TREM-1  
438 sequence and demonstrates an in vivo correlation between MMP-9 expression, the  
439 appearance of sTREM-1 in airway lavage, and neutrophil recruitment during pulmonary  
440 influenza infection [44]. Additionally, it has been suggested that sTREM-1 is generated by  
441 cleavage of membrane-bound TREM-1 from the cell surface, and the infection model  
442 recruits many neutrophils that express this receptor.

443 In this case, a decrease in sTREM-1 could reflect a reduction in recruited neutrophils  
444 rather than a decrease in sTREM-1 release [16]. We observed that the reduction in the  
445 expression of TREM-1 on the cell surface in CD14<sup>+</sup> and CD16<sup>+</sup> leukocytes from the blood  
446 of Covid-19 patients was accompanied by a concomitant increase in plasma levels of

447 sTREM-1. Indeed, the plasma expression of MMP-8 correlated positively with sTREM-1  
448 levels, specifically in the severe Covid-19 patient group. These findings strongly support  
449 the hypothesis that proteolytic cleavage of membrane-anchored TREM-1 by one or several  
450 MMP could be responsible for a sTREM-1 generation. CD14 is a lipopolysaccharide  
451 (LPS)-binding protein, which functions as an endotoxin receptor [45]. CD14 is strongly  
452 positive in monocytes and most tissue macrophages but is weakly expressed or negative in  
453 monoblasts, promonocytes, and other granulocytic precursors, but neutrophils and a small  
454 proportion of B-lymphocytes may weakly express CD14 [45]. In the blood of Covid-19  
455 patients, we observed a greater association of surface expression of TREM-1 with CD14<sup>-</sup>  
456 CD16<sup>+</sup> than CD14<sup>+</sup> cells. In addition, neutrophils have been reported as an important  
457 source of sTREM-1 in infectious processes [46], and it has been reported that neutrophils  
458 produce several MMP after LPS challenge [47]. However, the role of neutrophils in the  
459 TREM-1 pathway during Covid-19 needs further study.

460 There are certain limitations to our work. First, in some cases, in addition to Covid-  
461 19, chronic diseases and secondary infection can contribute to increased plasma levels of  
462 sTREM-1; however, to our knowledge, there are no reliable studies relating sTREM-1 with  
463 obesity, hypertension, and diabetes, common comorbidities in participants in our study.  
464 Second, this study was limited by the sample size. Larger cohort studies are necessary to  
465 further confirm the prognostic effect in critically ill patients with Covid-19. Third, some  
466 patients with critical illnesses were not admitted to intensive care units, which had a  
467 negative impact on the outcomes of these patients.

## 468 **5. Conclusions**

469 Our results suggest that plasma sTREM-1 levels at hospital admission can be used  
470 satisfactorily for evaluating disease severity and predicting adverse outcomes in patients  
471 with Covid-19. We suggest the potential role of sTREM-1 in determining the clinical  
472 course of Covid-19 and their correlation with other pro-inflammatory parameters.  
473 Furthermore, we propose a mechanism for the release of sTREM-1 established by MMP-8  
474 activity on the surface of blood leukocytes. In this way, sTREM-1 has emerged as a  
475 potential prognostic biomarker that can be easily detected in plasma samples of Covid-19  
476 patients.

## 477 **Supplementary Materials:**

478 **Supplementary Table 1.** Characterization of participants according to signs and symptoms of  
479 Covid-19.

<b>Participant's classification</b>	<b>Symptoms, signs, and parameters</b>
<b>Healthy Controls</b>	<ul style="list-style-type: none"> <li>- Negative for SARS-Cov-2 nucleic acid</li> <li>- No clinical signs</li> </ul>
<b>Mild</b>	<ul style="list-style-type: none"> <li>- Positive for SARS-Cov-2 nucleic acid and/or serological test</li> <li>- With or without the following symptoms: diarrhea, cough, fever, headache, loss of taste (ageusia) / smell (anosmia), myalgia, nausea, and vomiting</li> <li>- Oxygen saturation 94-99 % on room air</li> </ul>
<b>Moderate</b>	<ul style="list-style-type: none"> <li>- Positive for SARS-Cov-2 nucleic acid and/or serological test</li> <li>- Manifestation of mild disease symptoms including dyspnea</li> <li>- Oxygen saturation <math>\geq 93\%</math> on room air and PaO<sub>2</sub>/FiO<sub>2</sub> 250-300 mmHg</li> <li>- Do not need invasive ventilation: nasal catheter (oxygen 2-4 L/min) or oxygen reservoir (oxygen 4-12 L/min)</li> </ul>
<b>Severe</b>	<ul style="list-style-type: none"> <li>- Positive for SARS-Cov-2 nucleic acid and/or serological test</li> <li>- Possible Admission to intensive-care units</li> <li>- Severe respiratory distress</li> <li>- Oxygen saturation <math>&lt; 93\%</math> on room air and PaO<sub>2</sub>/FiO<sub>2</sub> <math>&lt; 250</math> mmHg</li> <li>- Need no-invasive ventilation: oxygen reservoir or non-rebreathing face mask (oxygen 10-15 L/min)</li> </ul>
<b>Critical</b>	<ul style="list-style-type: none"> <li>- Positive for SARS-Cov-2 nucleic acid and/or serological test</li> <li>- Admission to intensive-care units</li> <li>- Acute respiratory distress syndrome</li> <li>- Need invasive ventilation</li> <li>- PaO<sub>2</sub>/FiO<sub>2</sub> <math>&lt; 200</math> mmHg</li> <li>- With or without one or more additional parameters: need hemodialysis, sepsis, septic shock, and multiorgan dysfunction</li> </ul>

480 The participants were classified into five clinical groups, established by the symptoms severity,  
481 clinical parameters, patient's management and laboratory findings, following the WHO  
482 recommendations [1–8].

483

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509  
510 **Abbreviations:** FiO<sub>2</sub> (fraction of inspired oxygen); PaO<sub>2</sub> (partial pressure of oxygen).

511 **Supplementary Table 2.** Information about r and p-values of the correlation matrix  
512 shown in Figure 2.

Comparison	Row	Column	Correlation	P-value
1	Mild	Moderate	-0.231155018	0.000332594
2	Sat O <sub>2</sub>	Moderate	0.256343743	7.28466E-05
3	Lymphocyte	Moderate	0.152904628	0.018504855
4	BMI	Moderate	-0.077106244	0.239009016
5	Hypertension	Moderate	-0.004953154	0.940185148
6	Male	Moderate	-0.001387137	0.983052766
7	Severe	Moderate	-0.315407459	7.15624E-07
8	Clinical Score	Moderate	-0.361940265	9.56482E-09
9	Critical	Moderate	-0.328051133	2.37513E-07

10	Neutrophil	Moderate	-0.194937891	0.002577148
11	sTREM-1	Moderate	-0.305649126	1.62032E-06
12	Age	Moderate	-0.232030568	0.00031521
13	IL-10	Moderate	-0.099067545	0.171587141
14	IL-6	Moderate	-0.333358878	2.94361E-06
15	IL-8	Moderate	-0.205944097	0.005412874
16	IL-1B	Moderate	-0.202705785	0.004695755
17	IL-12	Moderate	0.047893541	0.508345685
18	TNF	Moderate	0.001018876	0.988779933
19	Sat O <sub>2</sub>	Mild	0.493797962	8.69017E-16
20	Lymphocyte	Mild	0.473795411	1.15153E-14
21	BMI	Mild	-0.176923201	0.00654393
22	Hypertension	Mild	0.034172795	0.604566858
23	Male	Mild	-0.187577951	0.003752779
24	Severe	Mild	-0.319801075	4.90581E-07
25	Clinical Score	Mild	-0.684083102	4.7457E-34
26	Critical	Mild	-0.332620874	1.57451E-07
27	Neutrophil	Mild	-0.486848071	1.65221E-15
28	sTREM-1	Mild	-0.473164064	1.26229E-14
29	Age	Mild	-0.443947281	7.24186E-13
30	IL-10	Mild	-0.329159002	3.1354E-06
31	IL-6	Mild	-0.524005077	1.19155E-14
32	IL-8	Mild	-0.47734009	1.08997E-11
33	IL-1B	Mild	0.003209787	0.964663496
34	IL-12	Mild	-0.033696836	0.641772093
35	TNF	Mild	-0.028596493	0.693008796
36	Lymphocyte	Sat O <sub>2</sub>	0.401200145	1.84023E-10
37	BMI	Sat O <sub>2</sub>	-0.235201534	0.000301552
38	Hypertension	Sat O <sub>2</sub>	-0.064325069	0.33249921
39	Male	Sat O <sub>2</sub>	-0.101669422	0.120916986
40	Severe	Sat O <sub>2</sub>	-0.306087002	1.82232E-06
41	Clinical Score	Sat O <sub>2</sub>	-0.61107148	2.39767E-25
42	Critical	Sat O <sub>2</sub>	-0.321999042	4.80411E-07
43	Neutrophil	Sat O <sub>2</sub>	-0.423331473	1.36418E-11
44	sTREM-1	Sat O <sub>2</sub>	-0.417465281	2.77006E-11
45	Age	Sat O <sub>2</sub>	-0.387049158	8.81104E-10
46	IL-10	Sat O <sub>2</sub>	-0.2948569	3.81076E-05
47	IL-6	Sat O <sub>2</sub>	-0.477628124	6.21986E-12
48	IL-8	Sat O <sub>2</sub>	-0.416622922	7.27459E-09
49	IL-1B	Sat O <sub>2</sub>	-0.092911818	0.202305851
50	IL-12	Sat O <sub>2</sub>	-0.008326473	0.909224231

51	TNF	Sat O <sub>2</sub>	-0.120279514	0.098329914
52	BMI	Lymphocyte	0.105807313	0.105686046
53	Hypertension	Lymphocyte	0.102924798	0.117960673
54	Male	Lymphocyte	-0.195809682	0.002462766
55	Severe	Lymphocyte	-0.24467198	0.000141946
56	Clinical Score	Lymphocyte	-0.504559851	1.0349E-16
57	Critical	Lymphocyte	-0.284427783	8.67676E-06
58	Neutrophil	Lymphocyte	-0.244465784	0.000143855
59	sTREM-1	Lymphocyte	-0.450771695	2.91027E-13
60	Age	Lymphocyte	-0.510840741	3.72578E-17
61	IL-10	Lymphocyte	-0.4656599	1.00449E-11
62	IL-6	Lymphocyte	-0.570302316	1.30747E-17
63	IL-8	Lymphocyte	-0.55135014	8.75794E-16
64	IL-1B	Lymphocyte	-0.055937205	0.439722695
65	IL-12	Lymphocyte	-0.066996635	0.35458038
66	TNF	Lymphocyte	-0.069797294	0.334778798
67	Hypertension	BMI	0.337632848	1.54209E-07
68	Male	BMI	0.010023149	0.878527219
69	Severe	BMI	0.090491035	0.16677444
70	Clinical Score	BMI	0.199649214	0.002103156
71	Critical	BMI	0.121363246	0.063252208
72	Neutrophil	BMI	0.144400816	0.026867836
73	sTREM-1	BMI	0.080909355	0.216558849
74	Age	BMI	-0.111379628	0.088452531
75	IL-10	BMI	-0.064540076	0.375061815
76	IL-6	BMI	0.10571597	0.149870463
77	IL-8	BMI	0.079989279	0.285789589
78	IL-1B	BMI	-0.048881404	0.500756237
79	IL-12	BMI	-0.049949198	0.491436097
80	TNF	BMI	-0.003880337	0.957399957
81	Male	Hypertension	0.008049538	0.902941275
82	Severe	Hypertension	0.027496362	0.676949976
83	Clinical Score	Hypertension	-0.02087052	0.751844854
84	Critical	Hypertension	-0.052086696	0.429754465
85	Neutrophil	Hypertension	-0.039892941	0.545451521
86	sTREM-1	Hypertension	-0.024131327	0.714644644
87	Age	Hypertension	-0.048797374	0.459490751
88	IL-10	Hypertension	-0.176737196	0.015255029
89	IL-6	Hypertension	-0.076601215	0.301366345
90	IL-8	Hypertension	-0.155970027	0.037078899
91	IL-1B	Hypertension	-0.088646282	0.225133769

92	IL-12	Hypertension	-0.070127011	0.337623046
93	TNF	Hypertension	0.089498929	0.220686885
94	Severe	Male	0.036825251	0.572678206
95	Clinical Score	Male	0.191474889	0.003080732
96	Critical	Male	0.12249276	0.05971684
97	Neutrophil	Male	0.031451675	0.629982399
98	sTREM-1	Male	0.163859007	0.011525823
99	Age	Male	0.104158239	0.109738178
100	IL-10	Male	0.247127239	0.000548883
101	IL-6	Male	0.119514701	0.102335595
102	IL-8	Male	0.173228274	0.019697498
103	IL-1B	Male	-0.140872767	0.050688253
104	IL-12	Male	-0.051524688	0.476695911
105	TNF	Male	-0.075172413	0.29879757
106	Clinical Score	Severe	0.06320037	0.332653938
107	Critical	Severe	-0.453856055	1.9146E-13
108	Neutrophil	Severe	0.091391754	0.160779086
109	sTREM-1	Severe	0.109629133	0.092205835
110	Age	Severe	0.223739234	0.000519861
111	IL-10	Severe	0.133051116	0.065803523
112	IL-6	Severe	0.339610294	1.86137E-06
113	IL-8	Severe	0.18170797	0.014360805
114	IL-1B	Severe	-0.087249961	0.227607984
115	IL-12	Severe	-0.047658186	0.510434151
116	TNF	Severe	-0.166640725	0.020545856
117	Critical	Clinical Score	0.814088698	2.18852E-57
118	Neutrophil	Clinical Score	0.60170919	9.89693E-25
119	sTREM-1	Clinical Score	0.670648205	2.51929E-32
120	Age	Clinical Score	0.527521057	2.22592E-18
121	IL-10	Clinical Score	0.39350729	1.63783E-08
122	IL-6	Clinical Score	0.706591772	9.50877E-30
123	IL-8	Clinical Score	0.611328472	6.24207E-20
124	IL-1B	Clinical Score	0.193142907	0.007119883
125	IL-12	Clinical Score	0.028906508	0.689851391
126	TNF	Clinical Score	0.13516282	0.060909273
127	Neutrophil	Critical	0.48144692	3.72683E-15
128	sTREM-1	Critical	0.544209066	1.13204E-19
129	Age	Critical	0.345890241	4.59209E-08
130	IL-10	Critical	0.247996578	0.000523876
131	IL-6	Critical	0.435159773	4.35884E-10
132	IL-8	Critical	0.418124958	4.71667E-09

133	IL-1B	Critical	0.27583581	0.000103287
134	IL-12	Critical	0.036207063	0.617144789
135	TNF	Critical	0.200079903	0.005273927
136	sTREM-1	Neutrophil	0.594134952	5.25175E-24
137	Age	Neutrophil	0.337763026	9.83417E-08
138	IL-10	Neutrophil	0.251205264	0.000440416
139	IL-6	Neutrophil	0.409908655	5.16878E-09
140	IL-8	Neutrophil	0.374089043	2.1304E-07
141	IL-1B	Neutrophil	0.115544468	0.109569412
142	IL-12	Neutrophil	0.002980357	0.967187816
143	TNF	Neutrophil	0.159421183	0.026791288
144	Age	sTREM-1	0.488857505	1.21629E-15
145	IL-10	sTREM-1	0.347496792	7.87725E-07
146	IL-6	sTREM-1	0.565137436	2.94966E-17
147	IL-8	sTREM-1	0.579913342	1.18423E-17
148	IL-1B	sTREM-1	0.148895405	0.03877017
149	IL-12	sTREM-1	0.070661441	0.328814567
150	TNF	sTREM-1	0.107860689	0.13541786
151	IL-10	Age	0.362968733	2.28949E-07
152	IL-6	Age	0.560872117	5.71432E-17
153	IL-8	Age	0.537764866	5.89503E-15
154	IL-1B	Age	0.111105111	0.123984768
155	IL-12	Age	0.053394695	0.460824155
156	TNF	Age	0.083246073	0.249741408
157	IL-6	IL-10	0.595828669	1.88665E-19
158	IL-8	IL-10	0.691523071	4.45477E-27
159	IL-1B	IL-10	0.084361802	0.244669961
160	IL-12	IL-10	0.066528425	0.359225709
161	TNF	IL-10	0.029557013	0.684031098
162	IL-8	IL-6	0.75569478	2.30662E-34
163	IL-1B	IL-6	0.139639406	0.055973197
164	IL-12	IL-6	0.065061294	0.375043236
165	TNF	IL-6	0.002706864	0.9705909
166	IL-1B	IL-8	0.042398459	0.57090717
167	IL-12	IL-8	0.004694633	0.949987402
168	TNF	IL-8	-0.008794129	0.906467413
169	IL-12	IL-1B	0.268492309	0.000159788
170	TNF	IL-1B	0.328036453	3.20628E-06
171	TNF	IL-12	0.377835832	6.05668E-08



514 **Supplementary Table 3.** Multivariate Regression Model\* for Death in the Study  
 515 Population.

Variable	IRR** (95% Confidence Interval)	p-value
Sex (Male)	1.05 (0.75 – 1.47)	0.771
Age over 60 years	2.05 (1.31 – 3.22)	0.002
Days of Disease	1.01 (0.90 – 1.04)	0.174
Comorbidities	1.11 (0.90 – 1.24)	0.069
Severity Score	1.65 (1.23 – 2.22)	0.001
Neutrophils-Lymphocytes Ratio	0.99 (0.90 – 1.10)	0.612
High TREM-1	1.05 (0.75 – 1.47)	0.003

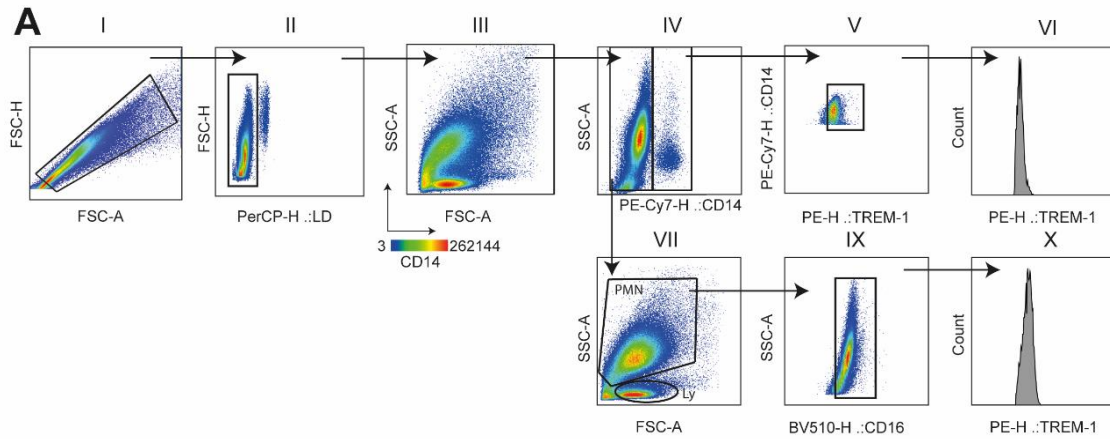
516 \*Model  $p$ -value < 0.0001, Deviance Goodness-of-fit 65.35,  $p$  = 1.00, Pearson  
 517 Goodness-of-fit 59.65,  $p$  = 1.00. \*\*Incidence Rate Ratio

518 **Supplementary Table 4.** Hospital support, supportive therapies, and medications of  
 519 patients moderate, severe, and critical infected with SARS-CoV-2.

During hospital stay	Moderate/Severe/critical N=33
<b>Hospital support, No. (%)</b>	
Infirmary	20 (60.6)
Intensive care unit (ICU)	13 (39.4)
<b>Hospitalization data, No.</b>	
Hospitalization days, median (IQR)	11 (3-30)
Number of days since symptom onset (IQR)	6 (3-11)
<b>Respiratory support received (%)</b>	
Nasal-cannula oxygen	13 (39.4)
Oxygen mask	11(33.3)
Invasive mechanical ventilation	9 (27.3)
Oxygen Saturation median (IQR)	89 (54-99)
<b>Medications</b>	
Glucocorticoid	31 (93.9)
Antibiotics	33 (100)
Oseltamivir	19 (57.6)
Chloroquine/Hydroxychloroquine	10 (30.3)
Anticoagulants	11 (33.3)
<b>Death</b>	24 (72.7)

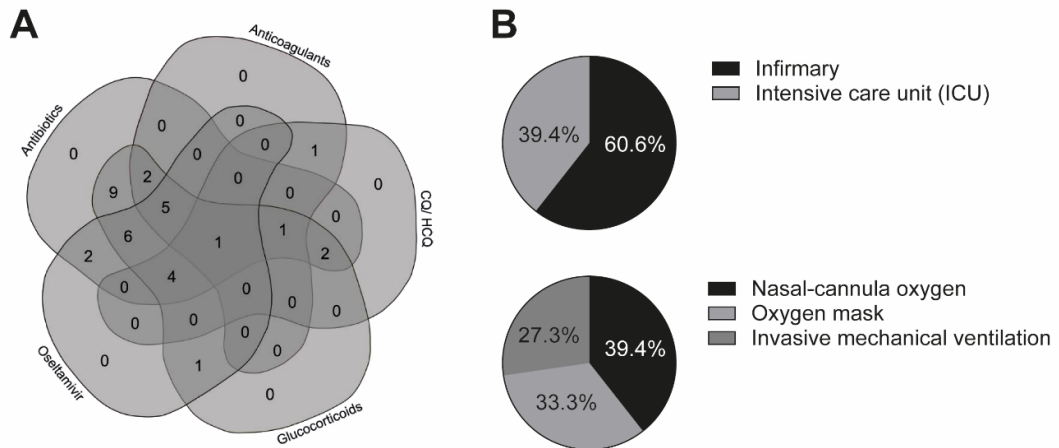
520 **Abbreviation:** IQR, interquartile range, Data are median (IQR), n (%), or

521 n/N.



522

523 **Supplementary Figure 1.** Gating strategy used for flow cytometry analysis of peripheral blood leukocytes.  
 524 Dot plots shown a representative gating strategy for the analysis of (I) singlet gating based FSC-H/FSC-A,  
 525 (II) FSC-H/FVS-620 (viable cells), (III) SSC-A/FSC-A, followed by (IV) SSC-A/ leukocytes gated  
 526 according to their side scatter and CD14 (PE-Cy7) antibody staining patterns, (V) CD14 (PE-Cy7) antibody  
 527 staining patterns versus TREM-1 (PE) antibody staining patterns, with subsequent (VI) TREM-1 (PE) mean  
 528 fluorescence intensity (MFI); (VII) light scatter flow cytometry profile for cells based on forward scatter  
 529 (FSC-A) related to size, and side scatters (SSC-A) related to granularity; (IX) gated according to their side  
 530 scatter and CD16 (BV510) antibody staining patterns, with subsequent (X) TREM-1 mean fluorescence  
 531 intensity (MFI).



532

533 **Supplementary Figure 2.** Venn diagram of the pharmacological treatment of patients with Covid-19 and  
 534 hospital/ respiratory support during hospitalization. (A) Venn diagram showed all pharmacological treatment  
 535 relations between Glucocorticoid, Antibiotics, Oseltamivir, Chloroquine/Hydroxychloroquine and  
 536 Anticoagulants of different sets of patients with Covid-19 in hospital care. (B) Demonstrative percentages of  
 537 patients in distinctive hospitals and respiratory support during hospitalization.  
 538

539 **Author Contributions:** P.V.d.S.-N. and C.A.S., conceptualization of the study. C.A.S.,  
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 541 participated with ethical approval. V.E.P., C.N.S.O., P.V.d.S.-N., M.M.P., D.M.T., N.T.N.,  
 542 J.C.S.d.C., I.C.-G., and J.G.M.A. applied the clinical questionnaire by telephone survey,  
 543 selected patients and volunteers, and organized the database. C.A.S. supervised the

544 collection of home patients and healthy volunteers. P.V.d.S.-N., J.C.S.d.C., V.E.P.,  
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582 *Universidade de São Paulo* and Brazil National Ethics Committee (CONEP), number  
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1           **6.2 Capítulo II: Matrix Metalloproteinases on Severe Covid-19 Lung Patho-**  
2 **genesis: Cooperative Actions of MMP-8/MMP-2 Axis on Immune Response Through**  
3 **HLA-G Shedding and Oxidative Stress**

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36

37 **Abstract:** Patients with Covid-19 predominantly have a respiratory tract infection and  
38 acute lung failure is the most severe complication. While the molecular basis of SARS-  
39 CoV-2 immunopathology is still unknown, it is well established that lung infection is  
40 associated with hyper-inflammation and tissue damage. Matrix metalloproteinases (MMPs)  
41 contribute to tissue destruction in many pathological situations, and the activity of MMPs  
42 in the lung leads to the release of bioactive mediators with inflammatory properties. We  
43 sought to characterize a scenario in which MMPs could influence the lung pathogenesis of  
44 Covid-19. Although we observed high diversity of MMPs in lung tissue from Covid-19  
45 patients by proteomics, we specified the expression and enzyme activity of MMP-2 in  
46 tracheal-aspirate fluid (TAF) samples from intubated Covid-19 and non-Covid-19 patients.  
47 Moreover, the expression of MMP-8 was positively correlated with MMP-2 levels and  
48 possible shedding of the immunosuppression mediator sHLA-G and sTREM-1. Together,  
49 overexpression of the MMP-2/MMP-8 axis, in addition to neutrophil infiltration and  
50 products, such as reactive oxygen species (ROS), increased lipid peroxidation that could  
51 promote intensive destruction of lung tissue in severe Covid-19. Thus, the inhibition of  
52 MMPs can be a novel target and promising treatment strategy in severe Covid-19.

53       Keywords: Metalloproteinases, sHLA-G, sTREM-1, lipid peroxidation, Covid-19.

## 54 **1. Introduction**

55       Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is the infectious  
56 agent that causes Covid-19. So far, a massive number of people worldwide have died from  
57 severe forms of Covid-19, and vaccination was associated with a significant reduction in  
58 Covid-19 infection as well as a reduction in mortality [1]. The severe forms of Covid-19  
59 screening a systemic inflammatory response, thromboembolic complications, and multi-  
60 organ failure [2]. Often, the lung becomes non-functional, with potentially fatal  
61 consequences [3]. This disease, still today, is a challenge for intensive care physicians,  
62 which treat the severe forms of this disease with supportive treatment only [4].

63       Overall, the pathological mechanisms that lead to death in severe forms of Covid-19  
64 remain unclear. Nonetheless, an important point of this analysis is the fact that the severity  
65 of Covid-19 is exacerbated by pre-existing comorbidities, such as hypertension, heart  
66 disease, obesity, diabetes, cancer, and a compromised immune system [5,6]. Among  
67 comorbidities, all of them, except the last one, are known to show increased levels of  
68 gelatinases in plasma [7]. Therefore, it is tempting to hypothesize that the pre-infection

69 level of plasma matrix metalloproteinases (MMPs) or the potential of the host cells to  
70 secrete these proteases (depending on age and genetic polymorphisms) and the activation  
71 of those in the host would result in a worsening of the Covid-19 disease course. Therefore,  
72 it is tempting to hypothesize that the pre-infection level of plasma matrix  
73 metalloproteinases (MMPs) or the potential of the host cells to secrete these proteases  
74 (depending on age and genetic polymorphisms) and the activation of those in the host  
75 would result in a worsening of the Covid-19 disease course. In many scenarios, excessive  
76 proteolysis also involves the activation of several other proteinases, such as coagulation or  
77 complement protease cascades [8].

78 MMPs are believed to be contributing factors to injurious processes in lung  
79 pathologies [9], and recent clinical data suggest an increase in plasma MMPs levels in  
80 patients with Covid-19 [10]. MMPs represent a family of proteolytic enzymes that contain  
81 a zinc ion at the active site of catalysis [11,12] and can cleave a wide variety of substrates,  
82 including extracellular matrix (ECM) components (collagens, fibronectin, and elastin),  
83 secreted and ECM-anchored growth factors, chemokines, and cytokines [13]. In this  
84 regard, some evidence denotes that the soluble form of the human leukocyte antigen-G  
85 (HLA-G), a key membrane molecule in pregnancy immune regulation and inflammation  
86 control [14], and the Triggering receptor expressed on myeloid cells 1 (TREM-1), a  
87 member of the immunoglobulin superfamily expressed on myeloid and epithelial cells [15]  
88 is generated through the shedding by MMPs pathways [15–18].

89 The hyper-inflammatory responses to Covid-19 are characterized by cytokine release  
90 syndrome (also called “cytokine storm”), leading to acute respiratory distress syndrome  
91 (ARDS), increased pulmonary edema, fibrosis, and hypoxia [19,20]. In addition, excessive  
92 neutrophil recruitment into the alveolar space can cause lung injury, as neutrophils release  
93 large amounts of proteases, reactive oxygen species (ROS), and extracellular neutrophil  
94 extracellular traps (NETs), which are detrimental to host tissues [21]. Autopsy tissue  
95 examination of critically ill patients with Covid-19 shows a high degree of diffuse alveolar  
96 damage, perivascular inflammatory cell infiltration [22], extensive damage to the vascular  
97 lining [23,24], and enhanced remodeling of the fibrosis and extracellular matrix (ECM) in  
98 the lung [25].

99 Inflammatory and parenchymal cells perform some of their functions by releasing  
100 MMPs into the lung [26]. The “imbalanced” or excessive proteolytic activity characteristic  
101 of the time when active MMPs appear in tissues or plasma is generally found in

102 exacerbated inflammatory responses and results in excessive destruction of structure [27].  
103 Therefore, MMPs are crucial components of the processes leading to the state of Covid-19  
104 pneumonia. To better define the role of MMPs in severe Covid-19 lungs, we investigate  
105 the expression of MMPs in the lung parenchyma and the association between the  
106 bronchoalveolar-tracheal levels and activity of MMP-2 and MMP-8 to the immune  
107 response  
108 associated with sHLA-G and sTREM-1 release, in addition to tissue damage by oxidative  
109 stress in Covid-19 outcomes.

## 110 **2. Materials and Methods**

### 111 *2.1. Ethical approval*

112 All participants or legal tutors gave their written consent through the informed  
113 consent form, in accordance with the regulations and human ethics guidelines of the  
114 National Council on Human Research (CONEP) and the Research Ethics Committee from  
115 Faculdade de Ciências Farmacêuticas de Ribeirão Preto da Universidade de São Paulo  
116 (CEP-FCFRP-USP). The research protocol was approved and received the Certificate of  
117 Ethics Presentation and Appreciation (CAAE: 30525920.7.0000.5403). The sample size  
118 was determined by the convenience of collection, availability in partner hospitals,  
119 participation agreement, and pandemic conditions within the local community.

### 120 *2.2. Study design and participants*

121 This observational, analytical, and prospective study was conducted at the Hospital  
122 Santa Casa de Misericórdia of Ribeirão Preto and Hospital São Paulo of Ribeirão Preto-  
123 Brazil from June 2020 to January 2021, using stringent and reasonable inclusion and  
124 exclusion criteria: adults who tested positive for Covid-19 and controls who tested negative  
125 for Covid-19; exclusion for children under 18 years of age and pregnant or lactating  
126 women. In total, non-Covid-19 subjects (n = 13), who were hospitalized and intubated due  
127 to different clinical primary conditions (Supplementary Table S1) and negative for SARS-  
128 CoV-2 infection, along with patients in severe/critical illness (n = 39), intubated and  
129 hospitalized in intensive care unit (ICU) who tested positive for SARS-CoV-2 infection, as  
130 determined by analyzing nasopharyngeal swabs using a genomic RNA assay with RT-PCR  
131 (Biomol OneStep Kit/Covid-19-Instituto de Molecular Biology of Paraná-IBMP  
132 Curitiba/PR-Brazil). Peripheral blood samples were collected by venipuncture on first  
133 admission and/or during hospitalization for clinical analysis.

### 134 *2.3. Data collection*

135 The data were collected from the electronic medical record systems of each patient  
136 and carefully revised. We included socio-demographic information, comorbidities, medical  
137 history, clinical symptoms, routine laboratory tests, clinical interventions, and outcomes.  
138 Data collection from laboratory results was defined by considering the first examination at  
139 admission (within 24 h of admission) as the primary endpoint. Blood exams of hospitalized  
140 patients were performed at clinical analysis laboratories in their respective hospitals.

#### 141 *2.4. Tracheal aspirate fluid (TAF) collection and processing*

142 TAF samples were collected from hospitalized patients with severe Covid-19 and  
143 non-Covid-19 (control), as previously described [28], using a catheter (Mark Med, Porto  
144 Alegre, Brazil) with a Trach Care closed endotracheal suction system (Bioteque  
145 Corporation, Chirurgic Fernandes Ltd., Santana Parnaíba, Brazil), and sterile  
146 polypropylene vials (Biomeg-Biotec Hospital Products Ltd., Mairiporã, Brazil), under  
147 aseptic conditions. Approximately 5–10 mL of sterile isotonic saline was instilled into the  
148 endotracheal tube; the individual was manually ventilated for 3 breaths, and the trachea  
149 was suctioned twice, each time for 5 s; and the TAF samples were collected, placed on ice,  
150 and processed within 4 h. In a Biosafety Level 3 facility at Departamento de Bioquímica e  
151 Imunologia, Faculdade de Medicina de Ribeirão Preto, Universidade de São Paulo, the  
152 TAF samples were placed in 15 mL tubes and diluted with 0.1 M phosphate buffered saline  
153 (PBS) (2:1, *v/v*). After centrifugation (700× *g*/10 min), the sample supernatants were  
154 recovered and stored at –80 °C and further used for inflammatory mediators and MMPs  
155 analysis. Red blood cells in the centrifuged pellet were lysed with 1 mL of NH<sub>4</sub>Cl buffer  
156 (0.16 M) for 5 min. The remaining cells were washed with 10 mL of PBS and suspended in  
157 2% heat-inactivated fetal bovine serum (FBS) in PBS. Cell suspension aliquots were  
158 diluted with Trypan blue and counted in an automated cell counter (Countess, Thermo  
159 Fisher Scientific, Waltham, MA, USA).

160 The leukocyte numbers were adjusted to 1×10<sup>9</sup> cells/L for differential counts.  
161 Subsequently, differential leukocyte counts (mononuclear cells, neutrophils, and  
162 eosinophils) were performed by adding 100 µL of the TAF cell sample to cytopsin and  
163 stained with Fast Panoptic dye (Laborclin-Laboratory Products Ltd., Pinhais, Brazil). An  
164 average of 200 cells were examined and morphologically characterized under an optical  
165 microscope (Zeiss EM109; Carl Zeiss AG, Oberkochen, Germany) equipped with a 100×  
166 objective (immersion oil) attached to a digital camera (Olympus Soft Imaging Solutions  
167 GmbH, Germany) and analyzed with ImageJ software (1.45 s) (National Institutes of

168 Health, Rockville, MD, USA).

### 169 2.5. Soluble TREM-1 and MMPs quantification

170 The levels of sTREM-1, MMP-2 and MMP-8 were measured in TAF samples using  
171 an ELISA kit (DuoSet-Human TREM-1, DuoSet-Human Total MMP-2 and DuoSet-  
172 Human Total MMP-8, R&D System, Minneapolis, USA) according to the manufacturer's  
173 specifications.

### 174 2.6. Quantification of active MMPs levels by zymography

175 The active MMP-2 and MMP-9 forms were measured in TAF samples by gelatin  
176 zymography as previously described [29]. Briefly, the samples were diluted (1:5 v/v) with  
177 extraction buffer containing 10 mM CaCl<sub>2</sub>, 50 mM Tris-HCl pH 7.4 The protein content  
178 was measured using the Bradford method [30]. Protein concentration varied from 3 to 40  
179 µg/µL. Other aliquots with the same protein concentration were then used for total protein  
180 analysis in conventional SDS-PAGE and zymography gels. 10 µg of protein from each  
181 sample was mixed with non-reducing sample buffer (see below) and used for conventional  
182 gel electrophoresis (the gel did not contain gelatin), followed by silver staining. The  
183 samples were then further diluted to run 1 µg of protein/lane for the zymograms. Samples  
184 were always kept on ice and gels were run on ice. Prior to running, samples in non-  
185 reducing sample buffer (2% SDS, 125 mM Tris-HCl, pH 6.8, 10% glycerol, and 0.001%  
186 bromophenol blue) were kept 5 min. at 60 °C in a bath to reduce dymers. SDS-PAGE gels  
187 (12%) co-polymerized or not with 1% gelatin and casein were used for this study. Casein  
188 and gelatin gels were used in the initial tests, and the results are shown in the supplemental  
189 material (Supplementary Figure S1).

190 After the electrophoresis was complete, the gels were incubated twice for 30 min at  
191 room temperature in 2% Triton X-100 solution, followed by incubation at 37 °C for 18 h in  
192 Tris-HCl buffer, pH 7.4, containing 10 mM CaCl<sub>2</sub>. The gels were stained with 0.05%  
193 Coomassie brilliant blue G-250 for 18 h and unstained with 30% methanol and 10% acetic  
194 acid. Gelatinolytic activities were detected as unstained bands against the background of  
195 Coomassie blue-stained gelatin. Enzyme activity was assayed by densitometry using an  
196 electrophoresis documentation system (ChemiDoc MP Imaging System, BioRad, Hercules,  
197 CA, USA). Enzyme activities were normalized against an internal standard (fetal bovine  
198 serum, FBS) run in all gels, to allow inter-gel analyses and comparisons. The molecular  
199 weight for pro-MMP-2/MMP-2 (72–64 kDa) and pro-MMP-9/MMP-9 (92–86 kDa),  
200 respectively, by the relation of logMr to the relative mobility of the SDS-PAGE protein



201 ladder (BLUeye Prestained Protein Ladder-Sigma, Saint Louis, MO, USA). The results  
202 were then scanned at 400 dpi and analyzed with ImageJ software (1.45 s) (National  
203 Institutes of Health, Rockville, MD, USA).

#### 204 *2.7. Soluble HLA-G Quantification*

205 sHLA-G levels in TAF samples were measured using a sandwich ELISA with mAb anti-  
206 HLA-G (MEM-G/9-Exbio, Czech Republic) and anti- $\beta$ 2-microglobulin (Dako, Brooklyn,  
207 NY, USA) as capture and detection antibodies, respectively [31]. Briefly, microtitration  
208 plates were coated with MEM-G/9 (10  $\mu$ g/mL) at 4 °C for 18 h. After blocking unspecific  
209 ligands in the wells with 300  $\mu$ L of diluent (Dako, Brooklyn, NY, USA) for 2 h, two-fold  
210 diluted TAF samples (50  $\mu$ L) were added and incubated for 2 h. The wells were then  
211 incubated with rabbit-anti-human  $\beta$ 2-microglobulin detection antibody (Dako, Brooklyn,  
212 NY, USA) for an additional hour. 100  $\mu$ L of horseradish peroxidase enhancer (Dako,  
213 Brooklyn, NY, USA) was then added and incubated for 1 h. All incubation steps were  
214 performed at room temperature. Each step was followed by 4 washes using a specific  
215 washing buffer containing PBS and 0.1% Tween (Sigma, Saint Louis, MO, USA). Finally,  
216 the wells were incubated with substrate (tetramethylbenzidine-TMB) in the dark for 30  
217 min. After the addition of 1 N HCl, optical densities were measured using microplate reader  
218 (SpectraMax Plus 384, Molecular Devices, San Jose, CA, USA), applying an absorbance  
219 filter of 450 nm. All samples were assayed in duplicate, and the total levels of sHLA-G  
220 were determined from a standard curve of five points, using calibrated HLA-G5 dilutions  
221 as a standard. Results were expressed as ng/mL.

#### 222 *2.8. Assessment of lipid peroxide levels (MDA)*

223 Lipid peroxide levels in TAF samples were determined by measuring thiobarbituric  
224 acid-reactive substances (TBARS) using a fluorimetric method described previously  
225 [32,33]. In this method, malondialdehyde (MDA) reacts with thiobarbituric acid (TBA)  
226 under high temperatures (90–100 °C) and acidic conditions, generating the MDATBA  
227 adduct. The MDA-TBA adduct was determined fluorometrically at an excitation  
228 wavelength at 515 nm and emission at 553 nm and uses 1,1,3,3-tetramethoxypropane as  
229 standard for curve. All the measurements were performed using the Synergy 2 multi-mode  
230 microplate reader and Gen5 Software (BioTek, Winooski, VT, USA). Briefly, TAF  
231 samples were transferred to an equal volume of 20% (v/v) cold trichloroacetic acid in 0.6  
232 M HCl, mixed and centrifuged at 1200 $\times$  g for 15 min. In a volume of clear supernatant, a  
233 0.2 volume of 0.12 M thiobarbituric acid/0.26 M Tris, pH 7.0 was added, and immersed in

234 a boiling water bath for 1 h. The lipid peroxide levels were expressed in terms of MDA  
235 (nmol/mL).

### 236 *2.9. Detection of SARS-CoV-2 RNA.*

237 Total RNA was extracted from 20 uL of TAF samples with TRIzol reagent according  
238 to the manufacturer's instructions. The qPCR assay was performed for the E gene region  
239 and N2 region in the N gene [34,35]. Real-time RT-PCR was performed using TaqPath 1-  
240 Step qRT-PCR Master Mix (Applied Biosystems, Foster City, CA, USA) on a StepOne  
241 Plus real-time PCR system (Applied Biosystems, Foster City, CA, USA) with the following  
242 parameters: 25 °C for 2 min, 50 °C for 15 min, 95 °C for 2 min, followed by 45 cycles of  
243 94 °C for 5 s and 60 °C for 30 s. SARS-CoV-2 viral loads were determined using a  
244 standard curve prepared with a plasmid containing all three targets for the sets of  
245 primers/probes designed by the CDC protocol (N1, N2 and N3) [36].

### 246 *2.10. Statistical analysis*

247 Data are presented in tables and graphs, using GraphPad Prism™ software, version  
248 9 (San Diego, CA, USA). Taking into account the nonparametric distribution of the data,  
249 comparative analyzes between groups were performed using the Mann-Whitney or  
250 Kruskal-Wallis tests, with  $p < 0.05$  significant. The dependence on multiple variables was  
251 calculated using Spearman's correlation test, and the differences were considered  
252 statistically significant with  $p < 0.05$ .

### 253 *2.11. Re-analysis of proteomics data*

254 Protein expression data for lung biopsies from Covid-19 and non-Covid-19 samples  
255 were obtained from the supplementary tables of an original proteomic study [37]. Only  
256 proteins with expression values in at least three samples for each group were used in the  
257 statistical analysis. Student's test between the Covid-19 and non-Covid-19 groups was  
258 carried out for all selected proteins after transforming expression values using the Log2  
259 scale, and the false discovery rate was controlled using the Benjamini and Hockberg  
260 correction [38]. The results of MMPs were extracted from the whole statistics using both  
261 nominal and adjusted p-values with significant results ( $p < 0.05$ ) (Supplementary Table  
262 S2). Statistical analysis was performed in R [39], and figures were produced using the  
263 package ggplot2 [40].

## 264 **3. Results**

### 265 *3.1. Participants demographic data and clinical characteristics*

266 In total, 39 patients with laboratory-confirmed Covid-19 were enrolled in this study,  
267 classified as severe/critical ill with oxygen support, intubated in ICU hospital care, in  
268 addition to 13 critical volunteers, negative for Covid-19 (non-Covid-19) but hospitalized  
269 and intubated due to different primary clinical conditions (Supplementary Table S1). The  
270 median age and BMI were not significantly different between non-Covid-19 volunteers  
271 and patients with Covid-19. The percentage of men was higher among patients with Covid-  
272 19 than in non-Covid-19, as well as the percentage of comorbidities especially  
273 hypertension with significant consideration (Table 1). The most common symptoms in the  
274 patients were dyspnea, cough, fever, and myalgia followed by diarrhea, anosmia, and  
275 dysgeusia; in non-Covid-19, only dyspnea was observed (Table 1). Moreover, the absolute  
276 blood counts of erythrocytes and hemoglobin concentration in the Covid-19 patient group  
277 were different from those in the non-Covid-19 group, while the counts of leukocytes,  
278 neutrophils, lymphocytes, neutrophil/lymphocytes ratio (NLR), monocytes and platelets  
279 were not significantly different between those groups (Table 1).

280 The median time of hospitalization for Covid-19 patients was 6.5 days and 23 days  
281 for non-Covid-19. Additionally, all those hospitalized patients received respiratory support  
282 by invasive mechanical ventilation, but the oxygen saturation was significantly lower  
283 among Covid-19 patients (91%) as compared to the non-Covid-19 group (94%) (Table 1).  
284 The percentage of death outcomes was 84.6% for Covid-19 patients and 61.5% for non-  
285 Covid-19 individuals (Table 1).

286

**Table 1.** Participants clinical and demographic data enrolled in this study

Baseline Variable	Non-Covid-19 N= 13	Covid-19 N= 39	Covid-19 Survival N= 6	Covid-19 Non-Survival N= 33	<i>p Value</i>
<b>Demographic characteristics (median ± SD)</b>					
Age median ± SD	61±17.8	66±16.0	61±24.1	66±14.3	0.5200 <sup>a</sup> 0.5488 <sup>b</sup>
BMI (kg/m <sup>2</sup> )	26.8±6.5	29.4±7.0	27.4±6.7	29.5±7.1	0.1528 <sup>a</sup> 0.3915 <sup>b</sup>
<b>Sex, No. (%)</b>					
Male	4 (30.8)	21 (55.2)	1 (16.7)	17 (51.5)	-
Female	9 (69.2)	18 (44.7)	5 (83.3)	16 (48.5)	-
<b>Comorbidities or coexisting disorders, No. (%)</b>					
Hypertension	3 (23.1)	23(59)	3 (50)	20 (60.6)	<b>0.0250</b> <sup>a</sup> 0.6271 <sup>b</sup>
Dyslipidemia	-	2 (5.1)	-	2 (6.0)	-
Diabetes <i>mellitus</i>	2 (15.4)	15 (38.4)	1 (16.7)	14 (42.4)	0.1245 <sup>a</sup> 0.2329 <sup>b</sup>
Obesity	3 (23.1)	17 (43.6)	1 (16.7)	16 (48.5)	0.1880 <sup>a</sup> 0.1482 <sup>b</sup>
Neurological Disease	2 (15.4)	3 (7.7)	-	3 (9.1)	0.4152 <sup>a</sup>
Respiratory Disorders	1 (16.7)	5 (12.8)	-	5 (21.7)	0.6162 <sup>a</sup>
<b>Presenting symptoms, No. (%)</b>					
Dyspnea	4 (30.7)	27 (69.2)	4 (66.7)	23 (69.7)	<b>0.0144</b> <sup>a</sup> 0.8824 <sup>b</sup>
Fever	-	12 (30.8)	2 (33.3)	10 (30.3)	0.8824 <sup>b</sup>
Myalgia	-	17 (43.6)	1 (16.7)	16 (48.5)	<b>0.0037</b> <sup>a</sup> 0.1482 <sup>b</sup>

Diarrhea	-	7 (18)	-	7 (21.2)	-
Cough	-	13 (33.3)	4 (66.7)	9 (27.3)	0.0597 <sup>b</sup>
Anosmia	-	4 (10.3)	1 (16.7)	3 (9.1)	0.5737 <sup>b</sup>
Dysgeusia	-	3 (7.7)	-	3 (9.1)	-
<b>Laboratory findings, median ± SD</b>					
Erythrocytes x 10 <sup>9</sup> /L	2.7±0.9	3.7±0.8	3.0±0.7	3.9±0.7	<b>0.0037<sup>a</sup></b> <b>0.0136<sup>b</sup></b>
Hemoglobin (g/dL)	8.7±2.9	10.7±2.2	9.4±1.3	10.9±1.9	<b>0.0090<sup>a</sup></b> <b>0.0115<sup>b</sup></b>
Leukocytes x 10 <sup>9</sup> /L	11.5±15.4	15.1±16.7	11.2±4.2	16.2±18.0	0.5318 <sup>a</sup> 0.1850 <sup>b</sup>
Neutrophils x 10 <sup>9</sup> /L	8.7±11.1	12.8±5.5	7.6±4.1	13.4±5.5	0.8028 <sup>a</sup> 0.1327 <sup>b</sup>
Lymphocytes x 10 <sup>9</sup> /L	1.1±1.1	0.9±0.5	1.4±0.8	0.9±0.5	0.5117 <sup>a</sup> 0.5820 <sup>b</sup>
NLR	7.0 ±21.1	12.3±10.5	5.0±10.0	12.8±10.6	0.1879 <sup>a</sup> 0.3311 <sup>b</sup>
Monocytes x 10 <sup>9</sup> /L	0.48±0.4	0.52±0.5	0.6±0.4	0.5±0.5	0.7854 <sup>a</sup> 0.6482 <sup>b</sup>
Platelets x 10 <sup>9</sup> /L	250±165.8	229±9.15	286±80.7	228±92.3	0.3112 <sup>a</sup> 0.6693 <sup>b</sup>
<b>Hospital support, No. (%)</b>					
Intensive care unit (ICU)	13 (100)	39 (100)	6 (100)	33 (100)	-
<b>Hospitalization data, No.</b>					
Hospitalization days, median ± SD	23±19.6	6.5±2.6	23.5±8.2	17 ±8.4	<b>&lt;0.0001<sup>a</sup></b> <b>0.0374<sup>b</sup></b>
<b>Respiratory support received (%)</b>					
Invasive mechanical ventilation	13 (100)	39 (100)	6 (100)	33 (100)	-
Oxygen Saturation median ± SD	94 ±6.3	91±10.7	91.5±4.2	91±11.3	<b>0.0125<sup>a</sup></b> 0.3240 <sup>b</sup>
PaO <sub>2</sub> /FiO <sub>2</sub> , ratio median ± SD	156.3±58.3	140.3±94.8	106.4±165	140.3±76.4	0.9335 <sup>a</sup>

					0.9245 <sup>b</sup>
<b>Denouement, No (%)</b>					
Survival	5 (38.5)	6 (15.4)	-	-	-
Non-survival	8 (61.5)	33 (84.6)	-	-	-
Viral charge					
$\Delta$ CT	-	57.8±3402	30.2±3899	52.6±10455	0.3706 <sup>b</sup>

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<sup>a</sup> Comparisons between non-Covid-19 and Covid-19 patients; <sup>b</sup> Covid-19 survival versus Covid-19 non-survival patients. Patient data were compared using the chi-square test, or Fisher's exact test for categorical variables and one-way analysis of variance (ANOVA) Mann-Whitney; nonparametric t-test was used for continuous variables.  $p < 0.05$  was considered statistically significant. Abbreviations: Standard deviation (SD); percentage (%).

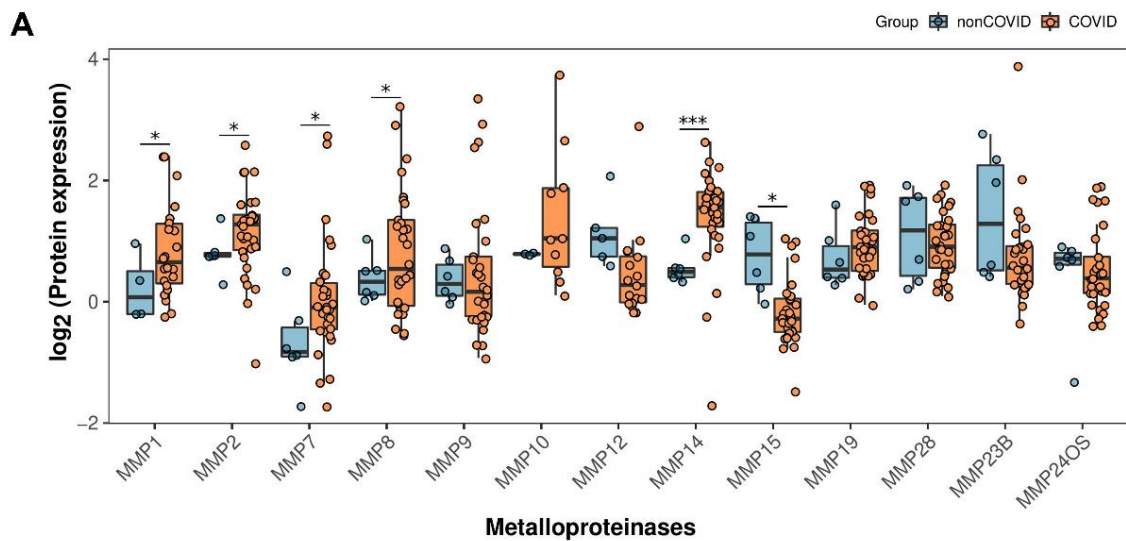
292 *3.2. Elevated Expression of MMPs on Lung-Tissue and MMP-2 Active form on TAF*  
293 *Samples from Covid-19 Patients*

294 Initially, we reanalyzed proteomics data from lung biopsy samples of Covid-19  
295 patients (n = 30) and non-Covid-19 individuals (n = 6) [37]. Comparative analysis was  
296 performed using the log<sub>2</sub> target of MMP protein expression: MMP-1, MMP-2, MMP-7,  
297 MMP-8, MMP-9, MMP-10, MMP-12, MMP-14, MMP-15, MMP-19, MMP-28, MMP-  
298 23B, and MMP-24OS (Figure 1A). This target proteomics approach indicated that MMP-2,  
299 MMP-7, MMP-8, and MMP-14 figured out the Covid-19 perturbation with a significant  
300 increase compared to non-Covid-19 individuals. However, the expression of the MMP-15  
301 protein in Covid-19 was lower than in non-Covid-19 lung tissue. Therefore, we  
302 hypothesize that MMPs were strongly associated with lung Covid-19 severity.

303 To verify the importance of MMPs in Covid-19 lung disease pathogenesis, we  
304 performed a protease screening on TAF samples from our cohort. For this, we focused on  
305 the classical protease cleavage of substrates in gels (zymograms), including gelatin and  
306 casein as substrates co-polymerized in SDS-PAGE gels. Results indicated a strong  
307 gelatinolytic activity and a much lower caseinolytic activity when the same amount of  
308 TAF–Covid-19 protein was used in the gels (Supplementary Figure S1A-gelatin zymogram  
309 and Supplementary Figure S1B-casein zymogram).

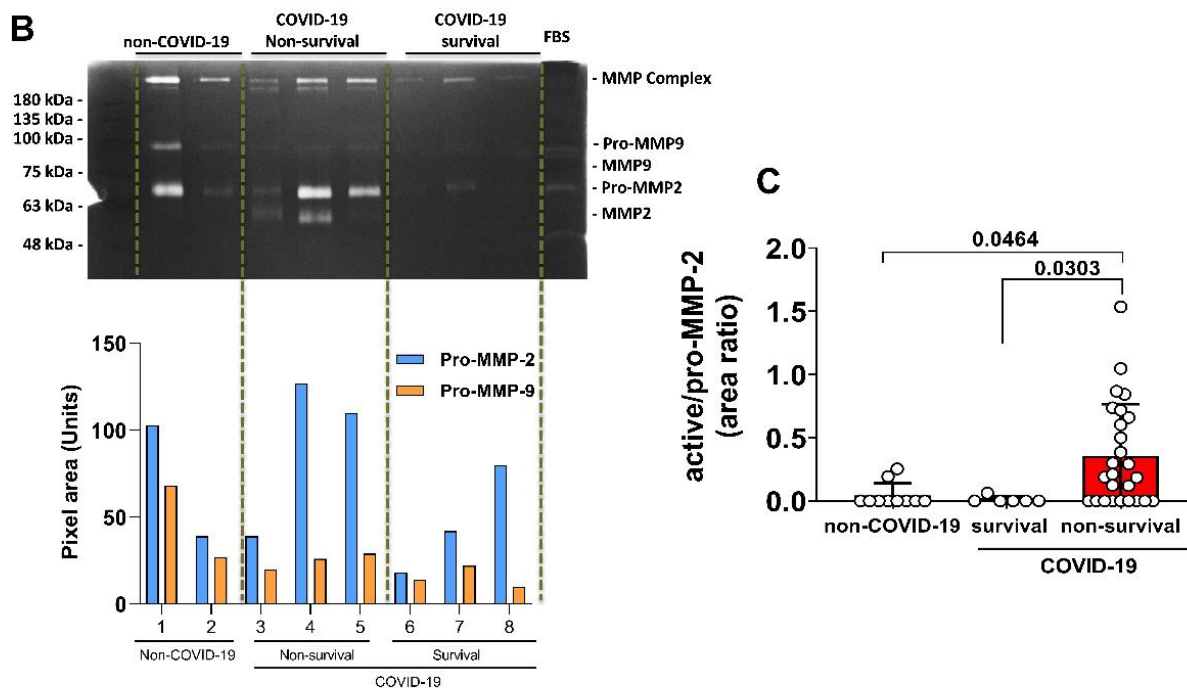
310 Next, the gelatinolytic activity in zymograms was observed after the gels had been  
311 incubated overnight in the presence of protease inhibitors. The control gel was not  
312 incubated with proteinase inhibitors (Supplementary Figure S2A), while other gels were  
313 incubated with the inhibitors of the most common classes of protease found in eucariotic  
314 cells, namely: serine-, metallo-, and cysteine-proteinases. The gelatin-containing  
315 zymograms had been prepared and run in the same way and contained the same samples as  
316 the gel presented in Supplementary Figure S2A. Supplementary Figure S2B shows the gel  
317 incubated with 1 mM 1-10-Phenanthroline, a preferential zinc-chelator, and a potent  
318 metalloproteinase inhibitor. Supplementary Figure S2C shows the gel incubated with 1  
319 mM Phenylmethylsulphonylfluoride (PMSF), a serine-proteinase inhibitor (PMSF-gel).  
320 The gel incubated with N-ethyl-maleimide, a cysteine-protease inhibitor, is not shown. No  
321 significant activity was observed. When considering that 1 µg of total TAF protein was  
322 loaded onto the lanes containing the higher masses, it can be easily realized that a huge  
323 concentration of gelatinases is present in the TAF samples (at this point, the samples were  
324 selected at random, since these experiments were part of the initial characterization steps).

325 Regarding the use of inhibitors, strong gelatinolytic activity was observed in the control  
326 gel, with a similar result for gels incubated with PMSF and NEM, but without detected  
327 gelatinolytic activity in gel incubated with 1-10-Phenanthroline. Interestingly, neither  
328 serine nor cysteine proteinases were detected in our TAF samples in significant amounts,  
329 even using very high amounts of protein (considering that zymograms regularly detect  
330 nanograms of gelatinases and therefore the usual amounts of load used for Western Blots  
331 (usually 30  $\mu\text{g}$ ), for example, cannot be applied to zymograms. Moreover, it became clear  
332 that metalloproteinases were the most active proteases in our TAF samples, and second  
333 protein quantification was performed, to ensure that no problems in comparison of samples  
334 would come from the serial dilution of samples, that was necessary to apply 0.1  $\mu\text{g}$  of total  
335 protein per sample/lane. This was necessary since quantification of gelatinolytic bands  
336 should only be done when the gelatinolytic bands are found in a range where the total  
337 activity was still not reached (for plasma, we used 0.2 to 1  $\mu\text{L}$  to obtain gelatinolytic bands  
338 that were adequate for quantification and comparison between patients).



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341 **Figure 1.** The expression of matrix metalloproteinase and active-MMP-2 form increased in Covid-19  
 342 lung. (A) Protein expression data obtained from literature [37] was reanalyzed aiming to evaluate MMPs  
 343 expression changes. Significant changes are indicated by nominal p-values (\*  $p < 0.05$  and \*\*\*  $p < 0.001$ ).  
 344 Only MMP-14 presented significant difference with adjusted p-value equal to 0.000508. (B) Expression and  
 345 different molecular weight forms of MMP-2, cleavage of pro-MMP-2 to active MMP-2, and MMP-9  
 346 analyzed by gelatin zymography. Representative gel from non-Covid-19 ( $n = 13$ ), survival ( $n = 6$ ) and non-  
 347 survival ( $n = 33$ ) Covid-19 patients is shown. Positions of proMMP-9, pro-MMP-2 and active MMP-2 with  
 348 sizes in kDa are indicated. (C) Quantification of active-MMP-2 represented by area ratio to pro-MMP-2.  
 349 Statistical analyzes were performed using the Kruskal-Wallis multiple comparison test, followed by the  
 350 Dunns post-test to compare pairs. Data are expressed as median with 95% confidence intervals. Statistical  
 351 differences between groups are considered by  $p < 0.05$  and represented directly in the graphic figure.

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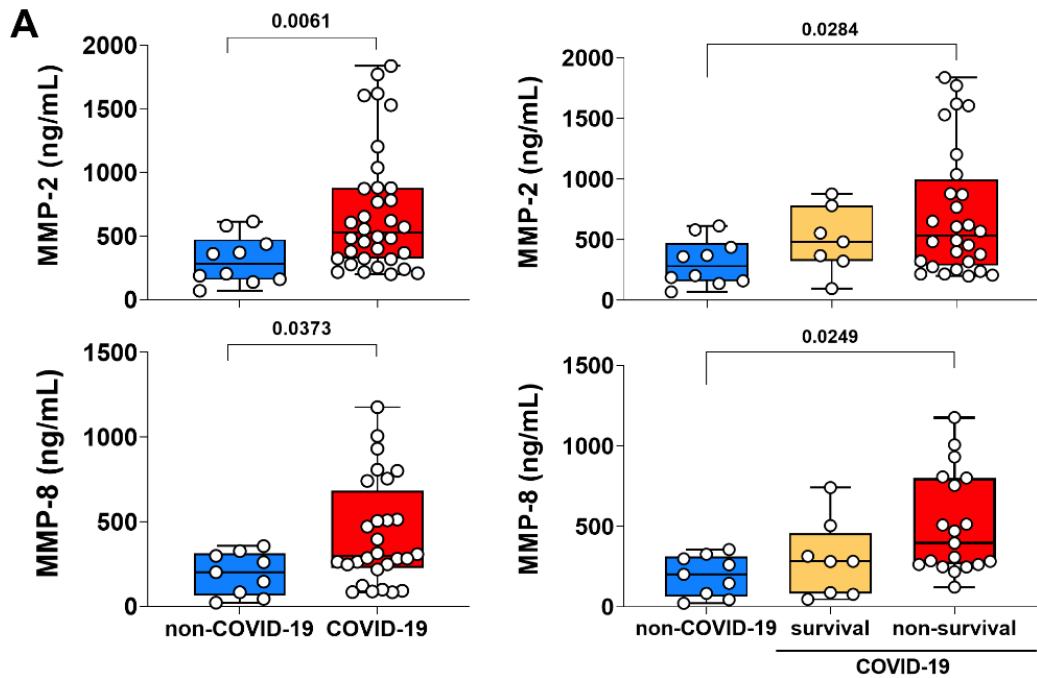
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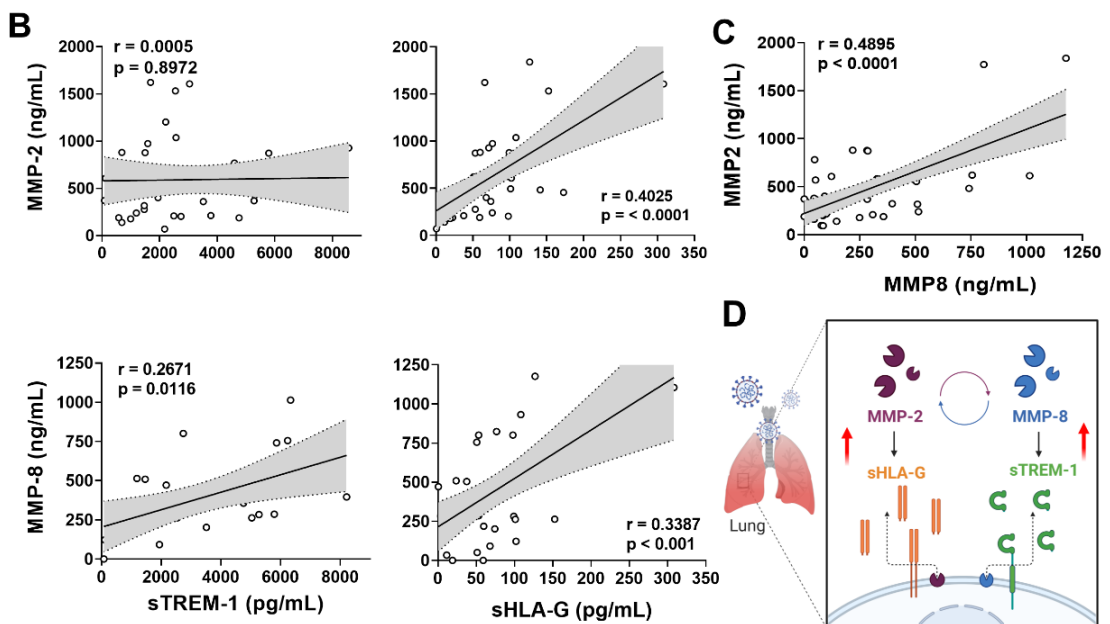
As demonstrated in Figure 1B-panel and Supplementary Figure S3, the zymogram showed the presence of complex, pro- and active forms of MMPs. Indeed, pro-MMP-2 and pro-MMP-9 were present in all TAF samples from non-Covid-19 and Covid-19 patients. However, activated MMP-2 was prominent on Covid-19 samples only, while activated MMP-9 was not found, neither in Covid-19 or non-Covid-19 samples. Regarding stratifying the Covid-19 patients based on the outcome (survival and non-survival), we observed that the ratio of active-/pro-MMP-2 levels was significantly increased in the group of non-survival patients (Figure 1B-graphic). MMP-9 levels were not associated with Covid-19 lung pathology and did not show a correlation with mechanical ventilation in non-Covid-19 subjects.

*3.3. MMP-2 and MMP-8 Expression Increased in Lung from Patients with Non-Survival Covid-19 and Was Correlated with the Release of sTREM-1 and sHLA-G*

365 Considering the total protein form by ELISA, MMP-2 and MMP-8 were significantly  
 366 higher in TAF from patients with Covid-19 compared to non-Covid-19 (Figure 2A).  
 367 Furthermore, comparing non-survival with survival Covid-19 patients, we observed a  
 368 significant increase in MMP-2 and MMP-8 levels in the non-survival group, compared to  
 369 the survival group and the non-Covid-19 group. There was no statistical difference  
 370 between the Covid-19 survival patients to non-Covid-19 (Figure 2A).



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373 **Figure 2.** Increased levels of MMP-2 and MMP-8 in TAF samples correlated with non-survival  
374 Covid-19 and shed of sHLA-G and sTREM-1. (A) Quantification of MMP-2 and MMP-8 in TAF  
375 samples from non-Covid-19 (n = 13) and Covid-19 patients (survival n = 6, non-survival n = 33).  
376 Statistical analyzes were performed using the Kruskal–Wallis multiple comparison test, followed by  
377 the Dunns post-test to compare pairs. Data are expressed as median with 95% confidence intervals.  
378 Statistical differences between groups are considered by  $p < 0.05$  and represented directly in the  
379 graphic figure. (B) Correlations between MMP-2 and MMP-8 levels and soluble immune factors  
380 sHLA-G and sTREM-1 in total TAF samples from non-Covid-19 and Covid-19 patients at hospital  
381 admission. (C) Correlations between MMP-2 and MMP-8 levels in total TAF samples from non-  
382 Covid-19 and Covid-19 patients at hospital admission. Spearman correlation analysis,  $r$  and  $p$  value  
383 indicated in each panel. (D) Schematic representation of the MMP-2 and MMP-8 positive looping  
384 inducing the release of sHLA-G and sTREM-1 in lung from severe Covid-19. (Created with  
385 BioRender.com, Agreement number: IZ23RHRAES).  
386

387 MMP-2 and MMP-8 were unambiguously elevated proteinases in the lung of patients  
388 with Covid-19. To date, those MMPs have been only analyzed in systemic Covid-19  
389 studies [10,41]. On the other hand, there are some key proteins for the immune response  
390 that can be generated through proteolytic cleavage, which is known to be mediated by  
391 MMPs, such as sHLA-G [18] and sTREM-1 [15]. Taken together, our data demonstrated  
392 that both sHLA-G and sTREM-1 levels on TAF samples were elevated in Covid-19  
393 patients and sTREM-1 positively correlated with MMP-8, while sHLA-G levels positively  
394 correlated with both MMP-2 and MMP-8 expression (Figure 2B). However, some  
395 evidence suggested that TREM-1 site cleavage to release sTREM-1 was specific for MMP-  
396 8 activity [15] and HLA-G site cleavage to release sHLA-G was specific for MMP-2  
397 activity [42]. In fact, we confirmed the MMP-8 specific axis to sTREM-1, but there was an  
398 ambiguity about MMPs and sHLA-G release in our data. For this, we analyzed a Spearman  
399 test correlation between MMP-2 and MMP-8 levels and showed a positive and significant  
400 result on TAF samples (Figure 2C). These data suggested that MMP-8 could perform to  
401 generate active-MMP-2, and MMP-2 was involved in sHLA-G release (Figure 2D).

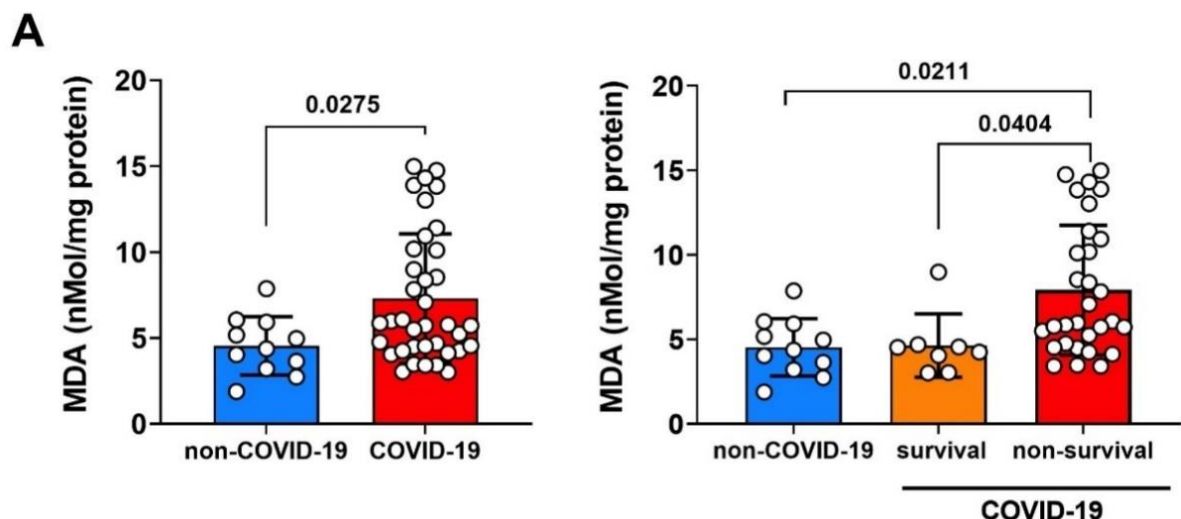
#### 402 *3.4. Relationship of MMP-2 Levels and Oxidative Stress in the Lung of Non-Survival* 403 *Covid-19 Patients*

404 Reactive oxygen species (ROS) disrupt lipids, proteins, and DNA, potentially  
405 resulting in tissue damage and cell death [43]. The interaction of ROS with cell membranes  
406 leads to the generation of lipid peroxides, which can be quantified using thiobarbituric  
407 reactive substances (TBARS) and could represent oxidative stress [32]. Although  
408 nonspecific, TBARS are considered a stable marker of free radical damage and oxidative  
409 stress due to their rapid generation and excretion. We showed in Figure 3A that the level of  
410 lipid peroxidation (MDA) was significantly increased in TAF samples of Covid-19  
411 compared to non-Covid-19. Additionally, when we stratified the Covid-19 patients in the

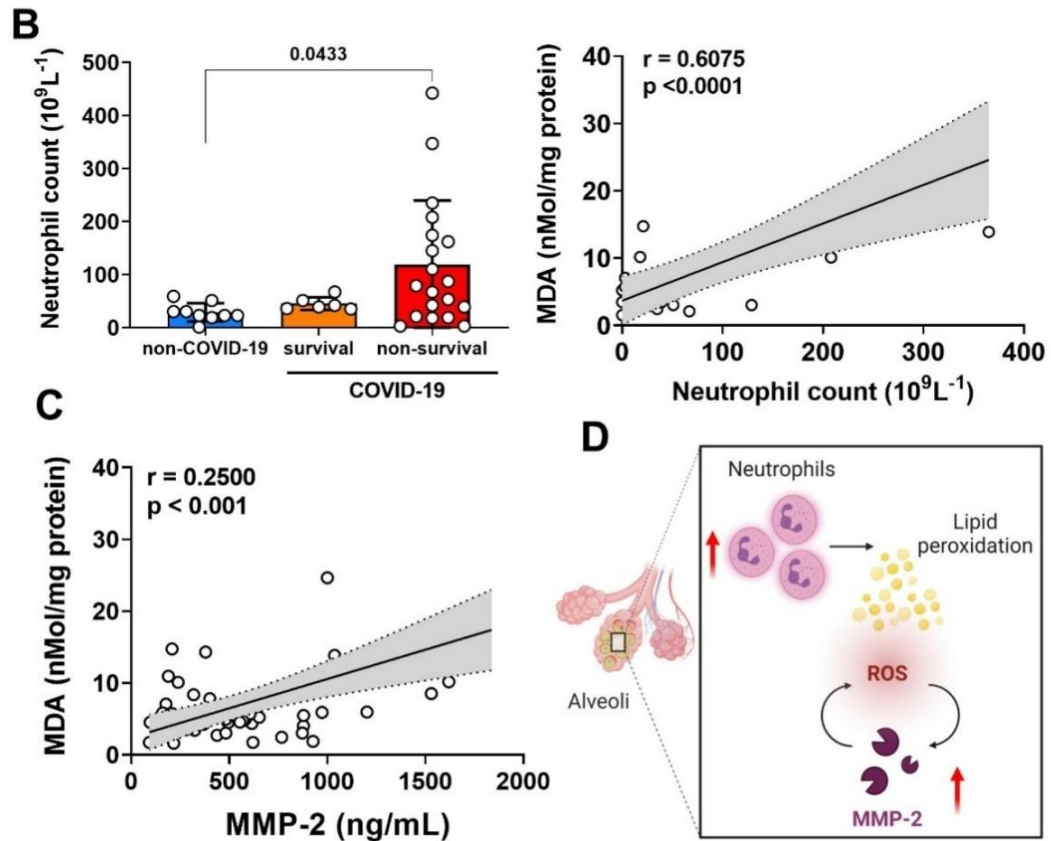
412 survival and non-survival group, we observed that this high level of lipid peroxidation was  
413 significantly related to the non-survival patients, compared to survival patients and non-  
414 Covid-19 (Figure 3A).

415 As previously demonstrated, neutrophils were the main cells whose increases in the  
416 blood of count patients with severe Covid-19 [44], and this phenomenon can be associated  
417 with hyper-inflammatory response and “cytokine storm” [45]. In fact, neutrophilia is an  
418 indicator of severe respiratory symptoms and an unfavorable outcome in Covid-19 [46].  
419 We next determined the cell infiltration in TAF samples (Supplementary Figure S4), and  
420 we detected a significant increase only in neutrophil counts from patients with Covid-19  
421 compared with non-Covid-19. In this context, in Figure 3B we demonstrated that the  
422 neutrophils count in non-survival Covid-19 patients was significantly higher compared to  
423 non-Covid-19. The regression approach revealed a positive association of lipid  
424 peroxidation levels (MDA) and neutrophil counts in TAF samples, suggesting that ROS  
425 species production and consequently oxidative stress could be related to these immune  
426 cells in the lung microenvironment of SARS-CoV-2 infection (Figure 3B).

427 Interestingly, we showed a positive and significant correlation between lipid  
428 peroxidation (MDA) levels and MMP-2 expression on TAF samples (Figure 3C). We  
429 emphasized a relative looping in our data when ROS formation by oxidative stress,  
430 probably due to neutrophils activity, could trigger MMP-2 activation and MMP-2 function  
431 could increase ROS formation in the lung of Covid-19 patients (Figure 3D).



432



433

434 **Figure 3.** Oxidative stress and neutrophil infiltration into the lung of patients with severe Covid-19.  
 435 (A) Representative lipid peroxidation levels by concentrations of thiobarbituric acidreactive species  
 436 expressed in terms of MDA in TAF samples from non-Covid-19 (n = 13) and Covid-19 patients (survival n =  
 437 6, non-survival n = 33). (B) Absolute neutrophil counts in TAF samples from non-Covid-19 and Covid-19  
 438 patients (survival, non-survival), and correlation between the quantification of MDA and the number of  
 439 neutrophils in total TAF samples from non-Covid-19 and Covid-19 patients at hospital admission. (C)  
 440 Correlation between MDA quantification and MMP-2 levels in total TAF samples from non-Covid-19 and  
 441 Covid-19 patients at hospital admission. Spearman correlation analysis, r and p value indicated in each panel.  
 442 Statistical analyzes were performed using the Kruskal–Wallis multiple comparison test, followed by the  
 443 Dunns post-test to compare pairs. Data are expressed as median with 95% confidence intervals. Statistical  
 444 differences between groups are considered by  $p < 0.05$  and represented direct in the graphic figure. (D)  
 445 Schematic representation of the lung neutrophil infiltration and ROS production trigger a positive looping of  
 446 MMP-2 and ROS production in lung from severe Covid-19. (Created with BioRender.com, Agreement  
 447 number: CG23RHR5O1).

#### 448 4. Discussion

449 Survival of Covid-19 patients with severe symptoms depends on the extension of  
 450 lung injury, damage to other organs, comorbidities of the infected patient, and appropriate  
 451 viral immune response [47]. Even though MMPs play a key role in lung immunity by  
 452 facilitating the influx of inflammatory cells and modulating the activities of inflammatory  
 453 mediators and defensins [9], the unbalanced levels of a variety of MMPs have been  
 454 predictable in many lung disorders [9]. In this context, cytokines, inflammatory mediators  
 455 and MMPs levels could define an immune-based biomarker system of Covid-19. However,

456 no enzyme activity of MMPs has been studied in the lungs of patients with Covid-19 and  
457 correlation to tissue pathology. We demonstrated, for the first time, that the enzymatic  
458 activity and levels of MMP-2 and MMP-8 increased significantly in the lung  
459 microenvironment of intubated patients with Covid-19, and this MMP-axis was associated  
460 with infiltration of lung neutrophils, oxidative stress and release of sTREM-1 and sHLA-G,  
461 important mediators for the regulation of immune response.

462 As in all tissues, the expression of MMPs in the lung is a highly regulated process  
463 [48,49]. Moreover, MMPs degrade the ECM of the interstitium leading to an increase in  
464 alveolar permeability that is observed in destructive lung diseases, including ARDS,  
465 COPD, tuberculosis, sarcoidosis, and idiopathic pulmonary fibrosis (IPF) [50,51].  
466 Although small amounts of MMP-2 and MMP-14 are present in the lung lining fluid under  
467 normal conditions, other MMPs, such as MMP-7, MMP-8, MMP-9, and MMP-12, are up-  
468 regulated under many pathological conditions [48,52,53]. Indeed, epithelial cells from  
469 bronchoalveolar lavage fluid (BALF) on severe Covid-19 showed elevated frequencies of  
470 MMP-7+ and MMP-9+ and a tendency to increase portions of MMP-2+ and MMP-13+  
471 compared to mild cases [54]. It appears partly reasonable with our results from proteomics  
472 reanalysis, which showed a significant increase in detection of MMP-2, MMP-7, MMP-8,  
473 and MMP-14, but not the expression of the MMP-9 protein, in lung tissue from Covid-19  
474 compared to non-Covid-19 subjects.

475 MMPs are initially synthesized in a latent pro-form as zymogens. The basic structure  
476 of the catalytic portion of proteases consists of a catalytic domain with three histidine  
477 residues related to a zinc atom ( $Zn^{2+}$ ) and a pro-domain containing a cysteine [55]. For  
478 enzymatic activation, proteolytic cleavage of the pro-domain and exposition of the  
479 catalytic site are required [56]. MMP-2 was synthesized by a wide variety of cells,  
480 including fibroblasts, endothelial cells, and alveolar epithelial cells and plays role in lung  
481 inflammatory

482 diseases [57,58]. In fact, the absence of MMP-2 was protective in allotransplant models  
483 reducing cellular infiltration and fibrosis; in contrast, deficiency in MMP-2 increased the  
484 susceptibility of mice to lethal asphyxiation in an asthma model [59,60]. We observed that  
485 TAF samples from Covid-19 and non-Covid-19 individuals expressed high levels of pro-  
486 MMP-9 and pro-MMP-2 by zymogram. However, only the active form of MMP-2 was  
487 extremely associated with non-survival Covid-19 patients. Post-translational modification  
488 of MMPs in the lung could be a crucial step in regulating the action of MMPs in vivo.

489 Several MMPs have soluble and cell surface forms, providing another level of regulation  
490 through compartmentalization/localization [61]. In addition, endogenous families of  
491 inhibitory proteins, TIMP1-4 and  $\alpha$ 2-macroglobulin, are known to regulate MMPs at the  
492 post-translational level [62]. Uncontrolled MMP-2-activity can be highly pro-inflammatory  
493 and affect lung physiology with severe Covid-19.

494       Apparently, no single MMP is a fundamental mediator of any specific pulmonary  
495 pathology, as each MMP plays an individual role in specific periods and potential  
496 functional redundancy, based on the numerous overlapping substrate molecules that exist  
497 at the site of activity of MMPs [9]. Indeed, the TAF samples of Covid-19 patients  
498 presented a high amount of MMP-8, such as high levels of MMP-2. The expression of both  
499 MMP was a significant contributor to non-survival Covid-19 patients.  
500 Compartmentalization of MMPs in inflammatory cells is another mechanism of regulation;  
501 for instance, pro-MMP-8, pro-MMP-9, and pro-MMP-25 are packaged into peroxidase-  
502 negative granules within neutrophils to be released upon leukocyte activation [63].  
503 Likewise, neutrophils exposed to pro-inflammatory cytokines increase the expression of  
504 active MMP-8 on the cell surface, and its localization on the cell surface confers resistance  
505 to inhibition with TIMP [61]. In accordance with our work, it was characterized by  
506 immunoblotting MMP-2, -8, and -9 and TIMP-2 in TAF samples from preterm infants with  
507 respiratory distress during the first postnatal days, suggesting that in preterm infants,  
508 increased pulmonary MMP-8 levels participate in the acute inflammatory injury [64].

509       In response to SARS-CoV-2 infection, the host's immune system and target cells are  
510 likely to release MMPs [10,65,66]. MMP activity normally governs the release of  
511 substrates that are anchored either at the extracellular matrix or cell membrane, such as  
512 growth factors and cell membrane receptors [67,68]. HLA-G is a non-classical HLA class I  
513 antigen, which is pondered as an immune inhibitory mediator and can be up-regulated by  
514 several viral infections, including SARS-CoV-2, which can render comprehensive  
515 immunosuppressive roles in favoring virus immune evasion and subsequent disease  
516 progression [69,70]. Soluble HLA-G proteins can be generated through proteolytic release;  
517 for example, there is an effective link between MMP-2 and the shedding of HLA-G, but  
518 not for MMP-9 in this process [42]. TREM-1, another membrane receptor, amplifies the  
519 pro-inflammatory response in synergism to Toll-like receptors, which recognize a wide  
520 range of bacterial, fungal, and viral components [71]. Another study reported an MMPs  
521 cleavage site within the TREM-1 sequence and demonstrate a correlation to MMP-9

522 activity [72]. However, our group demonstrated that plasma expression of MMP-8 was  
523 positively correlated with sTREM-1 levels, specifically in the group of patients with severe  
524 Covid-19 [41]. As expected, in our TAF samples, the MMP-8 expression correlated  
525 positively with sTREM-1 production. However, the release of sHLA-G was positively  
526 correlated with both MMP-2 and MMP-8 levels.

527 Classically, MMP-2 activation on the cell surface is critically dependent on the  
528 binding of pro-MMP-2 to MMP-14 and TIMP-2. This complex allows a second active  
529 MMP-14 to cleave the pro-domain and release the active-MMP-2 [73]. On the other hand,  
530 MMP-7 was able to activate pro-MMP-8, and the accumulation of pro-MMP-8 in the  
531 absence of MMP-7 was accompanied by a decrease in pro-MMP-13 levels, suggesting the  
532 interaction between MMP-7, MMP-8, and MMP-13 to regulate collagen turnover [74].  
533 However, in TAF samples, we observed a positive and significant correlation between  
534 MMP-2 and MMP-8 levels. Since we had evidence of increased expression of MMP-14  
535 and MMP-7 in Covid-19 lung tissue by proteomics reanalysis, both described activation  
536 pathways that could be effective in TAF samples to produce active-MMP-2 and active-  
537 MMP-8. On the other hand, we could have a new activation positive looping intricate  
538 MMP-8 and MMP-2, and this phenomenon could justify the effective action of MMP-2 on  
539 HLA-G shedding and indicated that the positive correlation of MMP-8 expression to  
540 sHLA-G levels was an indirect axis linked to MMP-2 activation.

541 Increased inflammatory mediators activate neutrophils and alveolar macrophages,  
542 which liberate MMPs and oxygen radicals, thus producing more lung damage, increasing  
543 vascular leakage and cell apoptosis [75]. Oxidative stress results from the overproduction  
544 or inhibited inactivation of reactive oxygen species (ROS), causing alterations in the redox  
545 state of proteins and lipids [76]. Increased ROS formation triggers protein oxidation and  
546 activation of a cascade of cell signaling events, resulting in endothelial dysfunction and  
547 MMPs activation [77,78]. It has been shown that changes in the tissue concentrations of  
548  $O_2^-$ ,  $H_2O_2$ , and  $ONOO^-$  affect MMP-2 activity [77,79]. However, the increased MMP-2  
549 activity in the vascular system could directly activate pro-oxidant pathways, for example,  
550 MMP-2 cleaved pro-heparin binding epidermal growth factor (HB-EGF) and the soluble  
551 HBEGF bind the EGF receptor (EGFR), downstream NADPH oxidase, which increased  
552 ROS formation [80]. Regarding this, the activation of MMP-2 and MMP-9 was directly  
553 involved in the vascular remodeling observed in hypertension [81]. We observed an  
554 increased lipid peroxidation levels (MDA quantification) on TAF samples from Covid-19



555 patients compared to non-Covid-19, and this oxidative stress was significantly more  
556 prominent in no-survival than survival patients. In this context, neutrophil counts were  
557 higher in samples from Covid-19 patients, indicating a positive correlation between  
558 neutrophil infiltration and lipid peroxidation levels (also, ROS production). Moreover,  
559 MMP-2 levels were positive and significantly correlated with lipid peroxidation  
560 concentration, suggesting another positive looping of neutrophils producing ROS species;  
561 ROS triggers MMP-2 activation and MMP-2 enhanced oxidative stress in the Covid-19  
562 lung.

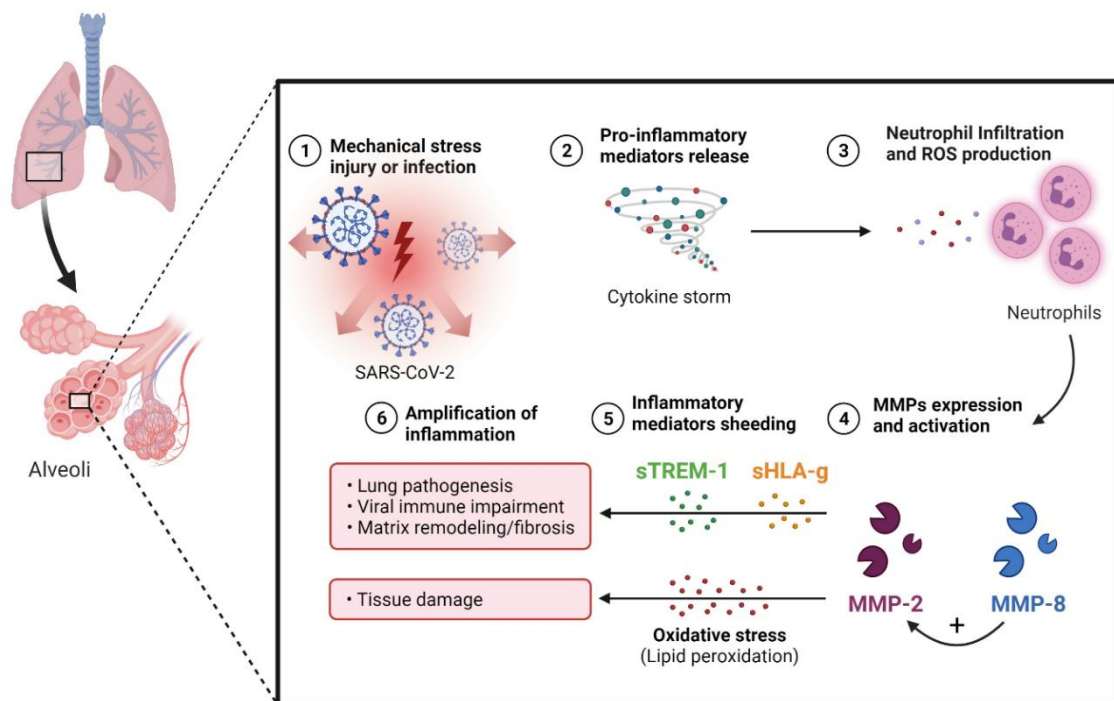
563 Thus, despite the fact that great efforts have been made toward the development of  
564 inhibitors of MMPs, it is not clear whether MMP inhibition is beneficial or harmful in  
565 diseases. Covid-19 patients with severe symptoms exhibit impaired endothelial and  
566 microcirculatory functions, neutrophilia, and other complications associated with  
567 dysregulation of myeloid responses, especially in the lung [82–84]. Epithelial damage is  
568 the initial event and hallmark of acute lung injury that initiates a cascade of processes that  
569 lead to diffuse lung parenchymal damage [85,86]. We contemplated a scenario for Covid-  
570 19 lung immunopathology in which focal airway inflammation produces an elevation of  
571 proinflammatory mediators. These mediators activate alveolar macrophages and  
572 neutrophils, which release ROS and MMPs, producing additional lung tissue damage. The  
573 functional significance of the interactions between MMPs and their immunological  
574 substrates in the lung is a novel concept that is currently being explored. In particular, we  
575 suggested that excessive cleavage of TREM-1 by MMP-8 could contribute to  
576 immunosuppression, as demonstrated during other severe infections [87]. Additionally, the  
577 action of MMP-2 on sHLA-G release could induce immune impairment and exhaustion  
578 [88]. Furthermore, HLA-G expression induced by SARS-CoV2 infection may be  
579 associated with increased morbidity and mortality and, as described, predict a worse  
580 outcome [89].

581 This study had undergone several limitations. First, the patients were not monitored  
582 for the level of MMPs from admission until recovery. Second, the profile of pro- and  
583 antiinflammatory cytokines was not determined. Third, viral load was not taken into  
584 account in the analysis. Fourth, patients with asymptomatic, mild, and moderate Covid-19  
585 were not investigated. Fifth, the small sample size of Covid-19 patients and non-Covid-19  
586 critical controls was another important limitation and may reflect in the statistical  
587 significance; and finally, the lack of healthy non-Covid-19 individuals (health control),

588 which could have allowed us to identify a baseline of clinical and inflammatory variables.

## 589 5. Conclusions

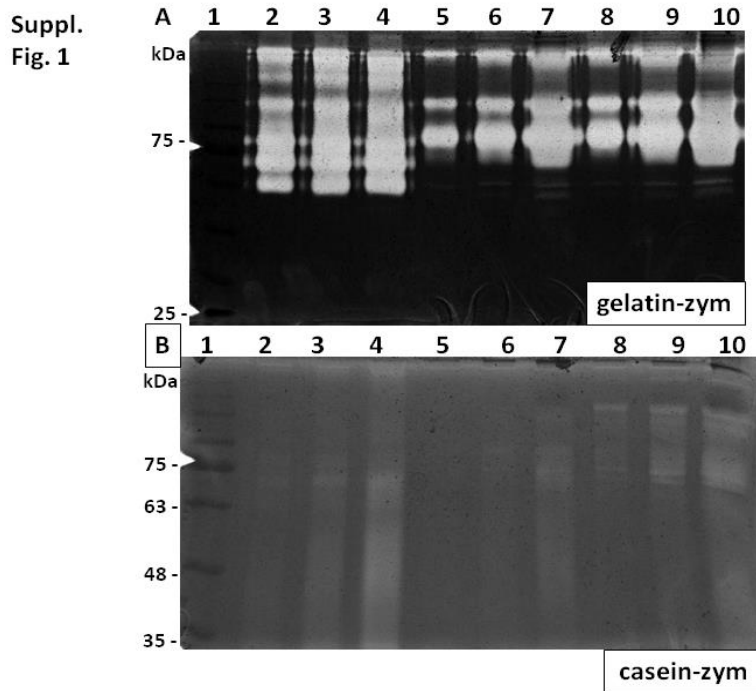
590 Uncontrolled protease activity and improper expression of several MMPs were  
591 correlated to lung disease in severe Covid-19. Although considered plasma prognostic  
592 biomarkers, the MMP-2 and MMP-8 pathways in the lung could become the target of  
593 specific therapies, including those proposed to diminish cell infiltration, viral  
594 immunosuppression response, oxidative stress, and tissue damage during Covid-19.  
595 Conversely, MMPs are emerging as an important component of Covid-19  
596 immunopathogenesis.



597

598 **Supplementary Materials:** The following are available online at  
599 <https://www.mdpi.com/article/10.3390/biom12050604/s1>.

600 **Supplementary Figure 1 - Initial Screening of TAF (tracheal aspirate fluid) in**  
601 **zymograms.** Three different protein masses of 3 patients chosen at random were applied  
602 per lane in gelatin (A) and casein (B) zymograms.



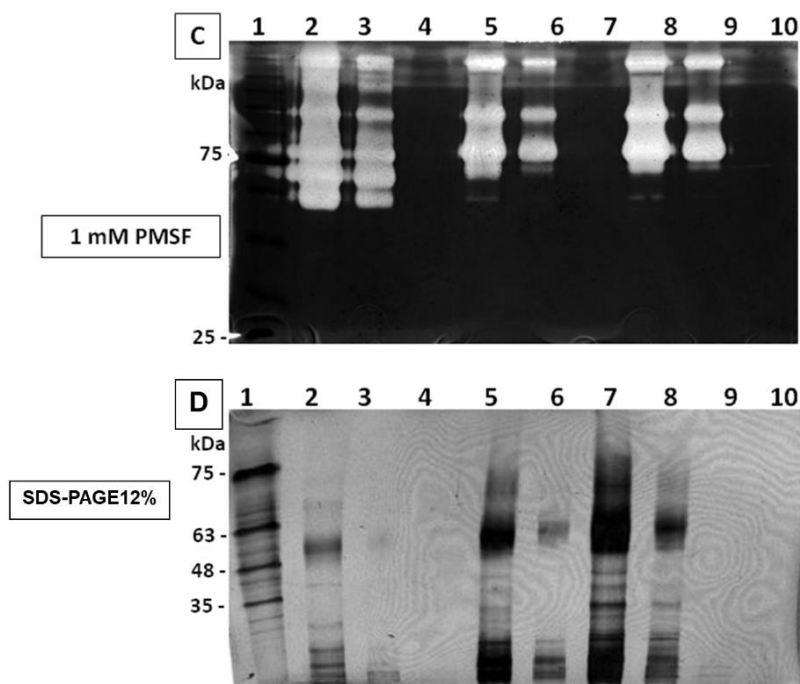
603

604 **A:** Twelve percent gelatin zymogram stained with Coomassie Brilliant Blue.  
 605 Lane 1: Prestained BluEye Protein Ladder (Sigma), with 75- and 25-kDa  
 606 markers indicated based on cuts made on the gel prior to staining with  
 607 Coomassie Brilliant Blue. Lanes 2-4: (non-Covid-19), Lanes 5-7: patient  
 608 Survival, Lanes 8-10: patient Non-survival (0.1; 0.3; 0.5 ug of protein),  
 609 respectively. **B:** Twelve percent casein zymogram stained with Coomassie  
 610 Brilliant Blue. The staining is fainter than the zymogram in A, as casein  
 611 usually stains less. Exactly the same amounts of total protein from TAF from  
 612 the same patients loaded in the same lanes of gel A were applied.

613

**Supplementary Figure 2 - Initial Screening of TAF (tracheal aspirate fluid) in**  
 614 **zymograms regarding the main class of protease present in the patient samples.** Two  
 615 different protein masses of 3 patients were applied per lane in gelatin zymograms.  
 616 Zymogram shown in A was incubated with the regular Tris-HCl/CaCl<sub>2</sub> buffer (the  
 617 zymogram buffer), while gels B and C were incubated overnight with 1 mM  
 618 Phenanthroline and 1mM PMSF, respectively.

619



620

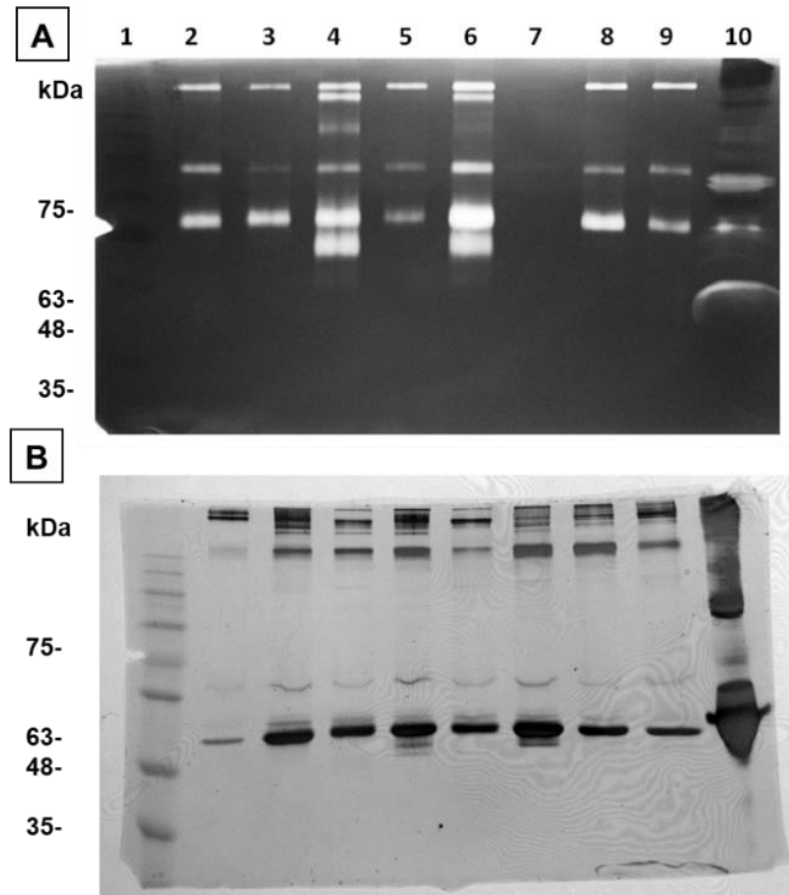
621 **A:** Twelve percent gelatin zymogram stained with Coomassie Brilliant Blue after overnight  
 622 incubation in Tris-HCl/CaCl<sub>2</sub> buffer (the zymogram buffer). Lane 1: Prestained BluEye  
 623 Protein Ladder (Sigma), with 75- and 25-kDa markers indicated based on cuts made on the  
 624 gel prior to staining with Coomassie Brilliant Blue. Lanes 2-3: (0.05 ug; 0.15 ug of protein)  
 625 non-Covid-19, Lanes 5-6: (0.05 ug; 0.15 ug of protein) patient Survival, Lanes 8-9: patient  
 626 Non-survival (0.05; 0.15; ug of protein), Lanes 4, 7,10 empty respectively. **B:** Twelve  
 627 percent gelatin zymogram stained with Coomassie Brilliant Blue. The whole gel was  
 628 incubated overnight in the zymogram buffer containing 1 mM Phenanthroline. The samples  
 629 and protein masses loaded are equal to gel A.**C:** Twelve percent gelatin zymogram stained  
 630 with Coomassie Brilliant Blue. The whole gel was incubated overnight in the zymogram  
 631 buffer containing 1 mM Phenyl-methyl-sulphonylfluoride (PMSF) at 1 mM. The samples and  
 632 protein masses loaded are equal to gel A. **D:** Twelve percent SDS-PAGE gel stained with  
 633 silver nitrate. Lanes 2-3: non-Covid-19, Lanes 5-6: patient Survival, Lanes 7-8: patient Non-  
 634 survival (10 ug of protein), Lanes 4, 9,10 empty respectively.

635

636 **Supplementary Figure 3. Representative gelatin zymogram gels of TAF**

637 **(tracheal aspirate fluid) samples of COVID patients and controls.**

Supple. Fig. 3



638

639 **A:** Twelve percent SDS-PAGE gel stained with Coomassie Brilliant Blue. Lane 1:  
 640 Prestained BluEye Protein Ladder (Sigma). Lanes 2 and 3: two control patients (non-Covid-  
 641 19) that were in the same hospital, but were negative for COVID19. Lanes 4-7: samples from  
 642 4 critical SARS-COV-2-infected patients that died (Survival). Lanes 8-9: 2 samples from 2  
 643 critical SARS-COV-2-infected patients that non-survived (0.5 ug of protein). Lane 10: Fetal  
 644 Bovine Serum. **B:** Twelve percent SDS-PAGE gel stained with silver nitrate. Lanes 2 and 3:  
 645 two control patients (non-Covid-19) that were in the same hospital but were negative for  
 646 COVID19. Lanes 4-7: samples from 4 critical SARS-COV2-infected patients that died  
 647 (Survival). Lanes 8-9: 2 samples from 2 critical SARS-COV2-infected patients that non-  
 648 survived (10 ug of protein). Lane 10: Fetal Bovine Serum.  
 649

650

**Supplementary Table 1. Information about p-value of proteomics**

651

reanalyses.

Uniprot ID	Gene name	t	df	pvalue	p.adj
<b>A0A0U1RRL7</b>	MMP24OS	-0,346208644	7,628149286	0,738540407	0,846135094
<b>O75900</b>	MMP23B	1,451850712	6,237048395	0,194919826	0,373242517
<b>P03956</b>	MMP1	-2,049951361	6,608866347	0,081918071	0,215555977
<b>P08253</b>	MMP2	-2,124596412	15,76382051	0,049799151	0,158060835

<b>P09237</b>	MMP7	-2,229832893	13,83080463	0,042860756	0,142757009
<b>P09238</b>	MMP10	-1,75417077	9,010757184	0,113257492	0,26319364
<b>P14780</b>	MMP9	-0,978116457	30,19615568	0,335788517	0,524411715
<b>P22894</b>	MMP8	-2,077762291	26,05263093	0,047724825	0,153479323
<b>P39900</b>	MMP12	1,817928401	7,998976205	0,106594355	0,253771147
<b>P50281</b>	MMP14	-5,382421924	26,68595537	1,13231E-05	0,00050751
<b>P51511</b>	MMP15	3,089793801	6,098825536	0,020946559	0,090643843
<b>Q99542</b>	MMP19	-0,959149878	7,441432919	0,367604031	0,554444983
<b>Q9H239</b>	MMP28	0,519408714	5,91404534	0,622333043	0,767059358

652

653 **Author Contributions:** P.V.d.S.-N., V.B.d.V., C.A.F., T.M.F., D.M.T., C.A.S., M.D.-B.,  
654 A.P.M.F. and R.F.G. conceptualization the study. P.V.d.S.-N., V.B.d.L., C.A.F., T.M.F.,  
655 D.M.T., J.C.S.d.C., V.E.P. and M.M.P. performed the main experimental procedure. M.D.-  
656 B., C.R.B.C., A.M.D., O.F. and C.A.S. supervised the collection of samples from patients.  
657 P.V.d.S.-N., J.C.S.d.C., F.M.O., M.R.F., R.S.P., G.G.G., J.J.R.d.R., F.C.V. and  
658 IMMUNOCOVID Study Group help with samples collection. P.V.d.S.-N., T.F.C.F.-S.,  
659 C.N.S.O., L.C.R., T.M.F. and D.M.T. help with samples procession and fractionation.  
660 R.B.M. and E.A. performed the viral load quantification. V.B.d.V., P.A.B., P.V.d.S.-N.,  
661 L.C.R., V.A.F.B., C.A.S. and R.F.G. performed the quantification of MMPs levels.  
662 S.O.C.T., V.B.d.V. and J.E.T.-S. performed the assessment of lipid peroxide levels (MDA)  
663 assay. T.M.F. and E.A.D. performed the soluble HLA-G quantification. S.R.M., C.A.F.  
664 and V.A.F.B. analyzed the data by R program. P.V.d.S.-N., D.M.T. and C.A.S., performed  
665 the data statistical analysis and prepared the figures. A.M.D., F.M.O., M.R.F., R.S.P.,  
666 F.C.V., G.G.G., J.J.R.d.R. and O.F., contributed to the collection of clinical specimens,  
667 demographic data, clinical management and clinical characteristics analysis from Covid-19  
668 patients. P.V.d.S.-N., D.M.T., V.B.d.L., C.A.S. and R.F.G., drafted the manuscript. C.A.S.,  
669 S.R.M., E.M.S.R., A.L.V., A.P.M.F., I.K.F.M.S., V.L.D.B., C.R.B.C., M.D.-B. and L.H.F.  
670 managed the ImmunoCOVID project; help with critical revision of the manuscript and  
671 results discussion for important intellectual concept. C.A.S. and R.F.G. supervised the  
672 study. All authors have read and agreed to the published version of the manuscript.

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683 **Institutional Review Board Statement:** The study was carried out according to the  
684 guidelines of the Declaration of Helsinki, and was approved by the Institutional Review  
685 Board (or Ethics Committee) of Faculdade de CiênciasFarmacêuticas de Ribeirão Preto-  
686 Universidade de São Paulo and Brazil National Ethics Committee (CONEP), CAAE:  
687 30525920.7.0000.5403.

688 **Informed Consent Statement:** Informed consent was obtained from all subjects involved  
689 in the study.

690 **Data Availability Statement:** All data generated or analyzed during this study are  
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703 **Appendix A. IMMUNOCOVID Study Group**

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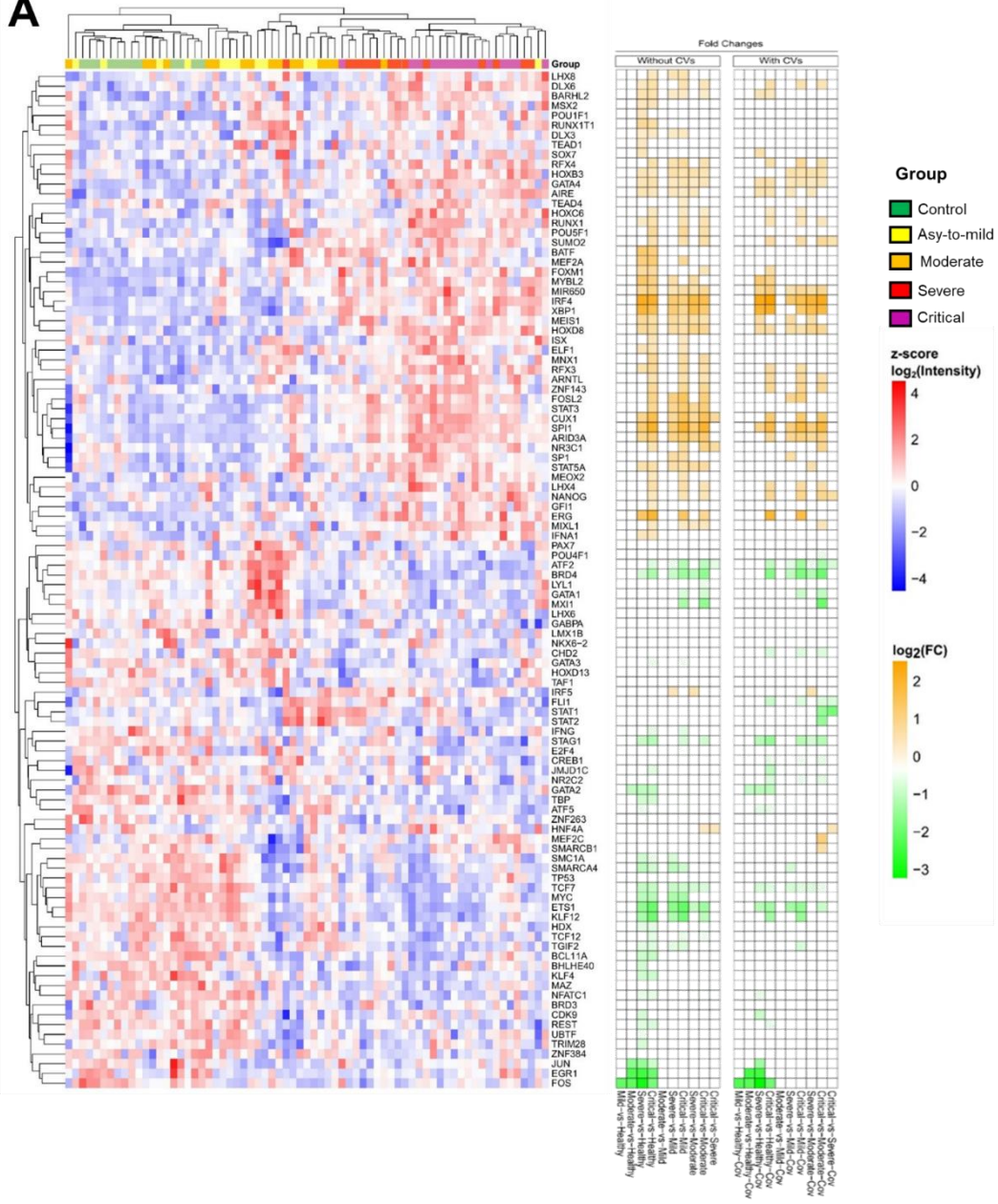
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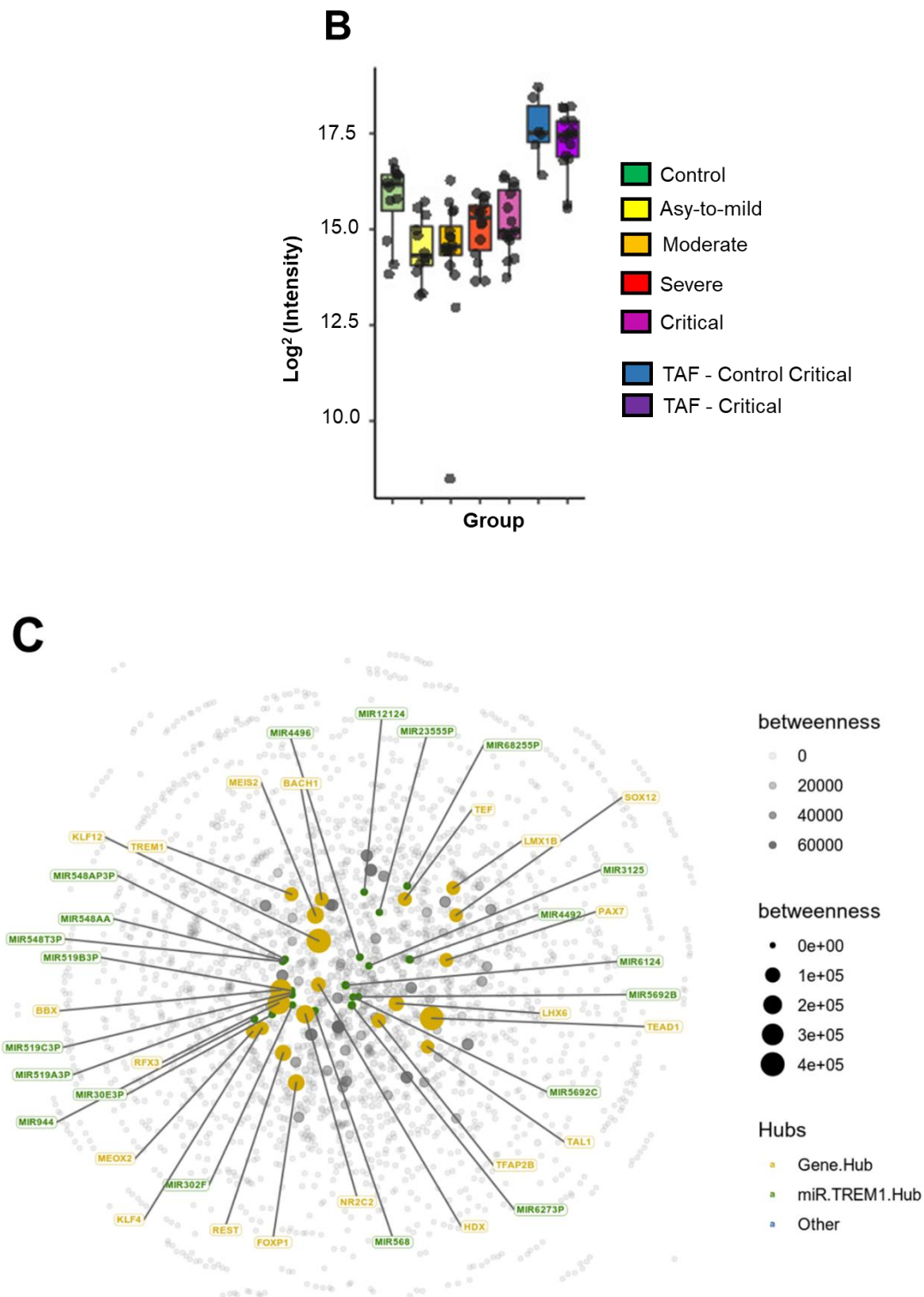
### **6.3. Capítulo III- Expressão de miRNAs relacionados com a via de TREM-1 durante a infecção pelo SARS-CoV-2.**

Sabendo que os miRNAs são moléculas de RNA de tamanho pequeno, que estão envolvidas na regulação fina da expressão gênica, principalmente através da ligação de transcritos alvos. Assim como, durante a infecção pelo SARS-CoV-2 ocorre um aumento nos níveis séricos de sTREM-1 posteriormente correlacionado com o comprometimento da função pulmonar. Levantamos a hipótese de que os miRNAs poderiam desempenhar um papel significativo na regulação de TREM-1 e envolvidos na patogênese da Covid-19, pois o mecanismo relacionado a esta via ainda não está claro e requer mais pesquisas.

Realizamos uma análise em conjuntos de dados de transcriptoma de RNA (RNA-seq) dos pacientes em diferentes gravidades. O diagrama demonstrando no heatmap (**Figura 11 A**) mostra a análise da expressão diferencial classificados de acordo com a ordem de agrupamento. Podemos verificar que os fatores como, RFX-4, HOXB3, GATA-4, AIRE, SUMO-2, MYBL-2, IRF4, XBP-1, MEIS-1, HOXD8, CUX-1, SPI-1, ARID3A, START-5A, ERG e o miRNA650 estavam regulados positivamente e estiveram relacionados com a doença grave. Além disso, os genes ATF-2, BRD-4, GATA-1, STAG-1, GATA-2, TCF-7, MYC, ETS-1, KLF-12, EGR-1 e FOS apresentaram regulações negativas em relação a gravidade.

**A**





**Figura 11.** Perfil transcricional e rede biológica específica de miRNAs e genes em pacientes com Covid-19, correlacionados com o receptor TREM-1. (A) Perfil de expressão dos principais DEGs com base nos maiores valores de interação do gene de TREM-1. O diagrama do heatmap mostra o  $\text{Log}_2$  (Fold Change) obtido a partir da análise da expressão diferencial. Os valores  $\text{Log}_2$  (Fold Change) dos genes foram classificados de acordo com a ordem de agrupamento. A escala de cores do  $\text{Log}_2$  (Fold Change) foi limitada a um intervalo de -2 a +2. (B) A expressão de TREM-1 é expressa em  $\text{Log}_2$  por *boxplot*. (C) Análise de rede biológica de co-expressão de genes de miRNAs interagindo com a via de TREM-1. Análise da representação

de Gene Hub e miRNAs, a intensidade da cor e tamanho da esfera ao nível de interação genes relacionados a via de TREM-1. Os círculos verdes representam os miRNA regulado e os amarelos os genes presentes como reguladores, respectivamente.

No que diz respeito ao gene de TREM-1 expresso diferencialmente nos grupos de gravidade, observamos uma tendência elevada em pacientes graves e críticos, assim como sua expressão no pulmão (**Figura 11B**). Após a análise de combinação identificamos aproximadamente 2.367 genes diferencialmente expressos presentes em amostras pulmão, selecionamos os primeiros 15 (**Tabela 5**) genes de fatores de transcrição e miRNAs que interagem com a via de TREM-1 (TREM1+TFs+miRNAs), presentes na rede biológica específica de miRNAs e co-expressão de genes em pacientes com Covid-19 relacionados a interação com o receptor TREM-1 (**Figura 11 C**).

**Tabela 5.** Reanálise dos dados de transcriptoma e as alterações de expressão nos genes relacionados a miRNAs presentes na via de TREM-1.

<b>Genes</b>	<b>Relação</b>	<b>Grau</b>	<b>Interação</b>	<b>miRNAs</b>	<b>Relação</b>
<b>KLF12</b>	TF for TREM1	533	423591.7879	miRNA-429	TREM1
<b>TEAD1</b>	TF for TREM1	510	402690.5547	miRNA-6793-5p	TREM1
<b>RFX3</b>	TF for TREM1	478	347209.6754	miRNA-642a-5p	TREM1
<b>BBX</b>	TF for TREM1	444	298841.258	miRNA-7-5p	TREM1
<b>NR2C2</b>	TF for TREM1	343	194519.3467	miRNA-1229-3p	TREM1
<b>MEIS2</b>	TF for TREM1	262	152231.2392	miRNA-4471	TREM1
<b>FOXP1</b>	TF for TREM1	275	147346.2116	miRNA-200c-3p	TREM1
<b>REST</b>	TF for TREM1	271	129809.8151	miRNA-200b-3p	TREM1
<b>LHX6</b>	TF for TREM1	233	112956.534	miRNA-4704-3P	TREM1
<b>HDX</b>	TF for	215	90520.71481	miRNA-4700-3p	TREM1

	TREM1				
<b>TFAP2B</b>	TF for TREM1	204	89759.09229	miRNA-944	TREM1
<b>PAX7</b>	TF for TREM1	185	81820.12888	miRNA-3923	TREM1
<b>TEF</b>	TF for TREM1	176	80714.46137	miRNA-548u	TREM1
<b>TREM1</b>	TREM1	171	79841.31036	miRNA-4639-5p	TREM1
<b>BACH1</b>	TF for TREM1	167	78899.63238	miRNA-4865p	TREM1

Descrito como um novo fator de transcrição expresso preferencialmente em células NK, o fator 12 semelhante a kruppel (KLF12) funciona com um repressor ou ativador transcricional, regulando a proliferação celular (243). Sua elevada expressão em células de câncer de pulmão e endométrio correlacionou-se com a diminuição de apoptose, aumento proliferativo e crescimento tumoral (244).

As células NK do pulmão exibem um fenótipo diferenciado, com alta capacidade de responder a infecções virais. No entanto, durante a infecção pelo SARS-CoV-2 foram observados números baixos de células NK no sangue periférico (127,245) e um número crescente destas células no lavado bronco alveolar de pacientes com Covid-19 grave (246), sugerindo que ao migrar para o tecido pulmonar, as células NK induzem processos de lise celular por meio de degranulação citotóxica de perforinas e granzima B e eliminação indireta pela produção de IFN- $\gamma$  e TNF- $\alpha$  na células-alvo infectadas. Além de expressar o receptor TREM-1 que encontra-se associado à citotoxicidade das células NK (247).

Segundo outros trabalhos, a expressão aumentada de TEAD, RFX3, NR2C2, MEIS2 e TFAP2B, demonstra funções importantes durante os processos de regulação celular. Além disso, observamos suas ações durante episódios de estresse, hipóxia (248) diferenciação de células endócrinas pancreáticas (249), artrite (250), proliferação, diferenciação, migração e invasão de células cancerígenas, associados a um pior prognóstico dos pacientes (251–254)

Considerando a importância dos miRNAs, torna-se imprescindível identificar os miRNAs que regulam a patogênese da Covid-19 e vias inflamatórias que possam estar altamente expressas. Dentre os miRNAs encontrados, observamos a expressão dos

miRNA-429, miRNA-6793-5p, miRNA-642a-5p, miRNA-7-5p, miRNA-1229-3p, miRNA-4471, miRNA-200c-3p, miRNA-200b-3p, miRNA-4704-3p, miRNA-4700-3p, miRNA-944, miRNA-3923, miRNA-548u, miRNA-4639-5p, miRNA-4865p associados ao receptor TREM-1.

O miRNA429, miRNA7-5P, miRNA-944, foram descritos por atuarem com miRNAs supressores de tumor (255) responsável pela inibição da proliferação e migração celular de células cancerosas (256). Além disso, suprimem a diferenciação de células-tronco (257–259). Precusores plasmáticos circulantes de miRNA-944 são descritos como marcadores de precisão diagnóstica para detecção em câncer de pulmão de células não pequenas (260).

O hsa-miR-4639-5p apresenta um importante papel como indutor de estresse oxidativo grave e morte neuronal, estando associado como um biomarcador de diagnóstico periférico (261). A superexpressão do miRNA-486-5p pode estar associado a progressão da doença pulmonar obstrutiva crônica (DPOC), responsável por regular a resposta inflamatória desencadeada por TLR4 de macrófagos alveolares (262), e seus níveis plasmáticos elevados podem servir como um marcador de diagnóstico em câncer cervical (263) e carcinoma hepatocelular (264).

Observamos que miR-200c-3p também interage com o receptor TREM-1 durante a infecção pelo SARS-CoV-2. Durante a infecção pelo vírus H5N1, a expressão de miR-200c-3p foi regulada positivamente pela proteína NS1 e o RNA viral, tendo como alvo a região 3' UTR, induzindo a diminuição da expressão de ACE2 (265). Níveis plasmáticos e expressão aumentada de miR-200c-3p também foram encontrados no fígado de pacientes com carcinoma hepatocelular induzidos pelo vírus da hepatite B e C (266,267), sendo associados com a progressão da doença. No entanto, os mecanismos exatos requerem mais estudos. O perfil de expressão de miRNA no mesmo conjunto de amostras controle nos permitiu investigar a complexidade da desregulação gênica no contexto desta doença. Investigar essas relações pode fornecer mais informações sobre os mecanismos da Covid-19 e sua relação com a via de TREM-1.

### **6.3.1 Expressão do receptor TREM-1 e inibição da produção de citocina em macrófagos THP-1**

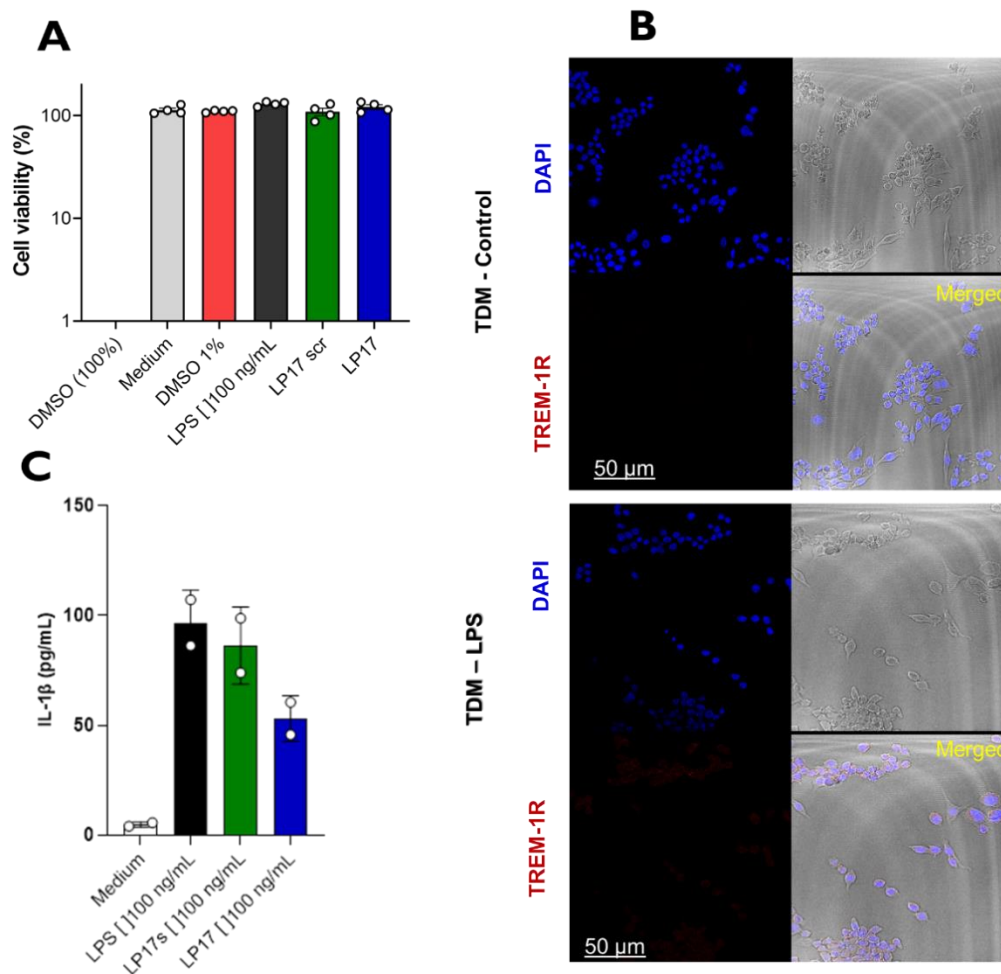
O ajuste da resposta imune é fundamental na prevenção de inflamação excessiva e danos nos tecidos. Os receptores TREM-1 podem atuar como amplificadores da resposta inflamatória e produção de citocinas por monócitos e neutrófilos. Portanto, modular a

expressão de TREM-1 pode ajudar a atenuar as respostas imunes para beneficiar o hospedeiro.

Pouco se sabe sobre a regulação da expressão de TREM-1 na resposta a infecções virais, como resultados preliminares avaliamos a expressão de TREM-1 e produção de citocinas pró-inflamatória em monócitos THP-1 após exposição ao LPS, como modelo inflamatório clássico. Primeiramente testamos o envolvimento da via TREM-1 na produção de citocinas pró-inflamatórias usando o peptídeo sintético LP17 (LQVTDSGLYRCVIYHPP), que tem homologia com o domínio extracelular altamente conservado de TREM-1 e que pode estar envolvido na ligação de TREM-1; e um segundo peptídeo, com os mesmos aminoácidos, mas em uma sequência primária diferente (peptídeo de controle LP17s; TDSRCVIGLYHPPLQVY) (**Figura 12 A**). As células THP-1 foram tratadas com 100 ng/mL de LPS (**Figura 12 B**). Alguns poços também foram tratados com peptídeo de controle LP17 ou LP17 em uma concentração de 100 ng/ml, após incubação das células por 24 h, as concentrações de IL-1 $\beta$  no sobrenadante foram quantificados usando a técnica de ELISA (**Figura 12 C**).

Alterações na expressão de superfície de TREM-1 foram observadas, após 24 horas de exposição de células THP-1 (TDM), o que resultou em aumento da expressão superficial de TREM-1 e na liberação da citocina pró-inflamatória IL-1 $\beta$ . Assim, a liberação dessa citocina inflamatória foi reduzida quando as células THP-1 foram incubadas com o peptídeo LP-17 inibidor de TREM-1.





**Figura 12.** Expressão de TREM-1 em células THP-1 tratadas com inibidor LP17. Imagem imunofluorescência representativa demonstrando a expressão de TREM-1 em monócitos THP-1 em estado estacionário (sem tratamento) e após 24 horas de diferenciação com PMA. (A) Os monócitos foram estimulados com LPS e inibidor LP17 e LP17scr por 24 horas, (B) Microscopia confocal marcador específico de TREM-1 confirmou que as células que expressam TREM-1. Os núcleos foram corados com DAPI (azul escuro) e TREM-1R (vermelho). Barra de escala representados em ampliação de 50  $\mu$ m. Representante de três imagens por condição. (C) Inibição da produção de IL-1 $\beta$  após a inibição com LP-17.

Evidências crescentes sugerem que o TREM-1 desempenha um papel essencial na modulação da resposta imune durante a inflamação aguda e crônica, uma vez o aumento de citocinas é consistente com relatos anteriores de que a estimulação de TREM-1 pode resultar em regulação sinérgica da sinalização iniciada por outros receptores de reconhecimento de padrões, como os TLRs (159,268,269)

O bloqueio da ativação do TREM-1 por análogos sintéticos reduz a síntese e liberação de citocinas pró-inflamatórias como a IL-1 $\beta$  induzida por LPS em monócitos humanos(270,271). Além disso, o efeito inibitório do peptídeo LP-17 suporta a noção de que ele compete com TREM-1 pela ligação do ligante disponível, inibindo assim a via

TREM-1e possibilitando a sobrevida em vários modelos de infecções graves, reduzindo a liberação de citocinas pró-inflamatórias, ativação da piroptose e diminuindo o acúmulo de ROS durante a lesão pulmonar aguda (272)

No entanto, parece que os agentes bloqueadores do TREM-1 fornecem achados controversos em distúrbios inflamatórios estéreis. Uma vez que o tratamento com o inibidor de TREM-1 não anulou completamente a produção de citocinas, tais efeitos já foram descritos em outros estudos observados anteriormente em infecções (273,274) Tomados em conjunto, esses experimentos questionam a possibilidade de TREM-1 como um alvo em potencial para terapias futuras no controle da inflamação.

## ***7. Conclusão***

- ❖ sTREM-1 apresenta papel importante na determinação do quadro clínico grave de pacientes com Covid-19, correlacionando com outros parâmetros pró-inflamatórios, desfechos desfavorável (óbito) e atividade de MMP-8 na superfície de leucócitos sanguíneos.
- ❖ A alta diversidade enzimática das MMPs no microambiente pulmonar está correlacionada positivamente com a infiltração de neutrófilos, liberação de espécies reativas de oxigênio (ROS), aumento da peroxidação lipídica e superexpressão do eixo MMP-2/MMP-8 no pulmão, favoráveis para clivagem proteolítica de sHLA-G, sTREM-1.
- ❖ A expressão miRNAs e fatores de transcrições são favoráveis para um possível perfil de regulação celular, concomitante com a expressão do gene de TREM-1 durante a gravidade clínica da covid-19.
- ❖ A inibição do TREM-1 induz uma diminuição no eixo pro-inflamatório. Portanto, o bloqueio da expressão ou atividade de TREM-1 fornece perspectivas eficazes para novos alvos terapêuticos na inflamação exacerbada.

## ***8. Apêndice***

## 8.1. Apêndice 1: Termo de aprovação do comitê de ética em Pesquisa -CEP- CAAE) 30525920.7.0000.5403



UNIVERSIDADE DE SÃO PAULO  
Faculdade de Ciências Farmacêuticas de Ribeirão Preto  
Comitê de Ética em Pesquisa / Research Ethics Committee

Ribeirão Preto, January 3, 2021.

**Subject: Approval by the Research Ethics Committee**

To

Prof. Dr. Cristina Cardoso Ribeiro de Barros

School of Pharmaceutical Sciences of Ribeirão Preto, University of São Paulo (FCFRP-USP)

Department of Clinical Analyses, Toxicology and Food Sciences

Dear Professor,

We inform that the research project entitled "**Prospective evaluation of gene expression and humoral response in severe COVID-19: search for potential biomarkers for disease evolution in patients infected with SARS-COV2**", was approved by the Research Ethics Committee (CEP) of the FCFRP-USP and the National Research Ethics Commission (CONEP), which constitute the Brazilian body for ethical supervision in research involving human beings (CEP / CONEP system), according to Resolution No. 466/2012 of the National Health Council, Ministry of Health, Brazil. Resolution 466/2012 provides the guidelines and regulatory standards for research involving human beings in Brazil, which is based on the main documents that constitute the pillars of recognition and affirmation of the dignity, freedom and autonomy of human beings, such as Helsinki Declaration of 1964 and its updates; the 2004 Universal Declaration on Bioethics and Human Rights; and the Federal Constitution of the Federative Republic of Brazil, whose objectives are consistent with international documents on ethics, human rights and development.

The present research protocol is registered in the CEP / CONEP system under the number of Certificate of Presentation of Ethical Appreciation (CAAE) 30525920.7.0000.5403. The experimental design / current methods of this research protocol approved by the CEP / CONEP system are described below. Then, the history of the ethical analysis since the first submission of the research protocol and its respective amendments is presented.

*"Design/Methods: This study aims to investigate factors of susceptibility and resistance, as well as biomarkers of the disease caused by the SARS-COV2 virus, called COVID-19. For that, healthy participants from the USP community (Campus Ribeirão Preto), individuals with a positive molecular test for SARS-COV2 performed via Basic Health Units in the city of Ribeirão Preto and who are asymptomatic or have mild symptoms, as well as convalescents and patients will be selected from private hospitals (Hospital São Paulo and Hospital Unimed Ribeirão Preto) or another philanthropic hospital (Hospital Santa Casa de Misericórdia de Ribeirão Preto), in the same city, which will be evaluated clinically and laboratory, for the diagnosis of the disease, by the doctors responsible for the care. Men and women will be included, classified into control and sick groups, according to laboratory diagnosis and severity of COVID-19. After this categorization, the volunteers (100 per group) will be divided into healthy individuals with negative molecular*



*diagnosis for SARS-COV2 (group 1 - G1), asymptomatic or oligosymptomatic with positive test for the virus (group 2 - G2), hospitalized with moderate symptoms, positive diagnosis (group 3 - G3) and severe hospitalized symptoms, also with positive molecular test for SARS-COV2 (group 4 - G4), severe hospitalized symptoms, with negative molecular test for SARS-COV2 (group 6 - G6). In addition to convalescents, previously tested and confirmed positive for the virus, however in the current absence of signs or symptoms of COVID-19 (group 5 - G5). After the consent and signature of the Informed Consent Form (ICF) by the patients or guardians themselves, samples of venous blood, saliva or pulmonary fluid aspirate will be collected for laboratory processing related to this research project (some control participants will also have collection nasopharyngeal swab for molecular diagnosis of virus infection). Samples from participants in groups G1, G2 and G5 will be collected only once, for research. Patients in groups G3 and G4, will have blood, saliva (only G3) and / or pulmonary fluid aspirate (G4 and G6) collected at the time of admission to the hospital and after 48 hours, repeating the collection once every 7 days, until the end of the hospitalization period. The blood samples will be centrifuged to obtain plasma and leukocytes (buffy coat). The obtained cells will be immediately frozen in Trizol, for subsequent RNA extraction and transcriptomic analysis of gene expression of receptors, transcription factors, steroidogenic enzymes, cytokines, and other mediators related to the immune response. In plasma, cytokines will be quantified by multiplex assay, in addition to eicosanoids, steroid hormones, sphingolipids and ceramides, by mass spectrometry. In addition, the profiles of N-glycans in the Fcs of IgGs and anti-carbohydrate antibodies will be evaluated, to ascertain their correlation with the clinical outcomes of SARS-COV2 infections. New biotechnological tools that allow the study of the relationships between the virus, its cellular targets and antibodies will be produced. With these results together, it is expected to understand more deeply the pathophysiology of COVID-19, as well as to establish markers of disease evolution and morbidity”.*

History of the approval ethical by CEP / CONEP system:

First approval: - CEP, April 6, 2020 - consubstantiated opinion number 3,956,413; and CONEP, April 30, 2020 - consubstantiated opinion number. 3,999,280;

Amendment 1 - approval: CEP, June, 8, 2020 - consubstantiated opinion number 4,076,158; and CONEP, June 23,2020 - consubstantiated opinion number 4,104,192;

Amendment 2 - approval: CEP, July 7, 2020 - consubstantiated opinion number 4,134,068; and CONEP, July 28, 2020 - 4,176,358;

Amendment 3 - approval: CEP, November 19, 2020 - consubstantiated opinion number 4,409,566; and CONEP, December 02, 2020 - 4,432,873;

Amendment 4 - approval: CEP, December 10, 2020 - consubstantiated opinion number 4,454,975; and CONEP, December 15, 2020 - consubstantiated opinion number 4,465,002.



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Comitê de Ética em Pesquisa / Research Ethics Committee

The requirements for the ethical approval of this research protocol also included declarations of agreement from all health institutions (hospitals and basic health units), where the research participants will be recruited. The consultation of the patient's medical record is explained in the Informed Consent Form.

It is the responsibility of the researchers, according to Resolution 466/2012, item IV.5, letter d, to consider that the Informed Consent Form must be presented in two original documents, initialed in all its pages, and signed, at the end, by the research participant, or by his / her legal representative, as well as by the responsible researcher, or by the person (s) delegated by him / her, and the signature pages must be on the same sheet. The addresses and telephone or other contact, of those responsible for the research and of this Ethics Committee must be included.

The final report of the research must be sent to the CEP of the FCFRP-USP in its own form, as well as any changes, complications or interruptions, such as adverse events and eventual modifications to the protocol or team members, through the interposition of amendments on the Brazil Platform, which is the national and unified base of research records involving human beings for the entire CEP / CONEP system.

Prof. Dr. Cleli Mara Marzocchi Machado  
Coordinator of the Research Ethics Committee of FCFRP-USP



## 8.2. Lista de Publicações: Artigos publicados durante o doutorado relacionados à tese

1. sTREM-1 Predicts Disease Severity and Mortality in Covid-19 Patients: Involvement of Peripheral Blood Leukocytes and MMP-8 Activity. **Viruses**. 2021 Dec 15;13(12):2521. doi: 10.3390/v13122521. PMID: 34960790; PMCID: PMC8708887.
2. Matrix Metalloproteinases on Severe Covid-19 Lung Disease Pathogenesis: Cooperative Actions of MMP-8/MMP-2 Axis on Immune Response through HLA-G Shedding and Oxidative Stress. **Biomolecules** 2022, 12, 604. <https://doi.org/10.3390/biom12050604>

## 8.3. Artigos publicados durante o doutorado não relacionados à tese

1. The IgG glycome of SARS-CoV-2 infected individuals reflects disease course and severity. **Frontiers in Immunology**., 18 October 2022. Sec. Viral Immunology <https://doi.org/10.3389/fimmu.2022.993354>.
2. Acetylcholine, Fatty Acids, and Lipid Mediators Are Linked to Covid-19 Severity. **Journal of Immunology**. Fator de Impacto(2021 JCR): 5,4260, v.209, p.250 - 261, 2022.
3. Caloric restriction overcomes pre-diabetes and hypertension induced by a high fat diet and renal artery stenosis. **Molecular Biology Reports**. Fator de Impacto (2021 JCR): 2,7420, v.1, p.1-18 - , 2022.
4. The Severity of Covid-19 Affects the Plasma Soluble Levels of the Immune Checkpoint HLA-G Molecule. **International Journal of Molecular Sciences**. Fator de Impacto (2011 JCR): 2,5980, v.23, p.9736 - , 2022.
5. Changes in heme levels during acute vaso-occlusive crisis in sickle cell anemia. **Hematology/Oncology and Stem Cell Therapy**. v.21, p.1658-3876 - , 2021.
6. Inflammasome genes polymorphisms may influence the development of hepatitis C in the Amazonas, Brazil. **PLoS One**. Fator de Impacto(2021 JCR): 3,7520, v.16, p.e0253470 - , 2021.
7. Combination of genetic polymorphisms in TLR influence cytokine profile in HCV patients treated with DAAs in the State of Amazonas. **Cytokine**. Fator de Impacto (2021 JCR): 3,9260, v.130, p.155052 - , 2020.

8. Neutrophil extracellular trap regulators in sickle cell disease: Modulation of gene expression of PADI4, neutrophil elastase, and myeloperoxidase during vaso-occlusive crisis. **Research and Practice in Thrombosis and Haemostasis**. Fator de Impacto (2021 JCR): 5,9530, v.5, p.204 - 210, 2020.
9. Immunological Dynamics Associated with Direct-Acting Antiviral Therapies in Naive and Experimented HCV Chronic-Infected Patients. **Mediators of Inflammation**. Fator de Impacto (2021 JCR): 4,5290, v.2019, p.1 - 11, 2019.
10. Up-Regulation of the mRNA Expression and Plasma Activity of PADI4 in Sickle Cell Anemia during Acute Crisis. **Blood**. Fator de Impacto (2021 JCR): 25,4760, v.134, p.2257 - 2257, 2019.

#### **8.4. Links de entrevistas e publicações em jornais locais e nacionais relacionados à tese.**

1. <https://www.ppgiba.ufam.edu.br/ultimas-noticias/166-excesso-de-enzimas-pode-agravar-casos-de-covid-descobrem-pesquisadores-da-usp.html>
2. <https://globoplay.globo.com/v/10647177/>
3. <https://www.eurekalert.org/news-releases/952539>
4. <https://medicinasa.com.br/usp-fapesp-teste-covid/>
5. <https://www.uol.com.br/vivabem/noticias/redacao/2020/10/01/proteina-no-sangue-de-paciente-com-Covid-19-pode-indicar-evolucao-da-doenca.htm>
6. <https://limhc.fm.usp.br/portal/proteina-no-sangue-de-pacientes-com-Covid-19-pode-indicar-evolucao-e-gravidade-da-doenca/>

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